RULES AND REGULATIONS

Governing

HORSE RACING

In

ARKANSAS

2008 Edition

Arkansas

Racing Commission

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Governing

HORSE RACING

2008 Edition

Adopted By

Arkansas
Racing Commission

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FOREWORD

The Rules herein prescribed, and any amendments or additions thereto, apply to all persons, partnerships, associations, firms or corporations, their officers, directors, stockholders, agents, representatives or employees who hold, conduct, patronize, aid, assist or participate in any Race Meeting within the State of Arkansas where thoroughbred horse racing licensed by the State of Arkansas shall be permitted for any stake, purse or reward. The definitions and interpretations of racing terms herein set forth, as well as the Foreword, are to be considered in connection with the Rules and as a part of them.

In reading the Rules, unless the text otherwise requires, it shall be understood, without constant reference thereto, that they apply only in the State of Arkansas.

Every franchise to hold a Race Meeting is granted upon the condition that the franchise holder shall accept, observe and enforce said Rules. Furthermore, it shall be the duty of each and every officer, director and every official and employee of said franchise holder to observe and enforce the Rules.

Any and all of the Rules may be amended, altered, repealed or supplemented by new and additional Rules.

The Racing Commission shall have continuing jurisdiction and control over all penalties and decisions imposed or made by it, or its predecessors, except as otherwise provided by law. Furthermore, the Racing Commission shall have the power and authority to review, affirm, modify or rescind any penalty or decision with regard to any infraction of these Rules, which may be imposed or made by the racing officials of any Race Meeting.

The laws of the State of Arkansas and the Rules promulgated by the Racing Commission supercede the conditions of a race or the regulations of a Race Meeting.

Note: Numbering of these Rules will not be consecutive since the Racing Commission Rules that apply to other types of racing are omitted.

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DEFINITIONS

1000. The following definitions and interpretations shall apply throughout these Rules unless the text otherwise requires:

1001. "Act" is the enabling legislation permitting pari-mutuel horse racing in this jurisdiction.

1002. "Added Money" is the amount added into a stakes by the Association or by sponsors, state-bred programs or other funds added to those monies gathered by nomination, entry, sustaining and other fees coming from the horsemen.

1003. "Age" of a horse foaled in North America shall be reckoned from the first day of January of the year of foaling.

1004. "Also Eligible" pertains to:

(a) a number of eligible horses, properly entered, which were not drawn for inclusion in a race, but that become eligible according to preference or lot if an entry is scratched prior to the scratch time deadline; or

(b) the next preferred non-qualifier for the finals or consolation from a set of elimination trials that will become eligible in the event a finalist is scratched by the Stewards for a Rule violation or is otherwise eligible if written race conditions permit.

1005. "Allowance Race" is an overnight race for which eligibility and weight to be carried is determined according to specified conditions, which include age, sex, earnings and number of wins.

1006. "Appeal" is a request for the Racing Commission or its designee to investigate, consider and review any decisions or rulings of Stewards of a Race Meeting.

1007. "Arrears" are all monies owed by a licensee, including subscriptions, jockey fees, forfeitures and any default incident to these Rules.

1008. "Association " is a person or business entity holding a license from the Racing Commission to conduct racing with pari-mutuel wagering.

1009. "Association Grounds" is all real property utilized by the Association in the conduct of its Race Meeting, including the racetrack, grandstand, concession stands, offices, barns, stable area, employee housing facilities, parking lots and any other areas under the jurisdiction of the Racing Commission.

1010. "Authorized Agent" is a person licensed by the Racing Commission and appointed by a written instrument, signed and acknowledged before a notary public, by the owner in whose behalf the agent will act.

1011. "Beneficial Interest" is profit, benefit or advantage resulting from a contract or the ownership of an estate, as distinct from the legal ownership or control. When considered as designation of character of an estate, is such an interest as a devisee, legatee or donee takes solely for his own use or benefit and not as holder of title for use and benefit of another.

1012. "Betting Interest" is one or more horses in a pari-mutuel contest which are identified by a single program number for wagering purposes.

1013. "Bleeder List" is a tabulation of all bleeders to be maintained by the Racing Commission.

1014. "Breakage" is the net pool minus payoff.

1015. "Breeder" is the owner of the horse's dam at the time of foaling.

1016. "Carryover" is non-distributed pool monies that are retained and added to a corresponding pool in accordance with these Rules.

1017. "Claiming Race" is a race in which any horse starting may be claimed (purchased for a designated amount) in conformance with the Rules.

1018. "Commission" is the regulatory agency with the authority to regulate racing. (Also "Racing Commission" and "Arkansas Racing Commission".)

1019. "Conditions" are qualifications that determine a horse's eligibility to be entered in a race.

1020. "Contest" is a competitive racing event on which pari-mutuel wagering is conducted.

1021. "Contestant" is an individual participant in a contest.

1022. "Coupled Entry" are two or more contestants in a contest that are treated as a single betting interest for pari-mutuel wagering purposes (also see "Entry").

1023. "Day" is a 24-hour period beginning at 12:01 a.m. and ending 12:00 p.m. midnight. Also known as a calendar day.

1024. "Dead Heat" is the finish of a race in which the noses of two or more horses reach the finish line at the same time.

1025. "Declaration" is the act of withdrawing an entered horse from a race prior to the closing of entries.

1026. "Entry" is:

(a) a horse made eligible to run in a race; or

(b) two or more horses, entered in the same race, which have common ties of ownership, lease or training.

1027. "Exhibition Race" is a race on which no wagering is permitted.

1028. "Expired Ticket" is an outstanding ticket that was not presented for redemption within the required time period for which it was issued.

1029. "Financial Interest" is an interest that could result in directly or indirectly receiving a pecuniary gain or sustaining a pecuniary loss as a result of ownership or interest in a horse or business entity, or as a result of salary, gratuity or other compensation or remuneration from any person. The lessee and lessor of a horse have a financial interest.

1030. "Flat Race" is a race in which horses mounted by jockeys run over a course on which no jumps or other obstacles are placed.

1031. "Forfeit" is money due from a licensee because of error, fault, neglect of duty, breach of contract or a penalty imposed by the Stewards or the Racing Commission.

1032. "Guest Association" is an association which offers licensed pari-mutuel wagering on contests conducted by another association (the host) in either the same State or another jurisdiction.

1033. "Handicap" is a race in which the weights to be carried by the horses are assigned by the Racing Secretary or Handicapper for the purpose of equalizing the chances of winning for all horses entered.

1034. "Handle" is the aggregate of all pari-mutuel pools, excluding refundable wagers.

1035. "Horse" is any equine (including and designated as a mare, filly, stallion, colt, ridgeling or gelding) registered for racing: specifically, an entire male 5 years of age and older.

1036. "Host Association" is the association conducting a licensed pari-mutuel Race Meeting from which authorized contests or entire performances are simulcast.

1037. "Inquiry" is an investigation by the Stewards of potential interference in a contest prior to declaring the result of said contest official.

1038. "Jockey" is a professional rider licensed to ride in races.

1039. "Licensee" is any person or entity holding a license from the Racing Commission to engage in racing or a regulated activity.

1040. "Maiden" is a horse that has never won an official or recognized race as defined in breed registry rules.

1041. "Maiden Race" is a contest restricted to non-winners.

1042. "Match Race" is a race between two horses under conditions agreed to by their owners.

1043. "Meeting" is the specified period and dates each year during which an Association is authorized to conduct racing by approval of the Racing Commission.

1044. "Minus Pool" occurs when the amount of money to be distributed on winning wagers is in excess of the amount of money comprising the net pool.

1045. “Month" is a calendar month.

1046. "Mutuel Field" or "Field" is two or more contestants in a contest that are treated as a single betting interest for pari-mutuel wagering purposes because the number of betting interests exceeds the number that can be handled individually by the pari-mutuel system.

1047. "Net Pool" is the amount of gross ticket sales less refundable wagers and statutory commissions.

1048. "Nomination" is the naming of a horse to a certain race or series of races generally accompanied by payment of a prescribed fee.

1049. "Nominator" is the person or entity in whose name a horse is nominated for a race or series of races.

1050. "Objection" is:

(a) a written complaint made to the Stewards concerning a horse entered in a race and filed not later than two hours prior to the scheduled post time of the first race on the day in which the questioned horse is scheduled to run; or

(b) a verbal claim of foul in a race lodged by the horse's jockey, trainer, owner or the owner's authorized agent before the race is declared official.

1051. "Official Order of Finish" is the order of finish of the horses in a contest as

declared official by the Stewards.

1052. "Official Starter" is the official responsible for dispatching the horses for a race.

1053. "Official Time" is the elapsed time from the moment the first horse crosses the starting point until the first horse crosses the finish line.

1054. "Off Time" is the moment at which, on the signal of the Official Starter, the doors of the starting gate are opened, officially dispatching the horses in each contest.

1055. "Optional Claiming Race" is a contest restricted to horses entered to be claimed for a stated claiming price and to those that have started previously for that claiming price or less. In the case of horses entered to be claimed in such a race, the race shall be considered for the purpose of these Rules a claiming race. In the case of horses not entered to be claimed, the race shall be considered an allowance race.

1056. "Outstanding Ticket" is a winning or refundable pari-mutuel ticket that was not cashed during the performance for which it was issued; also known as "Outs."

1057. "Overnight Race" is a contest for which entries close at a time set by the Racing Secretary; also known as "Purse Race."

1058. "Owner" is a person who holds any title, right or interest, whole or partial in a horse, including the lessee and lessor of a horse.

1059. "Paddock" is an enclosure or other designated area where horses scheduled to compete in a contest are saddled prior to racing.

1060. "Pari-Mutuel System" is the manual, electro-mechanical or computerized system and all software (including the totalisator, account betting system and off-site betting equipment) that is used to record bets and transmit wagering data. "Pari-mutuel wagering" shall include any method of wagering approved by the Racing Commission whereby amounts wagered are placed in one or more designated wagering pools (whether or not the wagers are placed with respect to the same race), and the amounts remaining in the respective wagering pool, after reduction for the takeout under applicable law and Rules and Regulations of the Racing Commission, are returned to the winning bettors or bettors under the Rules applicable to the particular wagering pool and in accordance with applicable law and the Rules and Regulations of the Racing Commission (subject, in the case of uncashed pari-mutuel tickets, to the provisions of Ark. Code Ann. Section 23-110-406(b) and Rule 1152).

1061. "Patron" is a member of the public present on the grounds of a pari-mutuel Association during a Race Meeting for the purpose of wagering or to observe racing.

1062. "Payoff" is the amount of money payable to winning wagers.

1063. "Performance" is a schedule of contests conducted on the same day as authorized by the Racing Commission.

1064. "Permit" is an authorization by the Commission to an Association to conduct horse racing with pari-mutuel wagering at a specified location.

1065. "Person" is any individual, partnership, corporation or other association or entity.

1066. "Post Position" is the pre-assigned position from which a horse will leave the starting gate.

1067. "Post Time" is the scheduled starting time for a contest.

1068. "Prima Facie Evidence" is evidence that, until its effect is overcome by other evidence, will suffice as proof of fact in issue.

1069. "Profit" is the net pool after deduction of the amount bet on the winners.

1070. "Profit Split" is a division of profit amongst separate winning betting interests or winning betting combinations resulting in two or more payoff prices.

1071. "Program" is the published listing of all contests and contestants for a specific performance.

1072. "Protest" is a written objection charging that a horse is ineligible to race, alleging improper entry procedures or citing any act of an owner, trainer, jockey or official prohibited by Rules, which, if true, shall exclude that horse/jockey from racing.

1073. "Purse" is the total cash amount for which a race is contested.

1074. "Race" is a contest between horses at a licensed Race Meeting.

1075. "Registered Arkansas-bred" thoroughbred horses, registered Arkansas thoroughbred broodmare, registered Arkansas thoroughbred stallion, foal registration, broodmare registration and stallion registration, eligibility to participate in the Arkansas Racing Commission Purse and Awards Fund and eligibility to participate in races that are restricted to registered Arkansas-bred thoroughbred horses shall be determined and made in accordance with registration and fee schedules, adopted by the Arkansas Thoroughbred Breeders and Horsemen's Association and approved by the Racing Commission.

1076. "Restricted Area" is an enclosed portion of the Association grounds to which access is limited to licensees whose occupation or participation requires access.

1077. "Result" is the part of the official order of finish used to determine the pari-mutuel payoff of pools for each individual contest.

1078. "Scratch" is the act of withdrawing an entered horse from a contest after the closing of entries.

1079. "Scratch Time" is the deadline set by the Association for withdrawal of entries from a scheduled performance.

1080. "Simulcast" is the live audio and visual transmission of a contest to another location for pari-mutuel wagering purposes.

1081. "Single Price Pool" is an equal distribution of profit to winning betting interests or winning betting combinations through a single payoff price.

1082. "Stable Name" is a name used other than the actual legal name of an owner or lessee and registered with the Racing Commission.

1083. "Stakes Race" is a contest in which nomination, entry and/or starting fees contribute to the purse.

1084. Starter" is a horse that becomes an actual contestant in a race by virtue of the starting gate opening in front of it upon dispatch by the Official Starter.

1085. "Steeplechase Race" is a contest in which horses mounted by jockeys run over a course on which jumps or other obstacles are placed.

1086. "Steward" is a duly appointed racing official with powers and duties specified by Rules.

1087 "Substantial Evidence" is evidence which a reasoning mind would accept as sufficient to support a particular conclusion and consists of more than a mere scintilla of evidence but may be somewhat less than a preponderance.

1088. "Takeout" is the total amount of money, excluding breakage, withheld from each pari-mutuel pool, as authorized by statute or Rule.

1089. "Totalisator" is the system used for recording, calculating, and disseminating information about ticket sales, wagers, odds and payoff prices to patrons at a pari-mutuel wagering facility.

1090. "Trial Race" is part of a series of contests in which horses participate for the purpose of determining eligibility for a subsequent contest.

1091. "Walkover" is a race in which only one horse starts or in which all the starters are owned by the same interest.

1092. "Week" is a calendar week.

1093. "Weigh In" is the presentation of a jockey to the Clerk of Scales for weighing after a race.

1094. "Weigh Out" is the presentation of a jockey to the Clerk of Scales for weighing prior to a race.

1095. "Weight for Age" is a race in which a fixed scale is used to assign the weight to be carried by individual horses according to age, sex, distance of the race and season of the year.

1096. "Winner" is the horse whose nose reaches the finish line first or is placed first through disqualification by the Stewards.

1097. "Year" is a calendar year.

FRANCHISES AND APPLICATIONS FOR RACING DATES

1100. Every franchise and every license to hold a meeting is granted upon the condition that the franchise holder shall accept, observe and enforce the Rules and Regulations of the Commission, and it shall be the duty of each and every officer, director and employee of said franchise holder to observe and enforce the Rules.

1102. Every application to become a franchise holder shall contain the following:

APPLICATIONS FOR FRANCHISES

1101. Every application to become a franchise holder shall contain the following:

I. OWNERSHIP AND MANAGEMENT

(a) State the name of the applicant and indicate whether it is an individual, firm, association, partnership or corporation.

(b) State the following information as to the applicant:

(If the applicant has a parent corporation, the same information must be submitted both for the parent and applicant corporation.)

(1) State the year in which the applicant was organized, its form of organization and the name of the state under the laws of which it was organized. Attach a copy of the Articles and By-laws to the application.

(2) State the classes of capital stock authorized, the amount authorized, and the amount outstanding as of the date not less than fifteen (15) days prior to the date of filing on the application. State the amount of dividends paid to stockholders during the five (5) years immediately preceding the application.

(3) State the name and address of each person who owns, of record or beneficially, one or more shares of any class of capital stock.

This can be indicated in columnar forms as follows:

(A) Name and address.

(B) Class of stock owned.

(C) Type of ownership whether of record or beneficial.

(D) Amount owned.

(E) Percent of the class of stock.

(4) Describe briefly the terms of any voting trust in which any of the capital stock is held and the name, address, class or stock and number of shares of stock for all stock held in said voting trust.

(5) Describe briefly the terms of any proxy by which any of the capital stock is held, the holder of the proxy and the name, address, class of stock and number of shares of stock for all stock held by said proxy.

(6) State whether five percent (5%) or more of the applicant's assets, or five percent (5%) or more of any principal stockholders' stock, is encumbered by any long-term debt. Explain fully, by stating names and addresses of parties holding security interests or promissory notes from the applicant and the stockholders, where the stock is pledged as security, and outline the terms of the agreements creating the security interests.

(7) Outline briefly the divided rights, voting rights, liquidation rights, preemptive rights, conversion rights and redemption provisions. If the rights of holders of such stock may be modified otherwise than by a vote of majority or more of the shares outstanding, voting as a class, so state and explain briefly.

(8) If the applicant was organized as a corporation within the past five (5) years, furnish the following information: the names of the promoters, the nature and amount of anything of value received or to be received by each promoter directly or indirectly from the applicant, and the nature and amount of any assets, services or other consideration therefor received or to be received by the applicant.

(9) List the names of all directors and officers of the applicant and all persons chosen to become directors or officers, and attach a personal history resume for each person named. Indicate all positions and offices with the applicant held by each person named, and the principal occupation during the past five years of each person named.

(10) List all parents of the applicant showing the basis of control, and as to each parent, the percentage of voting securities owned, or other basis of control by any of its parents.

(c) (1) Attach to the application balance sheets and profit and loss statements for each of the three fiscal years immediately preceding the application, or for the period of organization if less than three years. If the applicant has not completed a full fiscal year since its organization or if it acquires or is to acquire the majority of its assets from a predecessor within the current fiscal year, the financial information shall be given for the current fiscal year. Balance sheets, profit and loss statements, and all other financial statements required herein shall be prepared, audited and certified by independent, certified public accountants in accordance with generally accepted accounting procedures and practices applied on a consistent basis. Any report containing exceptions of a material nature will not be considered to be certified.

(2) State all loans by applicant in excess of one percent (1%) of the net income and describe fully the name of the borrower, amount of the loan, collateral and terms.

(d) Briefly describe any pending legal proceedings to which the applicant or any of its subsidiaries or parent corporation is a party or of which any of their property is the subject. Include the name of the court or agency in which the proceedings are pending, the date instituted and the principal parties thereto.

(e) State if the applicant or its directors, officers, policy-making manager or principal stockholders have owned an interest in any firm, partnership, association or corporation previously licensed by the Arkansas Racing Commission or are now engaged in the business of racing outside of the State of Arkansas (and explain, as applicable).

(f) Describe briefly and where practical state the approximate amount of any material interest, direct or indirect, of any officer, director or principal stockholder of the applicant, or any associate of any of the foregoing persons in any material transactions during the last three (3) years, or in any material proposed transactions to which the applicant was, or is to be a party.

(g) State generally the principal purposes for which the net income received by the applicant is intended to be used, (whether it be for payment of dividend, retained earnings for enumerated purposes or other purposes) and show the approximate percentage of the amount intended for each purpose.

(h) State all contracts by the applicant entered into within the year preceding the date of application, and all executory contracts not otherwise described pursuant to these Rules in which the consideration exceeds one percent (1%) of net income, and describe fully, including the names of the parties to the contract, amount of consideration and terms.

(i) List all direct remuneration paid by the applicant and its subsidiaries, if any, during the applicant's last fiscal year to:

(1) Each director and officer of the applicant whose aggregate direct remuneration exceeded $10,000, naming each such person.

(2) All directors of the applicant as a group without naming them.

(3) All officers of the applicant as a group without naming them.

(4) All other persons whose aggregate remuneration exceeds $10,000, naming each such person. As used in this paragraph, direct remuneration shall include salary, retirement benefits, automobile furnished, expenses reimbursed and all other sums paid for the benefit of the officer, director or other recipient.

(j) State whether the applicant, its officers, directors and principal stockholders have complied with and are in compliance with Rule 1110. If not in compliance, explain in full.

II. LOCATION AND PHYSICAL PLANT

(a) State county and municipality of track.

(b) Give actual legal description of a site, names and addresses of the titleholders to the real property, and names and addresses of all persons holding mortgages or other security interests in the property.

(c) State the number of miles from the nearest population center, and describe briefly the transportation facilities serving that population's center.

(d) Indicate the exact dimensions of any track proposed.

(e) Describe the grandstand size and type construction. Submit at least one copy of architect's plans or rendering showing details of any proposed constructions.

(f) Describe briefly the efforts made to insure the security, safety and comfort of patrons and license holders.

(g) State the availability of fire protection and adequacy of law enforcement and police protection.

(h) Indicate the parking lot capacity and describe the construction and type of parking facilities.

(i) Indicate the number and type of construction of stables and other areas, indicating capacities and fire prevention facilities for all areas.

(j) Indicate the provisions for facilities for owners and other racing personnel.

(k) Describe the arrangements for food and drink concession, indicating the names and addresses of concessionaires and the terms of the concession contracts. Attach copy of contract.

(l) Describe any concessions, clubs or other special facilities for patrons.

III. RACING OPERATION

(a) Indicate by actual dates the racing days requested by the applicant.

(b) Indicate the kind of racing to be conducted.

(c) Describe the pari-mutuel operation in general and indicate in particular the terms of the pari-mutuel ticket sales.

IV. ECONOMIC AND OTHER ASPECTS OF TRACK LOCATION

(a) Describe briefly climatic conditions prevalent during the proposed racing season.

(b) Indicate the population of the local area and the growth trend. Indicate the potential market, including tourists, transients and patrons from neighboring areas.

(c) Indicate the principal sources of local income, showing the percentage from farming and ranching, industrial, professional and services, and military and other governmental sources.

(d) Indicate the affect of competition with other racetracks in and out of the State and with other sports or recreational facilities in the area. State in detail what affect the competition from other racetracks will have on the availability of the racing stock and track personnel.

(e) Indicate what affect opposition from area residents will have on the economic outlook for the proposed track.

APPLICATIONS FOR RACING DATES

1103. Application for racing dates must be filed by a franchise holder at least ninety (90) days prior to the date upon which it is desired to begin the Race Meeting. Whenever mutually agreeable to the Commission and the franchise holder, the Commission may allot racing dates other than those requested in the applications. Immediately following the allotting of any racing dates and the issuance of a license to hold a Race Meeting, the Commission shall notify the franchise holder of the dates allotted, which notices shall be in writing and sent by registered or certified United States Mail to the franchise holder, and each such notice and license shall be mailed by the Racing Commission at least sixty (60) days before the date fixed for the beginning of the Race Meeting.

1104. Every application for a license for dates to conduct thoroughbred horse racing shall contain the following:

I. OWNERSHIP AND MANAGEMENT

(a) State the name of the applicant.

(b) The applicant shall supply the following information:

(If the applicant has a parent corporation, the same information must be submitted both for the parent and applicant corporation.)

(1) On the initial application of a franchise holder for racing dates, a certified copy of the articles of incorporation of the applicant must be attached to the application and any applications for racing dates filed subsequent to the initial application and any subsequent amendments to the aforementioned articles of incorporation shall be attached thereto, so that there will be on file at the Commission at all times a current copy of the applicant's articles of incorporation and amendments thereto.

(2) State the name and address of each person who owns, of record or beneficially, one or more shares of any class of stock. This can be indicated in columnar forms as follows:

(A) Name and address

(B) Class of Stock

(C) Type of ownership, whether of record or beneficial

(D) Amount owned

(3) Describe briefly the terms of any voting trust in which any of the

capital stock is held and the name, address and class of stock for all stock held in said voting trust.

(4) Describe briefly the terms of any proxy by which any of the capital stock is held, the holder of the proxy and the name, address and class of stock for all stock held by said proxy.

(5) State whether five (5%) or more of the applicant's assets are encumbered by any long-term debt. If so, state the names and addresses of parties holding security interests or promissory notes from the applicant where the stock is pledged as security. Copies of such agreements shall be made available for inspection by the Commission on request.

(6) Outline briefly the dividend rights, voting rights, liquidation rights, preemptive rights, conversion rights and redemption provisions. If the rights of holders of such stock may be modified otherwise than by a vote of a majority or more of the shares outstanding, voting as a class, so state and explain briefly.

(7) List the names of all directors and officers of the applicant and all persons chosen to become directors or officers and attach a personal history resume for each person named. Indicate all positions and offices with the applicant held by each person named, and the principal occupation during the past five years of each person named.

(8) List all parents of the applicant showing the basis of control and as to each parent, the percentage of voting securities owned or other basis of control by any of its parents.

(c) Briefly describe any pending legal proceedings to which the applicant or any of its subsidiaries or parent corporation is a party or of which any of their property is the subject. Include the name of the court or agency in which the proceedings are pending, the date instituted and the principal parties thereto.

(d) State if the applicant or its directors, officers, policy-making manager or principal stockholders have owned an interest in any firm, partnership, association or corporation previously licensed by the Racing Commission, or are now engaged in the business of racing outside of the State of Arkansas. Explain.

(e) State all contracts by the applicant entered into within the year preceding the date of application, and all executory contracts not otherwise described pursuant to these Rules in which the consideration exceeds $10,000.00 and indicate the general type of contract involved and the names and addresses of the parties to the contract. A copy of any such contracts shall be made available for inspection by the Racing Commission on request.

(f) State whether the applicant and principal stockholders have complied with and are in compliance with Rule 1110.

II. RACING OPERATIONS

(a) Indicate by actual dates the racing dates requested by the applicant.

(b) Indicate the kind of racing to be conducted.

(c) Describe the pari-mutuel operation in general, and indicate in particular the terms of the pari-mutuel ticket sales.

(d) Describe the arrangements for food and drink concessions, and as to each concession contract indicate the general type of contract involved and the names and addresses of the parties to the contract. A copy of any such contract shall be made available for inspection by the Racing Commission on request.

(e) Describe any clubs or other special facilities for patrons.

III. INFORMATION SUBMITTED AFTER APRIL 1, 1970

Any information submitted after April 1, 1970, may reference information previously submitted under an application.

1107. In the event the control (whether majority or less of the capital stock) of any corporation holding a franchise for racing from the Racing Commission is to be conveyed, no sale or conveyance shall take effect until approval is obtained from the Racing Commission. The application of the purchases for the permission and approval of the Racing Commission shall contain, where applicable, the same information as is required to be furnished under Rule 1102.

DUTIES AND OBLIGATIONS

1110. (a) No applicant, officer, director or principal stockholder of the applicant, nor any officer or director of any corporation which is a principal stockholder of the applicant, nor any spouse or lineal heir of any such person, nor any corporation in which the applicant or an officer, director or principal stockholder of the applicant holds stock, shall, directly or indirectly, in the name of or on behalf of the applicant, promise or offer to give or cause or procure to be promised, offered or given, any money, goods, present or reward, or any promise, contract, undertaking, obligation or security for the payment or delivery of any goods, money, present or reward or any other thing of value whatsoever, to:

(1) Any member of the Racing Commission;

(2) Employees of the Racing Commission; or

(3) Any spouse, lineal heir or employee of any member of the Commission or any corporation in which any member of the Racing Commission is a principal stockholder, with the intent to influence the action or decision of any such person on any question, matter, cause or proceeding concerning the applicant, which may be pending or which may hereafter in the future be brought before any such person in his official capacity.

(b) No officer, director or principal stockholder of the applicant, nor any officer or director of any corporation which is a principal stockholder of the applicant, nor any spouse or lineal heir of any such person, nor any corporation in which an officer, director or principal stockholder is a principal stockholder shall:

(1) contract with the applicant (except for bona fide contract for salaries for directors and officers actually serving as such or for professional services actually rendered); or

(2) provide goods or services that are ultimately sold to applicant's patrons.

(c) Upon application to the Racing Commission, but prior to entering into any such contract or doing any such business or making any such payment or contribution, the provisions of subsections (a) and (b) of this Rule may be waived by the Racing Commission in its discretion if the proposed contract or the proposed business or any proposed payment or contribution is under the circumstances advantageous to the applicant in the conduct of its business of thoroughbred horse racing.

(d) No license shall be granted to any applicant if the applicant or any officer, director or principal stockholder of the applicant has been convicted of a felony by any court of record of any State or country.

1111. No applicant shall enter into any contract in which the term exceeds three (3) years or the consideration exceeds five percent (5%) of the net income of the applicant for the year immediately preceding the date of the contract without first submitting advance written notice thereof to the Racing Commission.

1112. Minutes of the meetings of stockholders and directors of the applicant shall be made available to the Racing Commission, but copies thereof need not be filed as a matter of record in the office of the Racing Commission.

1113. The original and six (6) copies of all applications, notices and other matters required by these Rules shall be filed with the Racing Commission Office, 1515 Building, 1515 West 7th Street, Suite 505, Little Rock, Arkansas 72203 (Post Office Box 3076, Little Rock, Arkansas, 72203). One (1) additional copy shall be submitted to each Commissioner at the Commissioner's address of record on file in the office of the Racing Commission. All applications, notices and other matters shall be verified, under oath, and all copies shall be manually signed in ink.

1115. Members of the Racing Commission and its designated representatives shall have the right of full and complete entry to any and all parts of the grounds and facilities of the franchise holder licensed to conduct racing in Arkansas.

1116. Each franchise holder shall provide and install within its grounds:

(a) an office for the use of the Racing Commission and its officials;

(b) stands for racing officials, which shall be maintained in positions commanding an uninterrupted view of the entire racing strip and which shall be subject to approval of the Racing Commission;

(c) a suitable telephone system between the racing officials; and

(d) a first aid room in the grandstand.

1117. No person shall in any manner or at anytime disturb the peace or make himself obnoxious on the grounds of a franchise holder.

1118. No franchise holder shall permit the making of handbooks on the grounds. Any person who bets with or through any such handbook shall be ejected from the grounds and refused admission to the grounds of all other licensed franchise holders in Arkansas, and in the case of an owner or trainer, the entries of said owner or trainer shall be refused for all Arkansas tracks.

1119. (a) With prior approval of the Racing Commission and consistent with applicable federal law, a franchise holder may enter into agreements and arrangements with other parties pursuant to which its patrons may wager on races run at other race tracks which are shown live by television or otherwise at locations on the grounds at the Arkansas racetrack at any time or times during the calendar year, and agreements and arrangements whereby its races are shown live at other racetracks and locations. Such agreements and arrangements shall specify all financial, wagering, distribution and other details, which shall govern.

(b) The franchise holder may conduct pari-mutuel wagering at locations on the grounds of the licensed race track of the franchise holder on races run at other racetracks, even if the races are not shown live to patrons at the franchise holder's premises for any reason, including, but not limited to, lapses in satellite transmission, power outage, store-and-forward digital network nodes, buffering spooling or other electronic delays or re-transmission, or due to races being run at times when the franchise holder's premises are not open to the public; provided that transmission delays or replays shall not compromise the integrity of pari-mutuel wagering by the franchise holder's patrons wagering on such races, and provided that the terms, conditions, formats, content and agreements relating to such races and any delays or replays thereof must be satisfactory to the Racing Commission.

1120. Any official or other employee of a franchise holder or any owner or trainer who unlawfully solicits bets from the public by correspondence or other methods on any entry that is to run on a track in Arkansas shall be suspended.

1121. No person who has been convicted of a felony by a Court for illegal sales, possession or giving away of narcotics shall be granted a license without prior approval of the Racing Commission.

1122. No person or horse ruled off or under suspension by any recognized turf authority, any licensing body in any country or any horse franchise holder, shall be admitted to the grounds of any franchise holder except that a jockey temporarily suspended for a minor offense shall not be denied admittance to the track.

1124. When a person is ruled off any course or suspended by any recognized turf authority, any licensing body of any State or country or any thoroughbred horse franchise holder, every horse owned in whole or in part by the person shall be ineligible to be entered or to start in any race until said horse has been reinstated either by the rescission of the owner's suspension or by its transfer through bona fide sale to an ownership acceptable to the Stewards.

1126. When a person is ruled off any course or suspended by any recognized turf authority, any licensing body of any State or country or any thoroughbred horse franchise holder, any horse which is under the person's care, management, training or superintendence shall not be qualified to be entered or to start in any race until said horse has been reinstated by the rescission of said person's suspension or by the placement of the horse in the hands of a licensed trainer, with such transfer approved by the Stewards.

1128. When a person is ruled off any course or suspended by any recognized turf authority, any licensing body of any country or any racing franchise holder, the person shall not be qualified, whether acting as an agent or otherwise, to subscribe for or to enter or run any horse in any race either in his own name or in that of any other person until the rescinding of that person's suspension.

1130. A thoroughbred horse or stable ruled off or under suspension by any recognized turf authority, any licensing body of any State or country or any racing franchise holder shall not be permitted to race on any Arkansas track where these Rules are in force during the continuance of such ruling.

1132. During the term of disqualification of any participant in racing, it shall be the duty of the franchise holder to see to it that the privileges of the participant's admission badge are revoked and that the participant is kept off of the grounds, unless otherwise permitted to enter under certain conditions and at certain times as may be provided for elsewhere in these Rules.

1133. Violators of any Rule will be subject to ejection from the grounds and/or to fine,

suspension or ruling off.

1134. The Stewards may fine, suspend or rule off any person who, in their opinion, has acted to the detriment of racing or violated the Rules.

1136. Each franchise holder shall police its grounds at all times in such a manner as to preclude the admission of any person in and around the stables excepting those having bona fide business or duly licensed by the Racing Commission.

1138. Each franchise holder shall furnish to the Racing Commission the names and addresses of all persons ejected by the franchise holder from its grounds, together with the offense or offenses alleged against them and any other material information relating thereto.

1139. The officials of the Race Meetings, in making decisions, shall be guided by the practices and procedures that are recognized and established in the conduct of all properly authorized Race Meetings.

1140. Racing franchise holders shall exclude from the paddock, in the interest of public safety, all those persons who have no immediate business with the entries, except the members of the Racing Commission, its staff and special representatives, and those having special permission from the franchise holder.

1141. Each franchise holder running a Race Meeting shall keep a separate account to be known as the Owner's Account and shall not mingle any of the funds therein with the general funds of the franchise holder.

1142. Sufficient funds shall be maintained in said account to cover all monies due owners in regard to purses, stakes, rewards and deposits.

1143. Racing franchise holders shall make prompt written acknowledgment of stake nominations and subscriptions.

1144. The Manager of the Pari-mutuel Department shall furnish the Racing Commission with a copy of a pool summary and liability report as soon as practicable after each race.

1146. In the case of fire or accident, or for other reasons, the Chairman of the Racing Commission may require the franchise holder to provide due public notice that there is an information window and/or a complaint window where complaints may be made or filed in writing and the exact locations of the windows must be set forth in said notice.

1147. All daily programs sold at the race track must contain a prominent notice that there is an information window where complaints may be made or filed in writing, and the exact locations of these windows must be set forth in said notice. Daily programs sold during the live racing meet shall contain (a) a notice that the race track is licensed by the Arkansas State Racing

Commission and operates under its regulations and (b) a listing of the members and manager of the Racing Commission, officers and directors of the franchise holder, and racing officials for the race meeting.

1148. A franchise holder shall maintain in good service a satisfactory Totalisator.

1149. The Racing Commission may require a franchise holder to install and maintain in good service a satisfactory photographic device, and where installed it shall be required that all finishes be recorded by the said photographic devices. However, in the event of any mechanical difficulty or insufficient light for a picture to be taken, the Placing Judges shall decide the order of finish, which decision shall be final.

1150. When finishes are so recorded, each entry in each race shall wear a number of adequate size on the side which at the finish will be towards the camera, corresponding to his number on the official program.

1151. All portions of purse money shall be made available to the winners thereof within ninety-six (96) hours, Sunday excluded, following the race involved. However, should the chemical analysis of any sample of a horse entitled to a share of the purse indicate the presence of a drug, except as provided for in Rule 1232, the purse may be revoked at any time up to nine (9) months and ordered redistributed by the Racing Commission. The failure of the owner or jockey testing positive for the presence of a drug to repay the funds to the proper person(s) as ordered by the Racing Commission shall result in the suspension and/or revocation of the owner's or jockey's license. The money shall be repaid to the proper person(s) for distribution as soon as possible after recovery. After the period of nine (9) months, all tests and obligations shall be void.

1152. (a) Every franchise holder shall carry on its books an account which shows the total due on outstanding unredeemed mutuel tickets, which represents the winning tickets not presented for payment. Further, every franchise holder shall at all times maintain adequate funds to pay said outstanding tickets.

(b) Live Races. With respect to live races run at the franchise holder's racing facility during the franchise holder's live race meeting, all winning pari-mutuel tickets not presented to the franchise holder for redemption on or before the 180th day next following the last racing day of the live racing meet shall be void as provided in Ark. Code Ann. Section 23-110-406(b). All moneys represented by such void pari-mutuel tickets shall be distributed as provided in Ark. Code Ann. Section 23-110-406(b).

(c) Simulcast Races. With respect to races run at other racing facilities and simulcast at the franchise holder's racing facility, all winning pari-mutuel tickets on such simulcast races run from January 1 through the last day of the live racing meet for such year (the "Last Live Race Meeting Day") not presented to the franchise holder for redemption on or before the 180th day next following such Last Live Race Meeting Day shall be void, and all winning

pari-mutuel tickets with respect to such simulcast races run after the Last Live Race Meeting Day through December 31 of such year not presented to the franchise holder for redemption on or before June 30 of the immediately next succeeding calendar year shall be void. All moneys represented by any such void pari-mutuel tickets shall be distributed by the franchise holder as provided in Ark. Code Ann Section 23-110-406(b) in the same manner as uncashed winning pari-mutuel tickets with respect to live races run at the franchise holder's racing facility.

1153. No person shall be allowed to wager in violation of any law of the State of Arkansas.

CORRUPT, FRAUDULENT AND PROHIBITED PRACTICES

1200. Employees of the Racing Commission, including but not limited to Stewards, Judges, Auditors, Investigators, Racing Commission Office Manager, Veterinarians, Secretaries, Bookkeepers, Gatemen and their assistants, and any person acting in the capacity of a Racing Official shall not wager money or anything of value on races at the track at which they are employed or acting.

1201. No one interested in the results of the race, either because of ownership of any entry, or of his sire or dam, because of bets or otherwise, shall act as a racing official in respect to that race.

1202. Racing officials while serving during any Race Meeting in such capacity shall not engage in the following:

(a) participate in the sale or purchase or ownership of any horse racing at the Race Meeting;

(b) be involved in any way in the purchase or sale of any contract on any jockey racing at the Race Meeting;

(c) sell or solicit horse insurance on any horse racing at the Race Meeting, or make any other business sales or solicitation not a part of the official's duties;

(d) wager on the outcome of any race; or

(e) accept or receive money or anything of value for such official's assistance in connection with his/her duties.

Racing officials shall include those officials who are approved and designated by the Racing Commission as racing officials for each live Race Meeting.

1203. Any person subject to these Rules shall report to the Stewards all observed violations of the Rules.

1204. No person shall enter, cause to be entered or start an entry that the person knows or believes to be ineligible or disqualified.

1205. If a person wrongfully gives or offers money, shares in a bet or provides other benefit to any person having official duties in relation to a race, or if a person having official duties in relations to a race wrongfully accepts or offers to accept moneys, shares in a bet or other benefit, or if any person fraudulently offers or receives any amount of money for the declaring any entry out of a purse or stake, then any such person shall be in violation of this Rule.

1206. No person licensed as a jockey at Oaklawn Park shall be owner or part owner of any horse racing at Oaklawn.

1207. No jockey riding in a race, nor his attendant, shall make any wager nor shall any wager be made on the behalf of a jockey or his attendant on any horse other than the horse ridden by said jockey in such race.

1208. No person shall offer or give a jockey any money or other benefit in connection with a race, unless said person is the owner or trainer of the horse ridden in said race by said jockey.

1209. No person shall assume or pay, directly or indirectly, a fine imposed upon a jockey; provided, however, the Racing Commission may authorize the payment of such fine by the owner or trainer of the horse ridden by the jockey at the time of the infraction resulting in such fine.

1210. No transfer of any thoroughbred horse shall be made for the purpose of avoiding ineligibility or disqualifications.

1211. A thoroughbred horse, starting in a race, shall not be shod with ordinary or training shoes or turn down shoes. Horses entered to race or training at Oaklawn Park are prohibited from wearing toe grabs with a height greater than two millimeters, bends, jar caulks, stickers or any other appliance worn on the front shoes.

1212. No person shall tamper or attempt to tamper with any thoroughbred horse in such a way as to affect his speed in a race, nor shall he counsel or in any way aid or abet any such tampering.

1213. Bar plates may be used only with the consent of the Stewards.

1214. No electrical or mechanical device or other expedient designed to increase or decrease the speed of a horse, or that would tend to do so, other than the ordinary whip, shall be

possessed by any one or applied by any one to a horse at any time on the grounds of a franchise holder during a Race Meeting, whether in a race or otherwise.

1215. While within the confines of a racetrack, its buildings or on the grounds, no person, other than a veterinarian licensed by the Racing Commission, shall have in his/her possession any equipment for hypodermic administration. Non-injectable medication prescribed by a properly licensed veterinarian for an existing condition may be possessed.

1216. No person shall administer, permit or authorize the administration of any narcotic, stimulant, tranquilizer, depressant, local anesthetic, steroids, NSAIDS or any substance which interferes with recognized testing procedures, except as provided in Rule 1232, in any manner whatsoever, internally or externally, to any horse after 6:00 p.m. prior to each race day. The administration of any drugs or chemical substance shall be done at the risk of the person or persons administering, authorizing or permitting the administration, and all such persons shall assume the risk that if the horse tests positive after an analysis by the Racing Commission Chemist, it shall be a violation of Rule 1233, whether the drug or chemical substance was administered before or after 6: 00 p.m. prior to race day.

1217. See separate booklet for Rule 1217, Uniform Classification Guidelines for Foreign Substances and Recommended Penalties.

1218. Any trainer, attendant, owner, veterinarian or other person who shall participate in the illegal administration of any drug, medication or chemical substance to any horse entered in a race, or otherwise tamper with an entered horse for the purpose of enhancing or retarding the performance of such a horse, shall be punished to such an extent as the Stewards rule.

(a) Upon receipt of a positive laboratory report, the Stewards shall direct that no undistributed money earned by the positive horse be awarded pending a final determination of the matter. Distributed purse money may be ordered returned by the Stewards. If it is determined that a violation has occurred, the purse money will be ordered forfeited and redistributed among the other horses in the race as determined by the Stewards. Pari-mutuel wagering shall not be affected by purse money redistribution.

1219. Any trainer, attendant, owner or other person having on his past record three (3) or more convictions of unlawful stimulation in Arkansas or any other State may be denied a license on any Arkansas track.

1219-A. In addition to any other penalties prescribed in the rules and regulations adopted by the Commission, any trainer found by ruling of the Stewards, and affirmed by the Commission, to have violated Rule 1233 with respect to a horse in an Arkansas race, on three (3) or more separate occasions within a two (2) year period (determined on the basis of the date the race occurred), involving any category (1, 2, 3, 4 or higher) substance (as defined in Rule 1217), may be suspended by the Stewards or Commission for up to ninety (90) days. If the violation involves a category 1, 2 or 3 substance (as defined in Rule 1217), on three (3) or more such

separate occasions within the two (2) year period, the trainer may be suspended by the Stewards or Commission for up to one hundred eighty (180) days, and if the violation involves a category 1 or 2 substance (as defined in Rule 1217), on three (3) or more such separate occasions within the two (2) year period, the trainer may be suspended by the Stewards or Commission for up to one (1) year. In the event the Stewards or Commission find that a series of multiple violations occurring before test results were communicated, or otherwise reasonably available, to the trainer, were caused by the same circumstance, the Stewards or Commission may treat such finding as a single violation, solely for purposes of this rule. This rule shall become effective beginning for violations occurring with respect to horses in races taking place after the effective date of enactment of this rule.

1220. Any franchise holder, owner, trainer or other licensee employing persons at Oaklawn Jockey Club who fails to hire qualified persons for the job duties assigned to said employer's employees or fails to adequately supervise, direct or train the employees so employed by said employer may be fined, suspended or excluded.

1221. Any time a horse is disqualified from a race for any reason, the purse money won by that horse shall be redistributed, unless the Commission finds that there are special circumstances justifying the owner, trainer or jockey sharing in the purse.

1231. Total Dissolved Carbon Dioxide Testing

A. Definitions

1. *Bicarbonate Loading or Milkshaking"* -- terms used to describe the administration of bicarbonate of soda (sodium bicarbonate or $NaHCO_3$) or other substances that affect total dissolved carbon dioxide levels, administered through a nasogastric tube or by any other means, which shall be deemed to have an adverse effect on the horse by changing its normal physiological state through elevation of blood total dissolved carbon dioxide.

2. *Nasogastric Tube* -- any tube which can be inserted through the nose that extends into the stomach.

B. Procedures

1. The state veterinarian may draw blood samples from a horse for the purpose of obtaining a TCO_2 (total dissolved carbon dioxide) concentration level.

2. Blood samples for TCO_2 shall be drawn at the discretion of the State Veterinarian.

3. The TCO_2 level in the blood shall not exceed:

a. 39.0 millimole per liter if the horse is competing on furosemide (lasix) or other permitted medication known to affect TCO_2;

b. 37.0 millimole per liter if the horse is not competing on furosemide (lasix) or other permitted medication known to affect TCO_2.

4. In the event a sample drawn from a horse contains an amount of TCO_2 which exceeds the levels described above, the following penalties shall apply:

a. The first time the laboratory reports an excessive TCO_2 level, the trainer shall be fined $1,000 and the purse shall be redistributed.

b. The second time the laboratory reports an excessive TCO_2 level, the stewards shall suspend the trainer for the duration of the race meeting plus 10 days or for a period not to exceed 6 months, whichever is greater, impose a fine of up to $1,500, with redistribution of the purse, and shall refer the case to the commission.

c. For each subsequent report of an excessive TCO_2 level, the Stewards or Commission may suspend the trainer for up to one year and impose a fine of up to $2,500, with redistribution of the purse.

5. There shall be no split sample testing on blood samples drawn for purposes of TCO_2 testing.

6. No licensee other than veterinarians shall possess a nasogastric tube, as described herein, on the premises under the jurisdiction of the commission.

1232. MEDICATION: FUROSEMIDE (LASIX)

(1) Furosemide may be administered intravenously to a horse, which is entered to compete in a race. Except under the instructions of the official veterinarian or the racing veterinarian for the purpose of removing a horse from the Veterinarian's List or to facilitate the collection of a post-race urine sample, furosemide shall be permitted only after the official veterinarian has placed the horse on the Furosemide List. In order for a horse to be placed on the Furosemide List the following process must be followed.

(a) After the horse's licensed trainer and licensed veterinarian determine that it would be in the horse's best interests to race with furosemide they shall notify the official veterinarian or his/her designee, using the prescribed form, that they wish the horse to be put on the Furosemide List.

(b) The form must be received by the official veterinarian or his/her designee by the proper time deadlines so as to ensure public notification.

(c) A horse placed on the official Furosemide List must remain on that list unless the licensed trainer and licensed veterinarian submit a written request to remove the horse from the list. The request must be made to the official veterinarian or his/her designee, on the proper form, no later than the time of entry.

(d) After a horse has been removed from the Furosemide List, the horse may not be placed back on the list for a period of 60 calendar days unless it is determined to be detrimental to the welfare of the horse, in consultation with the official veterinarian. If a horse is removed from the official

Furosemide List a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

(2) The use of furosemide shall be permitted under the following circumstances on association grounds where a detention barn is utilized:

(a) Furosemide shall be administered at the direction of the official veterinarian no less than four hours prior to post time for the race for which the horse is entered.
(b) A horse qualified for furosemide administration must be brought to the detention barn within time to comply with the four-hour administration requirement specified above.
(c) The dose administered shall not exceed 500 mg. nor be less than 150 mg.
(d) Furosemide shall be administered by a single, intravenous injection.
(e) After treatment, the horse shall be required by the Commission to remain in the detention barn in the care, custody and control of its trainer or the trainer's designated representative under association and/or Commission security supervision until called to the saddling paddock.

(3) The use of furosemide shall be permitted under the following circumstances on association grounds where a detention barn is not utilized:

(a) Furosemide shall be administered no less than four hours prior to post time for the race for which the horse is entered.
(b) The furosemide dosage administered shall not exceed 500 mg. nor be less than 150 mg.
(c) Furosemide shall be administered by a single, intravenous injection.
(d) The trainer of the treated horse shall cause to be delivered to the official veterinarian no later than one hour prior to post time for the race for which the horse is entered the following information under oath on a form provided by the Commission:
    (i) The name of the horse, racetrack name, the date and time the furosemide was administered to the entered horse;
    (ii) The dosage amount of furosemide administered to the entered horse; and
    (iii) The printed name and signature of the attending licensed veterinarian who administered the furosemide.

(4) Test results must show a detectable concentration of the drug in the post-race serum, plasma or urine sample.

(a) The specific gravity of post-race urine samples may be measured to ensure that samples are sufficiently concentrated for proper chemical analysis.

The specific gravity shall not be below 1.010. If the specific gravity of the urine is found to be below 1.010 or if a urine sample is unavailable for testing, quantitation of furosemide in serum or plasma shall be performed;

(b) Quantitation of furosemide in serum or plasma shall be performed when the specific gravity of the corresponding urine sample is not measured or if measured below 1.010. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(5) (a) Any licensee who administers, or is party to or responsible for administering Furosemide to a horse resulting in a positive test for the presence of Furosemide in the horse of an amount in excess of 100 ng/ml, including the trainer of any horse testing positive for the presence of Furosemide in an amount in excess of 100 ng/ml, shall be subject to the following penalties:

(i) **For a first offense**: Fine of not less than $250 absent mitigating circumstances.

(ii) **For a second offense within a 365-day period**: Fine of not less than $500 absent mitigating circumstances.

(iii) **For a third offense within a 365-day period**: Fine of not less than $1,000 and suspension for fifteen (15) days absent mitigating circumstances.

(b) Any licensee responsible for not administering Furosemide when the horse has been identified as a horse on Furosemide, including the trainer of the horse, shall be subject to the following penalties:

(i) **For a first offense**: Fine of not less than $250 absent mitigating circumstances.

(ii) **For a second offense within a 365-day period**: Fine of not less than $500 absent mitigating circumstances.

(iii) **For a third offense within a 365-day period**: Fine of not less than $1,000 and suspension for fifteen (15) days absent mitigating circumstances.

1232(A). Non-Steroidal Anti-Inflammatory Drugs (NSAIDs)

(1) The use of one of three approved NSAIDs shall be permitted under the following conditions:

(a) Not to exceed the following permitted serum or plasma threshold concentrations which are consistent with administration by a single intravenous injection at least 24 hours before the post time for the race in which the horse is entered:
    (i) Phenylbutazone (or its metabolite oxyphenylbutazone) – 5 micrograms per milliliter;
    (ii) Flunixin – 20 nanograms per milliliter;
    (iii) Ketoprofen – 10 nanograms per milliliter.

(b) These or any other NSAID are prohibited to be administered within the 24 hours before post time for the race in which the horse is entered.

(c) The presence of more than one of the three approved NSAIDs or any unapproved NSAID in the post-race serum or plasma sample is not permitted. The use of all but one of the approved NSAIDs shall be discontinued at least 48 hours before the post time for the race in which the horse is entered.

(2) Any horse to which a NSAID has been administered shall be subject to having a blood and/or urine sample(s) taken at the direction of the official veterinarian to determine the quantitative NSAID level(s) and/or the presence of other drugs which may be present in the blood or urine sample(s).

1232(B). Anti-Ulcer Medications

The following anti-ulcer medications are permitted to be administered, at the stated dosage, up to 24 hours prior to the race in which the horse is entered.

(1) Cimetidine (Tagamet®) – 8-20 mg/kg PO BID-TID
(2) Omeprazole (Gastrogard®) – 2.2 grams PO SID
(3) Ranitidine (Zantac®) – 8 mg/kg PO BID

1232(C). Phenylbutazone (Bute)

The owner, trainer, or other licensees associated with any horse testing positive for Phenylbutazone ("Bute") and/or Oxyphenbutazone shall be subject to the following penalties for the levels of Bute detected:

5.1 - 9.9 micrograms:

1st offense within a 365-day period (in any jurisdiction): Fine of not less than $250 absent mitigating circumstances.

2nd offense within a 365-day period (in any jurisdiction): Fine of not less than $500 absent mitigating circumstances.

3rd offense within a 365-day period (in any jurisdiction): Fine of not less than $1,000 and suspension for fifteen (15) days absent mitigating circumstances.

Over 9.9 micrograms:

1st offense within a 365-day period (in any jurisdiction): Fine of not less than $500 absent mitigating circumstances; loss of purse; and horse must pass commission approved examination before being eligible to run.

2nd offense within a 365-day period (in any jurisdiction): Fine of not less than $1,000 and suspension for fifteen (15) days absent mitigating circumstances; loss of purse; if same horse, placed on veterinarian's list for 45 days; and horse must pass commission approved examination before being eligible to run.

3rd offense within a 365-day period (in any jurisdiction): Fine of not less than $2,500 and suspension for thirty (30) days absent mitigating circumstances; loss of purse and fine of not less than $5,000 for the owner; and if same horse, placed on veterinarian's list for 60 days; and horse must pass commission approved examination before being eligible to run.

1233. THE TRAINER SHALL BE RESPONSIBLE FOR AND BE THE ABSOLUTE INSURER OF THE CONDITION OF AN ENTRY THE TRAINER ENTERS REGARDLESS OF THE ACTS OF THIRD PARTIES. Should the chemical analysis of saliva, urine or blood specimen detect the presence of any drug, medication or chemical substances, including but not limited to narcotics, stimulants, tranquilizers, depressants, steroids, NSAIDS or any substance which interferes with testing procedures, the trainer of the horse may, in the discretion of the Stewards, subject to appeal to the Racing Commission, be fined, suspended and ruled off the track. In addition, any other person responsible for the care or attendance of the horse may be penalized as determined by the Stewards or Racing Commission.

(a) A licensed assistant trainer shall assume the same duties and responsibilities as imposed on the holder of a trainer's license. The designation of an assistant trainer shall not relieve the trainer's absolute responsibility for the condition of the entry, but shall, in addition, place the assistant trainer under such absolute responsibility. It is the intent of this Rule that both the trainer and the assistant trainer may, in the discretion of the Stewards or Racing Commission, be fined, ruled off or otherwise penalized under the absolute insurer Rule for the same incident or infraction.

(b) The trainer shall be responsible for:

(1) Using the services of those veterinarians licensed by the Commission to attend horses that are on association grounds;

(2) Immediately reporting the alteration of the sex of a horse to the horse identifier and the racing secretary;

1234. Any person who has been convicted by any court having proper jurisdiction of the possession or use of narcotics may be denied a license or be ruled off.

1235. At the beginning of each racing season, the Racing Commission may employ a Chemist for the purpose of making chemical analysis of saliva or other excretions or body fluids taken by the Commission Veterinarian from any entry running on any track operating under a franchise from the Racing Commission.

1236. If after a race a sample of saliva or other excretions or body fluids is to be taken by the Commission Veterinarian from a horse that has competed in the race, nothing shall be administered or given in any manner whatsoever to the said horse (except with the permission of and in the presence of the Track Veterinarian or Commission Veterinarian) until the Commission Veterinarian obtains the sample.

1237. The Commission Veterinarian, at his discretion, may administer a diuretic to any horse from which a urine sample is to be taken in order to expedite the test of the horse, unless the trainer of the horse shall have expressly requested otherwise in a signed statement delivered to the Commission Veterinarian prior to the administration by the Commission Veterinarian of the diuretic to the horse.

1238. Every owner or his authorized agent or trainer of any entry shall immediately, upon request by the Racing Commission, submit any entry of which he is the owner or authorized agent or trainer to any veterinarian designated by the Racing Commission for such examination or tests as said veterinarian may deem advisable. The Commission Veterinarian may detain an entry as long as he deems necessary in order to obtain a specimen.

1239. During the taking of the samples by the Commission Veterinarian, the owner or trainer in each instance must be present and witness the procedure. If for any reason the owner or trainer cannot be present, he must first advise the Commission Veterinarian in writing the name of the person to act as his witness when the sample is taken.

1240. The sample so taken shall be immediately sealed in a container in the presence of the owner, trainer or witness, and evidence of such sealing must be noted thereon by the signature of the owner, trainer or witness. The owner, trainer, witness or chemist appointed by them shall have the right and opportunity to witness the examination and testing of said specimen by the Commission Chemist, provided that a written request for same, signed by the owner or trainer, is submitted to the Commission Veterinarian at the time of the taking of the specimen. Thereupon, said owner or trainer will receive written notification of the time and place of such examination and testing, and in such event the seal of the container containing said specimens shall not be disturbed by the Commission Chemist until the time so appointed and in the presence of the chemist selected by the owner or trainer, if said chemist selected by the

owner or trainer is present at the time and place so appointed for the examination and testing.

1241. Upon such sealing of the container containing the specimens, the container shall be encased and deposited in a large-size carrying case, all of which shall be stored and kept while at the racetrack. Any such carrying case shall be properly locked with an individual lock, the keys to which shall only be in possession of the Commission Veterinarian and the Commission Chemist.

1242. The franchise holder shall make provisions for suitable space, with a door equipped with proper lock and keys, where such specimens can be stored by the Commission Veterinarian. The Commission Veterinarian shall be in control and custody of all specimens until they are transported to the Commission Chemist.

1243. The Commission Veterinarian and Commission Chemist shall each keep, in addition to the record placed on the containers containing the specimen, separate individual records showing date, track, race, entry, name of owner, name of trainer, name of witness, name of guard, name of transportation agent who delivered the specimen to the Commission Chemist and the time and date of receipt by the Commission Chemist, analysis made and result thereof.

1244. Every franchise holder and all officials and employees thereof shall give every possible aid and assistance to any department, bureau, divisions, officer, agent, inspector or any other person connected with the United States Government or with the State of Arkansas or any local authority who may be investigating or prosecuting any such person they may suspect of being guilty of possessing any drug, hypodermic needles, batteries or other similar appliances.

1245. Any horse that has been the subject of fraudulent practice may be disqualified by the Stewards for no longer period than the duration of the meeting.

1245(A)

(a) Any horse exhibiting a positive response to a test for the presence of any antibodies of any blood doping agent, including, but not limited to, Erythropoietin, Darbepoetin, Oxyglobin and Hemopure, (a "Blood Doping Agent") shall be ineligible to start or race until the owner or trainer, at his or her own expense, provides proof, in a form and substance acceptable to the Stewards, of a subsequent negative test result for antibodies of Blood Doping Agents from a laboratory approved by the Commission, provided any such test sample and test must be obtained and conducted under collection and test procedures acceptable to the Commission Veterinarian. The Blood Doping Agents to be tested for shall include Erythropoietin, Darbepoetin, Oxyglobin, Hemopure and such other blood doping agents determined from time to time by the Commission Veterinarian.

(b) Notwithstanding any inconsistent provision of these Rules, the trainer of the horse shall not be subject to application of the trainer's responsibility penalty based solely on a finding by the laboratory that the Blood Doping Agent first detected in the initial positive test remains present in the horse in a subsequent sample taken from that horse for purposes of any subsequent

test for Blood Doping Agents performed on the horse in an effort to determine the horse's re-eligibility to start and race again pursuant to subsection (a) of this Rule.

1247. If any owner, trainer, attendant or any person uses profane or indecent language to officials or otherwise disturbs the peace on the grounds of the franchise holder, he or she shall be liable for a fine, suspension, or both, or shall be ruled off.

1248. The Stewards may fine, suspend or rule off any person who in their opinion has acted to the detriment of racing or who has violated any of the Rules of the Commission.

1249. Should any licensee of the Racing Commission incur expenses while racing at any licensed track and wrongfully refuses to pay the same when due and payable, or within a reasonable time after demand, such licensee shall be deemed guilty of conduct detrimental to the best interest of racing, and for such reason may be suspended until proper restitution is made.

1250. Employees or patrons who are careless of the safety of themselves and others, negligent, insubordinate, dishonest, immoral, quarrelsome or otherwise vicious, or who do not conduct themselves in such manner and do not handle their personal matters while on the premises of any licensed track in such a way that the franchise holder or Racing Commission will not be subject to criticism or loss of good will, will be prohibited or removed from the premises of any track and denied wagering privileges.

1251. Complaints against an official or officials shall be made to the Stewards in writing, signed by the complainant(s). Complaints charging any infraction of any law of the State of Arkansas or Rule of the Racing Commission may be made by any person, but if the complainant is an owner, trainer or authorized agent and if he fails to substantiate the charge, he may be liable for a fine, suspension or any other appropriate sanction. All such complaints shall be reported to the Racing Commission, together with the action taken on them by the Stewards.

1252. The Stewards shall take notice of corrupt and fraudulent practices and other infractions of the Laws or Rules of the Racing Commission.

1253. Stewards may exclude from all places under their control any person who has been excluded by the appropriate horse racing authority of any other state, territory or country from racing facilities located in such other state, territory or country. Stewards may decline to license, or may suspend any license theretofore granted to, and may exclude any person who they find has violated any of these Rules, provided any such suspension and exclusion shall be for a period not exceeding the license year and may fine any such licensee any amount up to and including $2,500. All fines shall be paid to the Racing Commission. If the Stewards are of the opinion that the violation or violations involved warrant greater punishment, they shall so report to the Racing Commission. The Stewards shall make daily reports in writing to the Racing Commission of the rulings.

1254. When any licensee, horse or stable is suspended by the Stewards, such suspension

shall immediately become effective on all other tracks under the jurisdiction of the Racing Commission until such time as the case in question is decided upon by the Racing Commission. The license of anyone ruled off of any Arkansas track for fraud or fraudulent practice, or for violation of any of the Rules of Racing of the Racing Commission shall thereby be revoked. When a person is ruled off for any fraudulent practice in relation to a particular horse wholly or partly belonging to him, he shall return all money or prizes that such horse has fraudulently won. Fines must be paid within forty-eight (48) hours. Delinquents may be summarily suspended. All fines shall be collected by the Racing Commission. An unpaid fine may not be rescinded by the Stewards except with the approval of the Racing Commission.

1255. No racing official other than a Steward or Official Starter shall have the right to impose a fine or suspension.

1256. Any persons, firms, associations or corporation penalized or disciplined under the Law or under these Rules, or who is otherwise aggrieved by any action, proceeding or decision of a racing official or franchise holder licensed by the Racing Commission may appeal to the Racing Commission for a review of such action, proceeding or decision by requesting a hearing before the Racing Commission, which may take whatever action it deems appropriate.

1257. Appeals to the Racing Commission must be filed in writing at the office of the Racing Commission within three days after the date of said action, proceeding or imposition of said discipline or penalty.

1258. Appeals to the Racing Commission shall be signed by the person making it, and must set forth his reasons for believing he is entitled to a hearing.

1259. All papers filed with the Racing Commission shall be the property of the Racing Commission.

1260. An appeal from any action, proceeding or decision of a racing official or franchise holder shall not serve to stay or otherwise affect such action, proceeding or decision until the appeal has been acted upon by the Racing Commission, unless otherwise ordered by the Racing Commission or by a Court of competent jurisdiction.

(a) An appeal from any action, proceeding or decision of a racing official or franchise holder, hearings on misconduct of jockeys, owners or trainers, applications for franchises, licenses or dates to conduct Race Meetings, and similar matters shall be heard by the Racing Commission at an informal hearing on not less than twenty-four (24) hours' notice. Minutes of said hearings shall be kept by the Racing Commission, which minutes need not be verbatim.

(b) All other hearings conducted by the Racing Commission shall be formal hearings and governed by the following practices and procedures:

(1) **Pleadings.** Pleadings before the Racing Commission shall be by application or complaint, answer, motion and reply. All pleadings shall be typewritten and unless otherwise required by law, filed in duplicate with one additional copy mailed to each member of the Racing Commission at his address of record.

(2) **Transcript.** The proceedings shall be reported and transcribed by a qualified court reporter. However, a transcript of the proceedings shall be made at the expense of the Racing Commission only if directed by the Chairman or any two Commission members. Minutes of the proceedings shall be maintained by the Racing Commission and need not be verbatim.

(3) **Notice.** Except as otherwise provided by law, not less than twenty-four (24) hours' notice of the proceedings shall be served upon the applicant, all other parties and such persons that have requested notice of the proceedings in writing, which request shall be directed to the Chairman of the Racing Commission.

(c) Formal and informal hearings shall be governed by the following practice and procedures:

(1) **Attorneys**. Any person or party affected by the proceedings shall be entitled to represent himself or be represented by an attorney at law to be retained at his expense; provided, however, the Chairman may prohibit an attorney from practicing before the Racing Commission on a showing that such attorney has personally engaged in conduct in violation of the laws of the State of Arkansas pertaining to thoroughbred horse racing or Rules of the Commission.

(2) **Service of Complaint and Notice**. Service of all notices and complaints shall be accomplished by sending same to such person or his agent for service. The complaint or notice must be sent by certified or registered United States mail addressed to the recipient, with return receipt requested, or by service by an officer authorized to serve process. A proof of service shall be filed with the Racing Commission.

(3) **Depositions**. Upon application to the Chairman, the Chairman may cause depositions of witnesses to be taken in such manner as he may direct.

(4) **Public Hearings**. All hearings before the Racing Commission shall be open to the public.

(5) **Subpoena**. Any party to a hearing before this Racing Commission, including an applicant, may on written or oral motion to the Chairman and the Commission, its attorney or any Commissioner request the issuance of a subpoena, both ad testificandum and duces tecum, for any witness to appear before the Racing Commission. Upon receiving the request, the Chairman shall issue the requested subpoena directed to the Sheriff of the County of the witness's residence or any other officer authorized by law to serve process,

requiring him to summon the person named therein to attend at a particular time and place to testify as a witness. It may, when the Chairman so directs, require the witness to bring with him any book, writing paper, document, tape, record or other thing under his control. The subpoena shall be served and the return made, as provided by law for the Circuit Courts of this State. Any witness subpoenaed shall attend and give evidence until the matter before the Racing Commission is decided or such witness is discharged by the Chairman. The failure to appear and be sworn shall be punished as provided by law.

In any case not provided for by this Rule with regard to the issuance of subpoenas, the law of Arkansas with regard to subpoenas issued by the Circuit Courts of this State shall apply.

(6) **Conduct of Hearing**. The Chairman shall have the power to preserve and enforce order during any proceeding before the Racing Commission, to administer oaths, to rule upon all questions arising during the course of the hearing, to hold conferences before and during the hearing for the settlement or simplification of issues, to make or recommend decisions, to compel the attendance and testimony of the witness, to require the production of books, papers, documents and other evidence, and generally to regulate and guide the course of the pending proceeding. In the absence of the Chairman, a majority of the remaining members of the Commission may select one of their number to act as Chairman, and the acting Chairman shall thereupon be authorized to discharge the duties of Chairman. Except as otherwise provided by Law for the conduct of hearings by the Racing Commission, the hearings shall be conducted as prescribed for adjudication and rule making under the Arkansas Administrative Procedure Act.

(7) **Appearance**. Unless incapacitated, a person placing a claim or defending a privilege before the Racing Commission shall appear in person, and may not be excused from answering questions directed by the Commission or its attorney and supplying information thereto.

(8) **Consolidation of Issues**. Hearings involving several applicants or complaints having a common issue may be joined and heard together at the discretion of the Racing Commission.

(9) **Action by Commission**. All orders, findings of fact, rulings and other formal action taking by the Racing Commission during the course of a hearing or at the conclusion thereof shall be in writing and a copy furnished to all parties and persons that have requested notice pursuant to subsection (b) (3) above. Any member of the Racing Commission may submit a minority or supplemental report or dissent. Orders of the Racing Commission and all pleadings and applications shall be maintained on permanent file by the Racing Commission for public inspection.

(10) **Costs**. The Racing Commission may tax appropriate costs to any person or party.

(d) Any person or party shall, upon written application to the Racing Commission, be entitled to a formal hearing upon posting a bond for costs thereof. The provisions of this Rule are severable.

TRACK VETERINARIAN

1261. Each Association shall have a registered veterinarian licensed to practice under the laws of Arkansas. The Track Veterinarian shall be on the grounds at pre-post weighing in time and during all racing hours. No Track Veterinarian, during the Track Veterinarian's employment by the Association, shall be permitted to engage in private veterinary practice involving thoroughbreds racing at Oaklawn Jockey Club; nor be employed by or receive any compensation directly or indirectly from any owner or trainer licensed by the Commission during the current Race Meeting; nor sell or buy, for himself/herself or another, any thoroughbred; nor place any wager in any manner on any race run at the Association; nor sell any drug supplies; nor sell horse insurance; nor be licensed to participate in racing in any other capacity during the course of the Race Meeting.

1262. The Track Veterinarian shall, in general, familiarize himself/herself with the racing conditions of all entrants, and if, in the Track Veterinarian's opinion, any entrant is not in condition to race, the Track Veterinarian shall notify the Stewards and the Racing Secretary at least one hour before the start of the race of the day.

1263. The Track Veterinarian shall be present in the paddock before each race, and shall inspect each entrant. If, in the Track Veterinarian's opinion, any entrant is not in condition to complete in the race, the Track Veterinarian shall immediately notify the Stewards.

1264. In EITHER of the aforementioned cases, the Stewards shall determine whether or not the horse automatically goes on the Veterinarian's List. If placed on the Veterinarian's List, the horse shall not be permitted to enter until the Veterinarian notifies the Racing Secretary and the Stewards that the horse is again fit to compete.

1265. The Track Veterinarian shall be attendant to the Stewards and the Racing Secretary at scratch time each day and shall examine such horses as they request, and make a report to the said racing official as promptly as possible.

COMMISSION VETERINARIAN

1266. The Racing Commission shall appoint and employ a Commission Veterinarian during the Race Meeting. The Commission Veterinarian shall be a veterinarian licensed to practice under the laws of Arkansas and be in good standing. The Commission Veterinarian shall be on the grounds at pre-post weighing in time and during all racing hours.

1267. The Commission Veterinarian and the Commission Veterinarian's assistants are prohibited, except in emergency situations, from practicing veterinary medicine on any horse

owned, leased or otherwise controlled by a licensed owner or trainer at Oaklawn Park. This prohibition applies to all horses, whether they are housed on the track or elsewhere.

1268. The Commission Veterinarian or the Commission Veterinarian's assistant shall obtain saliva and/or body fluids from such horses as are designated by the Stewards or the Commission, and make such examination and tests as from time to time may be required by the said racing officials and Racing Commission.

1269. An Official Observer is a designated representative of the Racing Commission and is authorized access and entry to all parts of the grounds of all franchise holders licensed to conduct racing in Arkansas, and may perform any duty delegated by the Racing Commission. All Commissioners who have served on the Racing Commission shall be Official Observers.

ANIMAL HEALTH

1269. (a) All horses on the grounds of Oaklawn Jockey Club must have a record of a negative official Coggins test conducted at an approved laboratory within the previous twelve (12) months. Proper evidence of this test must be attached to the foal papers, kept current and placed on file in the office of the Racing Secretary at Oaklawn. This applies to all horses and is not limited to racing animals.

(b) In addition, all horses which are sold, bartered, traded, given free of charge or offered for sale, or any horses otherwise exchanged for any reason on the grounds of Oaklawn Jockey Club must be accompanied by a record of a negative official Coggins test conducted at an approved laboratory within the previous six (6) months. Both the buyer and the seller are equally and individually responsible for meeting the Coggins testing requirements prior to the effective time of the sale or change of ownership; provided, however, see subsection (c) below with respect to claiming races.

(c) If any horse claimed in a claiming race does not meet the Coggins testing requirements set forth in subsections (a) and (b) above, it shall be the responsibility of the buyer to (1) promptly engage an accredited veterinarian to collect a blood sample from the horse and to have a Coggins test performed on the blood sample at an approved laboratory, and (2) file the results of the test with the Racing Secretary and the Racing Commission office within seven (7) days (excluding Sundays and generally recognized holidays) after the date of the claim.

(d) In addition to other potential penalties under applicable law, failure by claimant to comply with the forgoing testing requirements shall be considered a violation of this Rule, and the claimant shall be subject to penalties as determined by the Racing Commission, including possible suspension or fines up to $1,000 per day for each subsequent day with which the claimant fails to comply with this Rule.

(e) For purposes of this Rule, an "approved laboratory" means a laboratory that is approved by the USDA and the State Veterinarian to conduct an official test for equine

infectious anemia.

1270. Postmortem Examination

(1) The Commission may conduct a postmortem examination of any horse that is injured in this jurisdiction while in training or in competition and that subsequently expires or is destroyed. In proceeding with a postmortem examination the Commission or its designee shall coordinate with the trainer and/or owner to determine and address any insurance requirements.

(2) The Commission may conduct a postmortem examination of any horse that expires while housed on association grounds or at recognized training facilities within this jurisdiction. Trainers and owners shall be required to comply with such action as a condition of licensure.

(3) The Commission may take possession of the horse upon death for postmortem examination. The Commission may submit blood, urine, other bodily fluid specimens or other tissue specimens collected during a postmortem examination for analysis . Upon completion of the postmortem examination, the carcass may be returned to the owner or disposed of at the owner's option.

(4) The presence of a prohibited substance in a specimen collected during the postmortem examination may constitute a violation.

The cost of Commission-ordered postmortem examinations, testing and disposal shall be borne by the Commission.

RULES FOR THOROUGHBRED RACING OFFICIALS & DUTIES

2000. The racing officials of a Race Meeting shall include a Clerk of Scales, a Handicapper, a Paddock Judge, three Placing Judges, a Racing Secretary who may also be the Handicapper, a Starter, three Stewards, Commission Clocker, a Track Superintendent, a Track Veterinarian and others as determined pursuant to Rule #1202.

2001. One of the Stewards for each Race Meeting and one Placing Judge shall be named by the Racing Commission. The franchise holder holding the Race Meeting shall name the second, and the Racing Commission and the franchise holder together shall name a third. All other officials therein designated shall be appointed by the franchise holder holding the Race Meeting. All the appointments, including the Stewards, are subject to the approval of the Racing Commission, which reserves the right to demand a change of personnel for what it deems good and sufficient reasons. The successors to officials so replaced are subject to the approval of the Commission. The franchise holder is hereby directed to submit to the Commission the names of

the officials prior to the start of any Race Meeting.

CLERK OF SCALES

2003. The Clerk of Scales shall weigh all jockeys out and in.

2004. The Clerk of Scales shall record and publish on the notice Board any overweight or any change of jockey, weight or racing colors as compared with those stated on the official program, and shall promptly supply all proper racing officials with all pertinent changes.

2005. The Clerk of Scales shall promptly report to the Stewards any infraction of the Rules with respect to weight, weighing or riding equipment.

2006. The Clerk of Scales shall be responsible for completion of all data required on the Scale Sheet, and submit that data to the Horsemen's Bookkeeper after the running of each race.

HANDICAPPER

2007. The Handicapper, who may be the Racing Secretary, shall assign the weights to be carried by each horse in a handicap.

2008. The Handicapper shall append to the weights for every handicap the day and hour from which winners will be liable to weight penalty.

2009. If there are no penalties, that fact shall be appended to the weights. No alteration of weights shall be made after publication.

2010. In case of omission through error of the name or weight of a horse duly entered, the omission shall be rectified by the Handicapper.

JOCKEY ROOM

2011. It shall be the duty of the Jockey Room Custodian, working under the Stewards' supervision, to see to it that order, decorum and cleanliness are maintained in the jockey and scale rooms.

2012. The Custodian shall assist the Clerk of Scales in any way that official requires.

2013. The Custodian shall see to it that no person, other than racing officials, the Racing Commission and the necessary jockey room attendants are admitted to the jockey room on a race day without consent of the Stewards for each time of entry.

2014. The Custodian shall oversee the care and storage of all racing colors.

2015. The Custodian shall oversee the valets and their duties.

2016. The Custodian shall see to it that no valet not approved by the franchise holder is permitted to assist any jockey at any time.

2017. The Custodian shall report to the Stewards any irregularities that occur in his presence or in the jockey room.

2018. The Custodian shall see to it that jockeys are neat in appearance and attired in keeping with the Rules when they leave the room to ride in a race.

PADDOCK JUDGE

2019. The Paddock Judge shall report any irregularities to the Stewards.

2020. It shall be the duty of the Paddock Judge to check all contestants for each and every race, and to have all horses properly identified.

2021. The Paddock Judge shall keep a record of all equipment carried by all horses in all races under his jurisdiction, and shall permit no change in equipment not authorized by the Stewards.

2022. The Paddock Judge shall in each and every race require the Plater in attendance in the paddock to see to it that all horses are properly shod.

2023. The Paddock Judge may permit a horse to be led to the post upon payment of $5.00 to the Horsemen's Bookkeeper.

PLACING JUDGES

2025. The Placing Judges shall occupy the Placing Judges' stand at the time the horses pass the finish line in each and every race, and their duty shall be to place and record all horses in the order of their finish in each race.

(a) The Placing Judges shall properly display the numbers of the first four horses in each race in the order of their finish.

(b) When the Placing Judges differ in their placing, the majority shall prevail.

(c) The Placing Judges shall make public their decisions as promptly as possible.

(d) If it is considered advisable to consult a picture from the finish camera, the

Placing Judges shall post without waiting for a picture such placements as are in their opinion unquestionable, and after consulting the picture make the other placements.

(e) In determining the places of the horses at the Finish of a race, the Placing Judges shall consider only the relative position of the respective noses of such horses.

(f) After, and not until the outrider shall have communicated no-claim/no-protest status to the Stewards, as contemplated by Rule 2363, the Stewards shall notify the Placing Judges when the result is "Official." If the outrider does not communicate no-claim/no-protest status to the Stewards, then after, and not until, the jockeys riding the first five horses to finish have been weighed in, the Clerk of Scales shall so notify the Stewards, and the Stewards shall then notify the Placing Judges when the result is "Official."

(g) Upon receipt of such notice, the Placing Judges shall promptly display the sign "Official."

(h) There shall be no alteration of placement after the sign "Official" has been purposely displayed without approval of the Stewards.

(i) Nothing in these Rules shall be construed to prevent the Placing Judges, with the approval of the Stewards, from correcting an error before the display of the sign "Official", or from recalling the sign "Official" in case it has been displayed through error.

(j) The Placing Judges shall each day file with the Commission a copy of the official placement of the first five horses in each race of that day, and shall supply to other officials such information in the respect to the racing as the franchise holder may require.

RACING SECRETARY

2026. The Racing Secretary shall compile an official program for each racing day, which shall state the time fixed for the first race and give the names of the horses which are to run in each of the races of the day.

2027. The program shall indicate the order in which each race is to be run, the purse conditions, the jockey of each horse, each owner's racing colors, the weight assigned to each horse and each horse's name, number, post position, color, sex, age and breeding. The program may show other pertinent data.

2028. The Racing Secretary shall be responsible for the format of the Scale sheet.

2029. The Racing Secretary shall receive all entries and declarations, and he or any other person designated by the franchise holder may receive all stakes, forfeits, entrance monies, fees (including Jockey's fee), purchase money in claiming races and all other money that can properly come into his possession as agent for the franchise holder for which he is acting.

2030. The Racing Secretary or other persons designated by the franchise holder shall pay over when due all monies collected by them to such persons as may be entitled to receive same.

2031. The Racing Secretary shall have the right to inspect any trainer's or jockey's license or partnership papers; all papers and documents with respect to a contract between a jockey and his employer or employers; papers relating to the appointment of authorized agents or jockey agents; and papers related to the adoption of colors or to assumed names.

2032. It shall be the duty of the Racing Secretary to assign to applicants such stabling as he may deem proper to be occupied by horses in preparation for racing, and he shall determine all conflicting claims of stable privilege.

OFFICIAL STARTER

2034. Only the Official Starter or a deputy approved by the Official Starter and by the Stewards may start a race.

2035. The Official Starter shall give all orders and take all measures necessary to insure a fair start.

2036. The Official Starter's decision as to the validity of a start shall be final. Likewise, the Official Starter's decision as to whether or not a horse was locked in the gate shall be final.

2037. The Official Starter may appoint the Official Starter's assistants, subject to the approval of the Stewards.

2038. Horses are in the hands of the Official Starter from the moment they enter the track on the way from the paddock to post. They remain in the Official Starter's hands until off time.

2039. In case the alignment of the horses at the post is delayed, the Official Starter may permit jockeys to dismount and their mounts to be attended.

2040. If after reaching the starting post a horse is so badly injured as to make it impractical or impossible for the horse to run in a race, the Official Starter may, in the interest of saving time, excuse that horse, but must notify the Stewards before the Official Starter starts the race. Any horse so excused shall to all intents and purposes be considered as excused by the Stewards.

2041. Horses shall take their positions in numerical order from the inside rail, that order

to be determined by post positions.

2042. All flat races shall be started out of a stall gate.

2049. The start shall not be unduly delayed on account of bad mannered horses.

2050. The Official Starter shall maintain a schooling list, and all horses placed by the Official Starter on said list shall be required to school to barrier or starting gate under the personal supervision of the Official Starter or the Official Starter's assistants.

2051. Only the Official Starter shall have the authority to designate the horses named to the schooling list.

2052. The Official Starter shall file a copy of the schooling list with the Racing Secretary.

2053. The Official Starter shall report to the Racing Secretary as soon as a horse on the list has been schooled sufficiently to be permitted to start.

2054. A horse will not be eligible to start until the Official Starter orders the name stricken from the Official Starter's schooling list.

2055. The Official Starter may fine or suspend a jockey for disobedience of orders or for attempting an unfair advantage. Such fine shall not exceed $250. A suspension shall not take effect until after the last race of the next day, unless otherwise ordered by the Official Starter.

2056. The Official Starter shall report in writing to the Stewards and to the Racing Secretary all fines and suspensions which the Official Starter has imposed, and no fines or suspensions so reported shall be modified other than by the authority of the Stewards.

2057. Neither the Official Starter nor the Official Starter's assistants shall mistreat or use abusive language to a jockey.

2058. The Official Starter's approval must be obtained of the starting ability for all horses that have never started at a recognized meeting.

2059. A false start is void, and the horses shall be started again as soon as practical. Any horse running the course from a false start may be excused from the true race by the Stewards.

2060. If a horse is locked in the gate or if the Official Starter excuses a horse from a race, the Official Starter shall immediately notify the Stewards, who in turn shall immediately notify the Manager of the Pari-mutuel Department.

STEWARDS

2061. The Stewards shall have the power to interpret the Rules and to decide all questions not specifically covered by them.

Any person acting as a Steward at Oaklawn Park shall have the following minimum qualifications:

(a) EXPERIENCE:

(1) At least three years (an average of 75 live race dates per year) of experience as a licensed racing official, (i.e., Racing Secretary, Patrol Judge, Paddock Judge, Clerk of Scales, Starter, Placing Judge or other racing official as designated by the Racing Commission);

(2) at least five years (an average of 100 starts per year) of experience in the pari-mutuel horse racing industry as a licensed trainer or jockey, with two years (an average of 75 live race dates per year) of experience as a licensed racing official;

(3) at least ten years of experience in the pari-mutuel horse racing industry as a licensed owner, whose experience, knowledge, ability and integrity relative to the industry are deemed sufficient by the Racing Commission, with two years (an average of 75 live race dates per year) of experience as a licensed racing official;

(4) be presently employed as a Steward for a length of time in the opinion of the Racing Commission to otherwise meet the experience requirements deemed necessary by the Racing Commission for the Steward position; or

(5) such experience in the horse racing industry in a position or positions and for a length of time sufficient, in the opinion of the Racing Commission, to otherwise satisfy the experience requirements deemed necessary by the Racing Commission for the Steward position.

(b) REQUIREMENTS FOR ATTENDANCE AT ACCREDITED STEWARD SCHOOLS, SEMINARS, AND WRITTEN/ORAL EXAMINATIONS:

(1) Persons with five years (an average of 75 race days per year) of experience as a licensed Steward need only attend a short course (of at least two days or 16 hours) given by an accredited Stewards' school, and pass the standard written and oral examinations.

(2) Persons with less experience than the persons included in subsection (b) (1) above must complete a course of at least seven days or 60 hours and pass the standard written and oral examination.

(3) All applicants may take the written and oral examinations in sections. Any person failing any section of the examination may retake said section a maximum of two times without retaking the full exam.

(c) REQUIREMENTS FOR CONTINUING EDUCATION:

(1) All accredited Stewards, in order to maintain their accreditation, must attend a continuing education seminar of at least two days or 16 hours given by an accredited Stewards' school at least once every two years.

2062. In matters pertaining to racing, the orders of the Stewards supersede the orders of the officers and directors of the franchise holder.

2063. The Stewards shall have the power and duty to regulate and govern the conduct of all racing officials and of all owners, trainers, jockeys, grooms and other persons attendant to horses during, before and after races, unless the power and the duty is reserved to the Racing Commission.

2064. The Racing Commission, its delegated agents, or Stewards investigating for violations of law or the Rules of the Racing Commission, and other persons authorized by the Racing Commission or Stewards, shall each have the power to conduct searches of persons licensed by the Racing Commission, employees and agents of the franchise holder and employees and agents of vendors conducting business on the grounds of the franchise holder, including, without limitation, searches of any and all such persons' personal effects and property in the person's possession or control. The Racing Commission shall have the power to authorize its agents to enter and search stables, rooms, vehicles and other places both on the Association Grounds and at other tracks or locations where horses eligible to race at said Racing Meeting are kept. Each such licensee, employee, agent and vendor, as a condition of licensing or in accepting employment or conducting business on the grounds of the franchise holder, shall be deemed to have granted consent to such search and to have waived and released any and all claims or possible actions for damages by virtue of any action taken under this Rule.

2065. All entries and declarations shall be under the supervision of the Stewards.

2066. The Stewards shall have the power to determine all questions arising with reference to entries and racing.

2067. All questions pertaining to which their authority extends shall be determined by a majority vote of the Stewards.

2068. The Stewards shall have the power to punish for violation of the Rules any person subject to their control, and in their discretion to impose fines or suspensions, or both, for infractions.

2069. The Stewards may at any time require any licensee having direct physical contact with horses or direct responsibility for some portion of the day's racing program, or whose duties place him or her in a position of danger, or who commits an act that endangers a horse or human to provide breath or urine samples for analysis. If a licensee declines to provide a sample, or if a sample shows a positive level of any nonprescription, prohibited or illegal drug, or an alcohol concentration greater than 0.05 %, the Stewards may decline to license or may suspend any license theretofore granted to, and may exclude any such person for a period not exceeding the licensing year and may fine any such person any amount up to $2,500.

2070. The Stewards may suspend a person or disqualify a horse.

2071. The Stewards shall have the power to exclude or eject from all premises and enclosures of the franchise holder any person who is disqualified for corrupt practices on the turf in any country, or so exclude or eject any other improper or objectionable persons.

2072. The Stewards may demand proof that a horse is not disqualified in any particular and is not entered or owned in whole or in part by a disqualified or ineligible person, or trained in whole or in part by a disqualified or ineligible person.

2073. If the Stewards deem the proof demanded under Rule 2072 unsatisfactory, they may declare the horse disqualified.

2074. The Stewards shall have the power to examine or cause to be examined any horse stabled on or off the grounds of the franchise holder.

2075. The three Stewards must be on duty during the race time, which shall mean from one hour before post time for the first race of the day until after the last race of the day has been made official.

2076. At least one of the Stewards must be on duty within call of the Racing Secretary from the time of the opening of overnight entries each morning until after the drawing of post positions.

2077. If there is only one Steward present at race time, said Steward shall appoint two other qualified persons to act with him as Steward pro tem.

2078. If only two Stewards are present at race time, they shall by agreement appoint a deputy for the absent Steward; but, if unable to reach such an agreement, shall call upon the Racing Secretary to appoint said deputy.

2079. If none of the Stewards are present at race time, the Racing Secretary shall appoint three qualified persons, one of whom may be himself, to act as Stewards pro tem.

2080. Appointment of any deputy or deputies for a Steward or Stewards shall be

reported immediately to the Racing Commission and its approval obtained as soon as practicable.

2081. When a vacancy occurs among the racing officials, other than the Stewards, prior to post time of the first race of the day, or when a vacancy occurs after the racing of the day has started, the Stewards shall immediately fill the vacancy. The appointment shall be effective only for the day, unless the franchise holder fails to fill the vacancy on the following days and to notify the Stewards of its action not less than one hour before the post time of the first race of the day.

2082. Such appointments shall be reported immediately to the Racing Commission.

2083. The Stewards shall take notice of any questionable conduct with or without complaint thereof.

2084. The Stewards may substitute a jockey of their selection on any horse.

2085. The Stewards may place any horse in the temporary charge of a trainer of their selection.

2086. It shall be the duty of the Stewards to see to it that horses arrive at the starting post as nearly as practical at the advertised post time.

2087. All horses in every race shall return to the finish area immediately following the race and be held until released by the Stewards.

2088. In case of accident or casualty to a horse before off time, the Stewards may excuse said horse.

2089. The Stewards must investigate promptly and render a decision in every protest and in every complaint properly made to them.

2090. The Stewards shall report all protest and complaints to the Racing Commission as soon as received by them, and shall make prompt report to said Racing Commission of their decision.

2091. The Stewards shall before the close of each day file with the Racing Commission a signed report of any and all infractions of the Rules coming under their observance that day, and shall file with the Racing Commission all rulings on infractions or otherwise as soon as said rulings are made.

2092. During the term of suspension of any jockey, owner, trainer, or other person on any racetrack under the Racing Commission's jurisdiction, it shall be the duty of the Stewards to see to it that the offender's badge is taken up and that he is refused admission to any part of the course.

2093. Except in emergencies, no Steward shall grant permission for a change of a horse's equipment after the close of entries for the race in which the changed equipment is to be carried.

2094. Whenever the Stewards have reasonable cause to believe that a licensee has committed an act or engaged in conduct in violation of any law or any Rule of the Racing Commission, the following procedures will apply:

(a) The licensee shall be immediately subject to such intermediate conditions, limitations and restrictions as the Stewards decide necessary to insure compliance with applicable laws and Rules.

(b) The licensee shall be summoned to a meeting of the Stewards called for the purpose of investigating suspected or alleged violations by the licensee, at which all Stewards shall be present. The licensee may request a continuance for good cause, but a continuance shall not stay any intermediate condition, limitation or restriction.

(c) The summons given to the licensee shall be in writing and shall give notice of the date, time, place and purpose of the Stewards' meeting, and shall specify the laws or Rules allegedly violated.

(d) Every person called to testify before the Stewards at such meeting is entitled to have counsel or an observer of the person's choosing present at the meeting; however, such counsel or observer may only participate under such conditions or in such manner as the Stewards direct.

(e) If a licensee, after receiving notice of a Stewards' meeting, fails to appear as summoned, the licensee will be deemed to have waived any right to appear and present evidence to the Stewards.

(f) No announcement of the meeting or of the alleged infraction of laws or Rules shall be made until after the Stewards' meeting, when the Stewards shall transmit a signed written decision to the Racing Commission and to the licensee containing the Stewards' findings and the penalty imposed.

METHOD OF TIMING

2095. The Stewards shall determine the official time of each race.

2096. When electric timing is used, the timing device will be checked for accuracy at the discretion of the Stewards.

2097. The time shall be announced or displayed on the Information Board located in

view of the public.

2098. A written report of the time of each race shall be made to the Clerk of Scales for the reports to the Racing Secretary.

COMMISSION CLOCKER

2099. The Commission Clocker shall be appointed by the Racing Commission and paid by the franchise holder. The Commission Clocker and his assistants will be responsible for recording official workouts each day. Official workouts that are not reported in The Daily Racing Form shall be tabulated by the Commission Clocker and posted for public viewing in a conspicuous place.

2099.1 (a) (1) A horse shall not be taken on the track for training or a workout except during hours designated by the Association.

(2) The trainer or rider shall identify the horse and distance to be worked to the Official Clocker or his assistant.

(3) A horse which has not started for a period of sixty (60) days or more prior to race day must have an official timed workout within the previous thirty (30) days prior to race day. The workout must have occurred at a pari-mutuel or recognized training facility.

(4) First time starters must have three (3) or more official workouts prior to race day.

(5) The Association may impose more stringent workout requirements.

TRACK SUPERINTENDENT

2100. It shall be the duty of the Track Superintendent to supervise the upkeep of the course in its preparedness for training and racing.

2101. The Track Superintendent shall exercise such control over the course as may be necessary to protect its condition and the rights of all parties entitled to its use, and the Track Superintendent shall be responsible for sanitary conditions.

2102. It is also the duty of the Track Superintendent to preserve order, enforce decorum and prevent petty games of chance on the grounds of the franchise holder at such times as a Race Meeting is not in progress. When a Race Meeting is in process, those duties shall fall upon the franchise holder's police force.

LICENSES, REGISTRATIONS AND FEES FOR PARTICIPANTS IN RACING

2103. All owners, trainers jockeys, jockey agents, handlers, attendants, employees of a stable or franchise holder, and all other persons, firms, associations or corporations patronizing or participating in a Race Meeting are subject to the laws of the State of Arkansas and the Rules and the Regulations promulgated by the Racing Commission, and all such persons, firms, associations or corporations shall abide by said laws and the Rules of the Racing Commission. Further, said persons, firms, associations or corporations shall abide by the decisions of the racing officials on any and all questions to which their authority extends.

2104. All applications for licenses and registrations to participate in racing shall be filed with the Commission on forms supplied by it. No owner shall be eligible to start a horse unless his completed license application is on file in the Racing Commission Office, Oaklawn Jockey Club, no later than noon on the day of the race.

2105. The appropriate fees shall accompany each application for license or registration. Such license or registration shall expire December 31st of the year of issue.

2106. No application for a license or registration shall be approved, and no license or registration will be issued by the Racing Commission unless satisfactory evidence is first presented that the applicant will participate in the Race Meeting for which the license or registration is sought.

2107. All applications for licenses and for registrations must be approved by the Stewards of the Race Meeting before any action on the application will be taken by the Racing Commission. Before approving any application for a license or registration, it shall be the duty of the Stewards to ascertain whether the applicant or registrant is qualified as to ability and integrity for the license or registration sought either by oral or written examination.

2108. In considering each application for a license, the Stewards of the Race Meeting or the authorized representatives of the Racing Commission may require the applicant as well as his endorsers to appear before them and show that said applicant is qualified in every respect to receive their recommendation for the granting of the license.

2109. Any person to whom a license has been issued by the Racing Commission may have his license revoked due to corrupt, fraudulent or improper practices or conduct on the part of the licensee. All licenses granted shall be subject to the conditions set forth in the application therefore, and the Racing Commission shall have full discretion to suspend or revoke the same for any infraction of the conditions of the application for license and the Rules and Regulations of the Racing Commission.

2110. (a) The following persons shall secure a license from the Commission and the annual fee shall be as follows:

Authorized Agent	$ 5.00*
Jockey	15.00
Jockey-Apprentice	15.00
Jockey Agent	15.00
Owner-Colors	20.00
Trainer	15.00
Assistant Trainer	15.00
Employee	3.00
Horseshoers, Blacksmiths	3.00
Veterinarian	10.00

*For each owner represented

(b) Any person employed on the grounds of a franchise holder by the franchise holder or its lessees, or by any concession licensed or permitted to operate on the grounds of the franchise holder must secure an employee license from the Racing Commission, and the issuance of such licenses will begin the week prior to the opening of the Race Meeting.

(c) The following must be registered annually with the Racing Commission, and the fee payable for such registration shall be as follows:

Stable Names	$20.00
Partnership or Corporation	20.00

(d) Each owner, member of a partnership which is licensed as an owner, stockholder or member of a corporation which is licensed as an owner, and registrant for stable name shall, simultaneously with the filing of an application for such license or registration of such stable name, also register the colors used by such owner, partnership, corporation or stable.

(e) Any person to whom a license has been issued by the Racing Commission and whose duties require that such person have access to the stable area shall have a photographic identification on his person at all times while in the area. All other persons must obtain a visitor’s pass from the Racing Commission before entering the stable area. Persons failing to have a photographic identification badges or failing to obtain a visitor's pass before entering the stable area are subject to ejection from the grounds and/or to fine, suspension or ruling off.

The identification system for licensed personnel shall consist of:

(1) A tamper proof badge containing the name of the track, the year of issue, color photograph of the individual to whom issued, his name, his position or occupation, the signature of said individual, the date the badge was issued and any other pertinent information which the Racing Commission may require.

(2) The Racing Commission shall keep a list of the names of the individuals to whom photographic identification badges have been issued, the date of the issue and the position or occupation of each individual. Replacement for lost or mutilated badge is $5.00. A visitor's pass shall consist of a numbered, laminated badge, with clasp, to be worn in plain view. A log of all persons to whom a visitor's pass has been issued shall be maintained by track security at the main stable gate.

2111. No license shall be issued by the Racing Commission to any person who:

(a) owns, operates or has an interest in any bookmaking, pool selling or other illegal enterprise, or who is or has been connected with or associated with any person engaged in bookmaking, pool selling or other illegal enterprise;

(b) has been convicted or found guilty of a crime, excluding minor traffic offenses (provided, the Racing Commission may, in its discretion, grant a license where the applicant has been found guilty of a crime);

(c) is unqualified, by experience or otherwise, to perform the duties required of such applicant;

(d) has been or is habitually intoxicated or addicted to drugs;

(e) fails to disclose the true ownership or interest in any and all thoroughbred horses, as required by the Racing Commission (provided, the Racing Commission may, in its discretion, grant a license in such circumstances where the Racing Commission finds that the failure to disclose was not intentional or the applicant rectifies the failure to disclose to the satisfaction of the Racing Commission);

(f) makes misrepresentations or false statements in the applicant's application for a license (provided, the Racing Commission may, in its discretion, grant a license in such circumstances where the Racing Commission finds that the misrepresentation or false statement was not intentional or the applicant rectifies the misrepresentation or false statement to the satisfaction of the Racing Commission);

(g) is engaged in any activity or practice that is undesirable or detrimental to the best interest of the public and the sport of racing; or

(h) is less than sixteen (16) years of age.

2112. An applicant desiring to practice veterinary medicine at a racetrack in Arkansas must present the applicant's Arkansas veterinary license certificate and/or copy of the applicant's current year's renewal certificate indicating that the applicant holds an active license to practice veterinary medicine in Arkansas. If the applicant holds a Drug Enforcement Administration number, it must be listed on the application.

(a) Every veterinary license is issued by the Racing Commission on the condition that the Racing Commission may inform the Arkansas Veterinary Board of any irregular conduct involving any licensed veterinarian that might influence the Veterinary Board in issuing, suspending or revoking a license to practice veterinary medicine.

(b) The Racing Commission, on request, shall furnish the Veterinary Board a list of all veterinarians receiving a license to practice at any Arkansas racetrack.

2113. The Racing Commission may require the registration of any agreement between participants in racing.

2114 The Racing Commission and the Stewards may order that any person be tested for illegal drug usage at the time the person applies apply for a license. The Racing Commission and the Stewards may order that any licensee be tested for illegal drugs on a random or for-cause basis. Licensees testing positive for illegal drugs are subject to fine, suspension, probation or revocation of their license. Persons applying for a license who test positive for illegal drugs may, at the discretion of the Racing Commission, be granted a probationary license if they enroll in a drug treatment or counseling program approved by the Racing Commission. Otherwise, such persons shall not be eligible for licensure.

OWNERS AND TRAINERS

2117. Each owner and trainer must obtain a license from the Racing Commission. In the absence of the owner, the trainer will be responsible for obtaining the owner license.

(a) The trainer is presumed to know the Rules and Regulations Governing Horse Racing in Arkansas and is responsible for the condition, soundness and eligibility of the horses the trainer enters in a race. The trainer shall conduct the trainer's business with reasonable care and skill and in a humane manner, and with due regard to the interests of the trainer's owners and to the safety of the trainer's employees and of the horses in the trainer's care.

(b) In addition to the responsibilities under subsection (a) of this Rule, a trainer has the following specific responsibilities:

(1) to have knowledge of medication status of all horses in the trainer's care;

(2) to guard and protect all horses in the trainer's care;

(3) to make or authorize the making of all entries and scratches of horses in the trainer's care;

(4) to account for fees and services rendered on behalf of any horse in

the trainer's care to the appropriate owner or owners; and

(5) to determine the training regimen of all horses in the trainer's care.

(c) No trainer shall assign any of the trainer's duties or responsibilities to any person that is disqualified or ineligible to participate in racing or is not appropriately licensed.

(d) No trainer shall assume any of the above responsibilities for a horse not under his/her active care, custody and supervision.

2118. No trainer shall practice the horse training profession except under the trainer's own name.

2119. The Stewards may permit a trainer to act pending action on the trainer's application.

2120. A licensed trainer may represent the owner in the matter of entries, declarations and the employment of jockeys.

2121. A trainer shall have the trainer's horse in the paddock at the time appointed.

2122. A trainer shall attend the trainer's horse in the paddock and shall be present to supervise the saddling of the horse, unless the trainer's has obtained the permission of a Steward to send another licensed trainer as a substitute.

2123. Each trainer shall register with the Racing Secretary all horses in the trainer's charge, giving the name, color, sex, age, breeding and ownership of each such horse.

(a) Each trainer shall register with the Stewards every person employed by the trainer.

(b) A trainer shall not have in charge or under the trainer's supervision any horse owned in whole or in part by a disqualified or ineligible person.

2124. It shall be the duty of each franchise holder to see that all owners, authorized agents and trainers are licensed before any thoroughbred horse in which they hold an interest or which they train is allowed to race, and it shall also be the duty of each franchise holder to see that the application for license is filed and the prescribed fee is paid to the Racing Commission.

2125. No licensed owner or trainer shall knowingly stable horses belonging to an unlicensed owner.

2126. No owner or trainer shall accept, directly or indirectly, any bribe, gift or gratuity in any form which might influence the result of any race, or which would tend to do so.

2127. No owner or trainer shall move or permit to be moved any horse or horses in the owner or trainer's care from the grounds of a franchise holder without written permission from the Racing Secretary. A trainer, or owner, shall not enter or start a horse that:

(a) is not in sound racing condition;

(b) is a bleeder, unless approved by the Track Veterinarian;

(c) has been trachea-tubed;

(d) has a temperature above its normal temperature, established as acceptable by the trainer or the attending veterinarian;

(e) has been "nerved" above the ankle; or

(f) has been given in any manner whatsoever, internally or externally, any stimulant, depressant, hypnotic or narcotic drug, or antiseptic of any kind or description.

2128. No person licensed by the Racing Commission shall have in his or her possession on or about any race track any appliance (electrical, mechanical, or otherwise) which could affect the racing condition or speed of a horse.

2129. No owner or trainer shall employ a jockey for the purpose of preventing him from riding in any race.

2130. Trainer transfers or changes must be approved by the Stewards prior to entry.

2131. Each owner shall register with the Racing Secretary each thoroughbred horse owned by him giving the names, color, sex, age, breeding, authorized agent and trainer, weight and characteristic markings, scars and other identification features not above named.

2132. (a) Each franchise holder shall keep and maintain during its Race Meeting the registration papers on each thoroughbred horse, which are issued by The Jockey Club of New York. The Track Identifier shall carefully compare the description provided in the aforementioned registration papers in the paddock before post time.

(b) No trainer shall be licensed until he or she presents a certificate of insurance or other adequate proof to the Stewards with the trainer's license application, certifying that the trainer has Worker's Compensation Insurance or other insurance which covers on-the-job injuries sustained by the trainer, the trainer's employees or family members.

PARTNERSHIPS

2133. Each partnership shall be registered with the Racing Commission.

2134. Partnership papers shall, among other things, set forth the following:

(a) the name and address of each person having any interest in the thoroughbred horse involved;

(b) the relative proportions of such interest;

(c) to whom the winnings are payable;

(d) in whose name the horse shall run;

(e) with whom the power of entry and declaration rest; and

(f) the terms of any contingency, lease or any other arrangement concerning the horse.

All partnership papers must be signed by all of the parties or by their authorized agents.

2135. Any alteration in a recorded partnership registration, to be effective, must be reported in writing to the Racing Commission and signed by all the partners.

2136. All the parties to a partnership, and each of them, shall be jointly and severally liable for all stakes, forfeits and other obligations.

CORPORATE AND LLC OWNERS

2137. All corporations and limited liability companies ("LLC") having any interest in a thoroughbred horse shall file with the Stewards at the time of filing an application for an owner's license a statement in duplicate setting forth the names and addresses of all officers, directors and stockholders of said corporation, and officers, directors, managers, members and owners of each LLC, together with the amount of the respective holdings of each stockholder, member or other owner, as the case may be, and a statement as to whether or not said stock and/or membership interest is paid in full, and including the designation of an authorized agent or agents of said corporation or LLC, as the case may be. The said statement shall be signed by the president of the corporation, attested to by its secretary, and the corporate seal attached, or in the case of an LLC by an authorized member or manager of the LLC. A copy of said statement shall be transmitted promptly to the office of the Racing Commission by the Stewards, provided, however, that the Stewards may in their discretion and for good cause waive these requirements if horses are shipped in for stakes races.

2138. Any transfer of stock of such corporation or transfer of ownership or membership interests in such LLC, or change in the officers, directors, or managers thereof, shall be reported in writing to the Stewards at the track within forty-eight (48) hours of such change.

2139. Each stockholder, member or other person owning five percent (5%) or more of any corporation or LLC licensee must file an application for an owner's license.

AUTHORIZED AGENTS

2140. Each authorized agent must file an application for a license for each owner represented setting forth the agent's authority to act for the owner, including any authority the agent may have to collect money from the franchise holder. A copy of the agent's agreement with the owner, if in writing, shall be attached to the application.

2141. A copy of the application and written agreement, if any, shall be filed permanently with the Racing Secretary.

2142. An authorized agent may appoint a subagent only when authorized in writing by the owner and written notice of such appointment is given to the Racing Commission.

2143. Any changes in the agent's authority must be in writing and filed as above provided.

2144. If an agent represents more than one owner, a separate application shall be filed for each owner.

2145. The term of the license shall be the calendar year unless the agent's appointment is revoked by the owner or the license is revoked by the Racing Commission.

2146. The revocation of an agent's authority shall be filed in writing with the Racing Commission and with the Racing Secretary.

STABLE NAMES

2147. A person wishing to race thoroughbred horses under a stable name may do so by registering with the Racing Commission and by paying the fee as required herein.

2148. No person may register more than one stable name at the same time, nor may any person race under the person's real name if the person has registered a stable name.

2149. A stable name may be changed at any time by registering a new name and paying the fee charged for the original registration. A person may not register as the person's stable name a name similar to:

(a) one already registered by another person;

(b) one that is the name of another owner; or

(c) one that is the name of any prominent person.

2150. All registrations of stable names by any recognized Thoroughbred Association and all recognized racing commissions shall be recognized in Arkansas.

2151. In applying to race under a stable name, the applicant must disclose the identity or identities of the owners associated with the stable. Any partnership, limited liability company or corporation associated with a stable shall comply with the Rules governing partnerships, limited liability companies or corporations, and the usual fees of such partnerships, limited liability companies or corporations shall be paid in addition to the fees for the registration of the stable name.

2152. Changes in identities of owners associated with the stable shall be reported immediately to and approval obtained from the Racing Commission.

2153. Any person may abandon a registered stable name at any time after the person has given written notice to the Racing Commission and the franchise holder.

2154. No trainer of racing horses may register under a stable name.

2155. A corporate or limited liability company name shall be considered a stable name for the purposes of these Rules, but the Racing Commission may refuse any corporation or limited liability company the privilege of registering a stable name.

2156. No stable name shall be used for advertising purposes.

JOCKEYS

2157. No person under 18 years of age shall be licensed as an apprentice jockey or jockey, save and except those apprentice jockeys and jockeys under 18 years of age who have previously been licensed.

2158. The Stewards may permit a jockey to ride pending action on the jockey's application.

2159. Every jockey shall ride under the jockey's legal name.

2160. A jockey shall not ride or agree to ride in any race without the consent of the owner or trainer to whom the jockey is under contract.

2161. No jockey under contract of employment shall ride for any person other than the jockey's contract employer in any race in which a horse runs that is owned in whole or in part by or trained by the jockey's contract employer.

2162. In riding a race, a jockey must be neat in appearance and must wear conventional attire and the racing colors of the owner or owners of the horse the jockey is riding.

2163. (a) All jockeys, exercise persons, outriders and other persons riding horses on the grounds of Oaklawn Park shall at all times while mounted on a horse wear appropriate protective headgear and have their chin straps fastened. This Rule shall apply from the time the stable area is open in the fall until it is closed in the spring, and shall be enforced by all trainers. The weight of the protective helmet shall not be included in the jockey's weight.

(b) No jockey (including apprentice jockeys) shall be allowed to ride in any race without a safety vest. The safety vest shall provide a minimum shock absorbing protection of five (5), as defined by the British Equestrian Trade Association (BETA). The safety vest shall weigh no more than two (2) pounds and shall not be included in a jockey's weight when weighing out to race. If a jockey fails to wear a safety vest in the running of any race, the jockey's horse shall be disqualified. The Stewards or Racing Commission may levy additional penalties or fines against the jockey or trainer.

2164. All jockeys shall faithfully fulfill all engagements in respect to racing.

2165. A jockey shall wear a number on his right arm, and it and the saddle cloth number shall correspond to the number of the horse in the official program.

2166. Every jockey who is engaged to ride in a race shall report to the scale room on the day of the race at the time required by the Racing Secretary, except that every jockey who has an engagement to ride in a stake race must report in the jockey room not later than one (1) hour before post time of that race. The jockey shall then report the jockey's engagements and overweight, if any, and thereafter the jockey shall not leave the jockey room, except to view the races from a point approved by the Stewards or to ride in a race, until all of the jockey's engagements of the day have been fulfilled.

2167. No jockey shall make a bet on any race nor accept the promise or the token of any bet with respect to the race in which the jockey is riding, except through or from the owner or trainer of the horse the jockey rides, and then only on that horse.

2168. No jockey shall enter the betting area until the jockey has completed his/her riding engagements for the day.

2169.

(a) In the absence of a specific contract or special agreement, the following jockey mount fees apply:

PURSE	**WINNING MOUNT**	**SECOND MOUNT**	**THIRD MOUNT**	**LOSING MOUNT**
$599 and under	$33	$33	$33	$33
$600-699	$36	$33	$33	$33
$700-999	10% of win purse	$33	$33	$33
$1,000-1,499	10% of win purse	$33	$33	$33
$1,500-1,999	10% of win purse	$35	$33	$33

$2,000-3,499	10% of win purse	$45	$40	$38
$3,500-4,999	10% of win purse	$55	$45	$40
$5,000-9,999	10% of win purse	$65	$50	$45
$10,000-14,999	10% of win purse	$5% of place purse	5% of show purse	$50
$15,000-24,999	10% of win purse	5% of place purse	5% of show purse	$55
$25,000-49,999	10% of win purse	5% of place purse	5% of show purse	$65

$50,000-99,999	10% of win purse	5% of place purse	5% of show purse	$80
$100,000 and up	10% of win purse	5% of place purse	5% of show purse	$105

(b) If any owner or trainer engages two or more jockeys for the same race, the owner or trainer shall be required to pay each of the jockeys whether the jockey rides in the race or not.

(c) A jockey fee shall be considered earned when the jockey is weighed out by the Clerk of Scales for that race.

2170. (a) The added purse money for Arkansas-breds shall be included as defined above.

(b) Purse money shall include all enhancements and supplements from any source, and shall be distributed by the Horsemen's Bookkeeper.

2171. The suspension of a jockey for an offense not involving fraud shall begin not later than two racing days after the ruling, unless otherwise ordered by the Stewards.

2172. The suspension of a jockey for fraud shall begin immediately after the ruling.

2173. A jockey temporarily suspended for ten (10) days or less for a minor riding violation may continue to exercise horses during training hours and may fulfill riding engagements in designated races, as specified by the Stewards at the beginning of the Race Meeting.

2174. Every jockey may have one agent and no more. All engagements to ride, other than those for the jockey's contract employer, shall be made by the jockey or the jockey's agent or employer.

2175. The franchise holder shall choose the only attendants who will be permitted, within the limits of these Rules, to (a) assist a jockey after weighing out and until he leaves the

paddock, and (b) assist a jockey in weighing in and until he arrives at the jockey room. Such attendants shall be paid for their services by the franchise holder. A system of rotation of attendants shall be maintained.

NAMING OF JOCKEYS

2176. Jockeys shall be named not later than scratch time.

2177. Any subsequent change of a jockey must be sanctioned by the Stewards and must be promptly and publicly posted and announced.

JOCKEY AGENT

2178. Each jockey agent must obtain a license from the Racing Commission.

2179. The Stewards may permit an applicant to act pending decision on the jockey agent's application for license.

2180. Each jockey agent may handle up to two jockeys and one apprentice, but no more.

2181. No jockey agent shall make or assist in the making of an engagement for any rider other than for the riders the jockey agent is licensed to represent.

2182. If, for good reason, a jockey agent is short of the jockey agent's permissible quota of jockeys and wishes to take on the task of making engagements for a rider not named in the jockey agent's license, the jockey agent must obtain permission from the Stewards and the Racing Commission before making any such engagements.

2183. If any jockey agent gives up the making of engagements for any rider, the jockey agent shall immediately notify the Stewards, the Racing Commission and the Racing Secretary, and the jockey agent shall turn over to the Stewards a list of any unfilled engagements the jockey agent may have made for that rider.

2184. Each jockey agent shall keep, on a form provided by the franchise holder, a record by races of all engagements made by the jockey agent or by others for the jockeys the jockey agent is handling. This record shall include the day and the hour of making of each engagement, and the calls shall be numbered in the order of their priority whenever more than one is given for any rider in any race. This record must be kept up to date and held ready at all times for inspection by the Stewards or the Racing Secretary.

2185. All rival claims for the services of a rider will be adjudged by the Stewards in the light of the records submitted by the jockey agents.

2186. A jockey agent shall not give to anyone, directly or indirectly, any information or advice, or engage in the practice commonly known as "touting", for the purpose of influencing any person, or that would tend so to do so, in the making of a wager on the result of any race.

2187. Any agent who falsifies his/her record shall be penalized by the revocation of the agent's license, and any agent so penalized shall be ineligible for another license for a term of twelve (12) months from the day of the revocation.

2188. Jockey agents will be called upon to explain rival claims for any mount or for any rider, and inability to satisfy the Stewards that the rival claim arose through honest bona fide error shall be considered a falsification of records.

2189. Jockey agents shall not be allowed in the paddock at any time.

2190. Under no circumstances shall jockey agents be permitted within the saddling enclosure during the period of racing hours, nor shall said agents have access to the jockey quarters at any time, nor shall said agents be allowed on the race track proper at the conclusion of any run.

JOCKEY APPRENTICES

2200. Any male or female 18 years or older, who has never been previously licensed as a jockey in any country, and who has been granted an Apprentice Jockey Certificate, may claim in all races except handicaps and stakes the following allowances.

(a) Apprentice's allowance shall be as follows:

(1) Ten (10) pounds until the apprentice has ridden five winners.

(2) Seven (7) pounds until the apprentice has ridden an additional thirty-five (35) winners.

(3) If the apprentice has ridden forty (40) winners prior to the end of one (1) year from the date of riding his or her fifth (5^{th}) winner, the apprentice shall have an allowance of five (5) pounds until the end of that year.

(4) If after riding one (1) full year from the date of the apprentice's fifth (5^{th}) winning mount, the apprentice jockey has failed to ride a total of forty (40) winners from the date of the apprentice's first winning mount, the apprentice shall continue to ride with a seven (7)pound weight allowance for one (1) more year from the date of the apprentice's fifth (5^{th}) winning mount or until the apprentice has ridden a total of forty (40) winners, whichever comes first.

(b) An approval board will be established by the Stewards and will consist of designated jockeys, trainers, outriders, racing officials and the Official Starter. To assure that the applicant has successfully satisfied the qualifications and guidelines set forth in this section, an initial apprentice jockey's license may only be issued to an applicant who:

(1) is at least eighteen (18) years of age;

(2) passes a physical examination and has minimum 20/20 vision acuity, or corrected as certified by a licensed professional;

(3) has at least two (2) years experience in various capacities of horsemanship on the backstretch of a racetrack and/or a horse farm, the past one (1) year of which has been as a licensed exercise rider at a recognized pari-mutuel racing facility, or the equivalent (and the applicant's employers must verify these experiences);

(4) has attended the reviewing of the videotapes or movies at the designated area as scheduled by the Stewards;

(5) has observed jockeys and horses loading and breaking from the starting gate, at the start of races under the supervision of the Official Starter, for at least ten (10) racing days;

(6) has observed horses changing leads and negotiating turns at the designated patrol judge stands under the supervision of a racing official for at least ten (10) racing days;

(7) has broken an adequate number of horses out of the starting gate to the satisfaction of the Official Starter.

(8) has breezed horses out of the starting gate and from the pole in the company of other horses to create as close to race conditions as possible (and the accompanying horses shall be ridden by jockeys designated by the approval board, and at least one other member of the approval board shall be in attendance as an observer); and

(9) shall be familiar with a jockey's responsibilities as defined by the Rules and Regulations, and may be tested in respect to his/her knowledge of a jockey's duties.

A temporary apprentice license may be issued by the Stewards upon notification of the recommendation of the approval board.

After riding in an adequate number of races, and when the stewards are satisfied that the applicant has demonstrated competence in his/her abilities to ride in races, a regular apprentice license will then be issued.

(c) Under exceptional circumstances, such as inability of an apprentice to ride because of service in the Armed Forces of the United States, personal injuries in the conduct of his or her duty, restrictions on racing or other valid reasons which interrupt the allowance period permitted under this Rule, the Racing Commission may extend the term of the apprentice allowance and/or such allowance period. The Racing Commission shall take jurisdiction on any applications for extensions in cases where personal injuries in the conduct of his or her duty and restrictions occurring at tracks licensed by the Racing Commission. In order to qualify for an extension of his or her apprentice allowance, an apprentice rider must have been rendered unable to ride for a period of not less than fourteen (14) consecutive days during the period in which he or she was entitled to an apprentice allowance. In the case of inability of an apprentice to ride because of service in the Armed Forces of the United States, the Racing Commission will take jurisdiction only on an apprentice allowance that was executed and filed at time of execution in this State. All other extensions must be acted on by the Racing Commission with which the original apprentice allowance was filed.

(d) Apprentice Jockey Certificates and Apprentice Jockey Extension Request Forms shall be in a form approved by the Racing Commission. The apprentice jockey shall keep the apprentice jockey's Apprentice Certificate with him/her at all times. Prior to riding, the Apprentice Certificate shall be submitted to the Clerk of Scales at each racing Association in which the apprentice is licensed and riding, and the apprentice jockey shall keep an accurate updated record of the apprentice jockey's first forty (40) winners, to be recorded on the certificate by the presiding Clerk of Scales.

2201. No race not reported in the Daily Racing Form, Equibase or other publications recognized as official by the Stewards shall be considered in determining an apprentice jockey's right to the apprentice allowance.

2202. A licensed apprentice who loses his or her apprentice allowance for any reason shall obtain a jockey license before being permitted to ride again.

ENTRIES

2212. "Entry" shall mean according to the requirements of the test:

(a) A horse made eligible to run in a race.

(b) Two or more horses that are entered or run in a race and are coupled because of common ties. However, in stakes, handicaps and allowances, including maiden and maiden special weight races, where two or more horses owned by

different owners but trained by the same trainer are entered or run in a race, the horses may run in said race as separate betting interests if approved by the Racing Secretary. Horses in claiming races with a claiming price of $20,000 or greater may run as separate betting interests if approved by the Racing Secretary in coordination with the Stewards.

2213. A horse shall not be qualified to start in any race unless he has been and continues properly entered therein.

2214. For all races, the Racing Secretary is the person authorized to receive entries and declarations.

2215. Entries and declarations shall be made in writing and signed by the owner of the horse or by the owner's authorized agent or some person deputed by the owner, and each franchise holder shall provide blank forms on which entries and declarations are to be made.

2216. The Racing Secretary may also allow entries to be made by telephone or other electronic means in a manner and form acceptable to the Racing Secretary.

2217. Any person having a recorded interest in a horse may enter that horse unless prohibited by the terms of the partnership papers registered with the Racing Commission.

2218. Joint subscriptions and entries may be made by any one or more of the owners. However, all partners and each of them shall be jointly and separately liable for all fees and forfeits.

2220. The Racing Secretary may call upon an owner or trainer for any proof of the eligibility of any entered horse or of any horse offered for entry, and in default of such proof may decline to accept the entry.

2221. The entries of any person, or the transfer of any entry, may be refused with or without either notice or reason being given therefor.

2222. No horse shall be allowed to enter or start in any flat race unless duly registered and named at the Registry Office of The Jockey Club (New York).

2222.1 No horse shall be allowed to start unless the registration papers are on file with the Racing Secretary.

2223. If a horse's name is changed, the horse's new name shall be registered with The Jockey Club (New York). The horse's old name, as well as the horse's new name, must be given in every entry list until the horse has run three (3) races. Both names must be printed on the official program for those three (3) races.

2224. At the time of entry of a horse starting for the first time, a certificate of registration shall be required by the Racing Secretary.

(a) No horse shall be entered or started unless in the care, custody and complete control of the licensed registered trainer. Program trainers are not permitted.

(b) No horse shall be permitted to start that has not been fully identified.

(c) No horse shall be allowed to race unless the horse has been lip-tattooed. For good cause, the Stewards or the identifier may waive this requirement if the horse is otherwise properly identified. However, the horse shall be tattooed thereafter within such time as set by the Stewards.

(d) Any person attempting to establish the identity of a horse or the horse's ownership shall be held to account, the same as the owner, and shall be subject to the same penalty in case of fraud or attempted fraud.

2225. No horse shall be permitted to enter or to start unless stabled on the grounds of the franchise holder, except with the permission of the Racing Secretary.

2228. No horse shall be permitted to start whose name and true ownership is not registered with the Racing Secretary.

2229. All ownerships in a horse, except a trainer's percentage of his winnings, shall be filed with the Racing Secretary before the horse shall start, as also shall every change in ownership thereafter during the Race Meeting.

2230. No horse may be entered in two or more races scheduled to run on the same day unless all of the races into which the horse is entered for such day are stakes races.

2231. To compete in a race, a horse must be eligible at the time of starting that race.

2232. A horse shall not be qualified to be entered or to start in any race if owned in whole or in part, or if under the management, directly or indirectly, of a disqualified or ineligible person.

2233. If an entry from any disqualified person or a disqualified horse is received, such entry shall be void and any money paid for such entry shall be returned, if the disqualification is disclosed forty-five (45) minutes before post time for the race. Otherwise, any such money shall be paid to the winner.

2234. No entry shall be accepted from husband or wife while either is disqualified.

2235. No horse on the schooling list shall be qualified to be entered or to start.

2236. No horse on the Official Starter's or Veterinarian's List shall be allowed to be entered or to start.

2237. If a horse is sold to a disqualified person, said horse's racing engagements shall be void as of the date of sale.

2238. No horse shall be allowed to enter or to start in any race if the owner of that horse is in arrears, except with the approval of the Stewards.

2239. In divided races, the starters in the separate divisions shall be determined by the Racing Secretary, provided, however, when it is determined that an overnight handicap will be divided, the event must be divided by listing the entries consecutively according to weights assigned, and assigning entries to each division from high weights to low weights. No entry will be permitted to start in one division to the exclusion of a single entry regardless of the type of conditions of any overnight race. However, an entry may be split into each division of the divided race and be treated as separate single entries. All divided races will be considered separate races.

2240. Entries in claiming races may be accepted for not more than two horses, whether they are owned by the same or different interests. At the time of entry, a preference must be made to the end that each interest may have an entry in each division should the race be divided.

2241. In making multiple entries to purse races, trainers and owners must signify a preference.

2242. In purse races at least eight horses in entirely different interests must enter and six or more must start, or the race may be declared off.

2243. The franchise holder shall have the right to withdraw or change any unclosed race.

2244. If a race is declared off because of insufficient entries, the franchise holder may split any overnight race that may have closed, and cause a new drawing for post positions.

2245. An entry in a sweepstakes is a subscription and cannot be withdrawn.

2246. A horse shall not be allowed to leave the paddock for a race if there remains unpaid any stake or entrance money payable with respect to that horse for the race, unless permission to leave and race shall have otherwise been granted by the Racing Secretary.

2247. If a horse is excused from the race by the Stewards before off time, the starting fee shall be refunded.

2248. If a horse is locked in the gate and if the conditions of that race include fees of any kind (nominating or starting), such fees, together with the jockey fees, shall be returned to the owner of any horse so prevented from participating in that race. If for any reason a race is not run, said fees shall be returned to the owners.

2249. The nominator is liable for the entrance money or stake, and the death of an entered horse or a mistake in his entry (if eligible) shall not release the nominator or transfer from such liability; nor shall the entrance money or stake of an eligible horse to any race which is run off be returnable for the entry's failure to start for any cause.

2250. Except in a match race, no entry, subscription or right of entry under it shall become void on the death of the nominator or subscribed. Such rights shall follow the horse.

2251. Entries shall be closed at an established time. The Racing Secretary, however, may postpone the closing of entries for any day's racing schedule, with the approval of the Stewards.

2252. The franchise holder, through its Racing Secretary, shall establish the time of closing of entries, subject to the approval of the Racing Commission.

2253. In the absence of notice to the contrary, entrance and declarations for sweepstakes, which close during or on the eve of a Race Meeting, close at the office of the Racing Secretary, who shall make provision therefor. Closing at all other times for sweepstakes shall be at the office of the franchise holder.

2254. Except in overnight races, if the hour for closing of entries or for declarations is not stated, it is understood to be midnight at the close of the day specified.

2255. Nominations for stake races shall be valid if received or postmarked before midnight on the established day of closing of nominations as printed in the condition of the stakes.

2256. If a miscarriage of any nomination or declaration in a stake race is claimed, satisfactory proof that it was mailed or telegraphed must be presented within a reasonable time, or such evidence will not be considered.

2257. Entries that have closed shall be compiled without delay by the Racing Secretary and conspicuously posted.

2258. (a) The maximum number of starters in any race shall be limited to the

number of starting positions afforded by the Association starting gate and extensions thereof. The maximum number of starters shall further be limited by the number of horses that, in the opinion of the Stewards, considering the safety of the horses and riders, can be afforded a fair and equal start. A fully paid entry in a stakes race, when denied the opportunity of starting because the number of entries exceeds the capacity of the starting gate and extensions thereof, will be entitled to refund of nomination and/or entry fee.

(b) In handicaps (stakes and overnights) preference will be given to high weights. In allowance stakes, if the number of entries exceeds the limitations of the starting gate and extensions thereof, preference will be given to horses that have accumulated the highest earnings, excluding earnings won in restricted races. For the purpose of this preference, a restricted race shall mean (i) a State Bred Race (a race where entries are restricted to horses qualifying under State Breeding programs), (ii) a Sales Restricted Race (a race where entries are restricted by origin of purchase). On restricted stakes races for Arkansas-breds, total earnings in EITHER open or restricted races will determine preference to start.

(c) In the event part or all of a purse for a race is sponsored and provided by a party other than the franchise holder, the conditions imposed on the race by the sponsor for the selection of starters and the distribution of that part of the purse provided by the third party for the sponsored race shall supersede any contrary provisions of these Rules, if approved by the Racing Commission.

2259. In a purse race, twelve (12) horses only will be drawn to compete. (See Rules 2279-2289 for disposition of excess entries.)

2260. Purse distribution will be paid back to fifth place on the following basis: winner 60%, place 20%, show 10%, fourth 6% and fifth 4%. However, in stakes races, the conditions of the race may provide for purse distribution to be paid back to sixth place on the following basis: winner 60%, place 20%, show 10%, fourth 5%, fifth 3% and sixth 2%.

2261. No alterations shall be made in any entry after the closing of entries, but an error may be corrected.

2262. The entry of any horse that has been excused from starting on account of sickness or physical disability will not be accepted for forty-eight (48) hours after such excuse.

2263. Any horse that has been the subject of fraudulent practice may be disqualified by the Stewards for no longer period than the duration of the meeting.

2263(A)

(a) Any horse exhibiting a positive response to a test for the presence of any antibodies of any blood doping agent, including, but not limited to, Erythropoietin, Darbepoetin, Oxyglobin and Hemopure, (a "Blood Doping Agent") shall be ineligible to start or race until the owner or trainer, at his or her own expense, provides proof, in a form and substance acceptable to the Stewards, of a subsequent negative test result for antibodies of Blood Doping Agents from a laboratory approved by the Commission, provided any such test sample and test must be obtained and conducted under collection and test procedures acceptable to the Commission Veterinarian. The Blood Doping Agents to be tested for shall include Erythropoietin, Darbepoetin, Oxyglobin, Hemopure and such other blood doping agents determined from time to time by the Commission Veterinarian.

(b) Notwithstanding any inconsistent provision of these Rules, the trainer of the horse shall not be subject to application of the trainer's responsibility penalty based solely on a finding by the laboratory that the Blood Doping Agent first detected in the initial positive test remains present in the horse in a subsequent sample taken from that horse for purposes of any subsequent test for Blood Doping Agents performed on the horse in an effort to determine the horse's re-eligibility to start and race again pursuant to subsection (a) of this Rule.

EQUIPMENT CHANGES

2265. Permission for any change of equipment from that which a horse carried in his last previous race can be obtained only from the Stewards, and must be obtained before the closing of entries for the race in which the horse is to run with changed equipment.

2266. Permission for a horse to add blinkers to his equipment or to discontinue the use of them must be approved by the Starter before being granted by the Stewards.

DECLARATIONS AND SCRATCHES

2267. No horse shall be considered scratched or declared out of an engagement until the owner or his authorized agent or some person deputed by him shall have given due notice in writing to the Racing Secretary.

2268. No horse in an overnight race shall be scratched without the approval of the Stewards.

2269. For stake races, if a horse is not named through the entry box at the usual time of closing, the horse is automatically out.

2270. The declaration or scratch of a horse out of an engagement is irrevocable.

2271. Scratches from stake races will close forty-five (45) minutes before post time.

2272. Declarations and scratches from purse races shall be made to the Racing Secretary by the owner or his authorized agent or some person deputed by him, before the time stipulated by the regulations of the franchise holder.

2273. If the miscarriage of any declaration, by mail or otherwise, is alleged, satisfactory proof of such miscarriage shall be required of the complainant; otherwise, the declaration shall not be accepted as of the time alleged.

POSTPONEMENT AND CANCELLATION OF RACES

2274. If the whole or a part of a racing program is cancelled, any race involved may be rescheduled by the Racing Commission.

2275. If a stake race is declared off, all subscriptions and fees paid in connection with that race shall be refunded.

2276. Public notice shall be given at the earliest practicable time if a published race is declared off.

2277. No race that has closed with sufficient entries shall be declared off except by the Stewards in their discretion.

2278. The Stewards may postpone a race from one race-day to the next race-day.

PREFERRED AND ALSO ELIGIBLE LISTS

2279. The Racing Secretary shall keep a list of all horses excluded from races because of too many entries, and horses on such list are to have preference in any race in which they may afterwards be entered in accordance with the regulations adopted by the Racing Secretary for the Race Meeting. This shall be known as the preferred list.

2280. The Racing Secretary may, in his sole discretion, decide for any Race Meeting whether or not there shall be an also-eligible list.

2281. If more than twelve (12) horses are entered for any purse race, a list of names not to exceed six (6) may be drawn from the overflow entries and listed as eligible to start, if originally carded horse or horses are excused from the race. A new drawing shall be taken from all horses on the also-eligible list, and their order of eligibility and post positions shall be determined by the sequence in which they are drawn. The owner or trainer of any horse on the also-eligible list shall, if he does not intend to start, so notify the Racing Secretary not later than scratch time.

2282. If the entries in a race exceed eighteen (18) and the also-eligible list is being used,

then those in excess of eighteen (18) not drawn automatically go on the preferred list.

2283. If the also-eligible list is not being used, all horses in excess of twelve (12) not so drawn as eligibles, or also eligibles, automatically go on the preferred list.

2284. Division of the preferred list with regard to claiming price and/or to distance shall be made at the discretion of the Racing Secretary, but whichever system is adopted shall be maintained for the entire Race Meeting.

2286. The name of no horse shall be placed on the preferred list if the owner thereof did not accept when presented the opportunity of starting.

2287. Horses whose names appear in the entries and have an opportunity to start, EXCEPTING HORSES ENTERED IN SWEEPSTAKES, will be given no consideration whatsoever should they be entered for the following day and the race overfill.

2288. A copy of the preferred list will be posted each day by 7 a.m., and any claim of error must be made by 12 noon of that same day.

2289. In entering horses on the preferred list, a claim of preference must be made at time of entry and noted on the entry, or the preference shall be lost. No claim of error will be considered by the Stewards if the person making the claim has signed an entry not marked in keeping with this Rule.

POST POSITION

2290. Post positions shall be determined publicly by lot in the presence of the Racing Secretary or his deputy, one or more Stewards or their deputies, and at least one trainer licensed by the Racing Commission.

2291. Beginning from the inside rail, the horses shall take their positions at the post in keeping with the numerical order resulting from the public drawing.

WEIGHT PENALTIES AND ALLOWANCES

2292. Weight penalties are obligatory.

2293. Weight allowance is obligatory except by permission of a Steward. Such permission must be obtained at time of entry.

2294. Weight allowance, including apprentice allowance, must be claimed at time of entry or prior to the drawing of the races.

2295. Said weight allowance shall not be abandoned after the posting of entries except by consent of the Stewards.

2296. Penalties and allowance of weight are not cumulative, unless so declared by the conditions of a race.

2297. A horse shall start with only the allowance of weight entitled at the time of starting, regardless of the claimed allowance at the time of entry.

2298. Horses incurring weight penalties for a race shall not be entitled to any of the weight allowances for that race.

2299. Horses not entitled to the first weight allowance in a race shall not be entitled to the second and so on.

2300. Omission to claim a weight allowance is not cause of disqualification.

2301. Claim of weight allowance to which a horse is not entitled shall not disqualify unless protest is made in writing and lodged with the Stewards at least sixty (60) minutes before post time of the race in question.

2302. No horse shall incur a weight penalty or be barred from any race for having been placed second or lower in any race.

2303. No horse shall be given a weight allowance for failure to finish second or in any lower place in any race.

2304. Penalties incurred in steeplechase or hurdle races shall not apply to races on the flat or vice-versa.

2305. Allowances due in steeplechase or hurdle races shall not apply to races on the flat or vice-versa.

2306. Penalties shall not be invoked nor allowances made in arriving at the weights to be carried in matches or in private sweepstakes.

2307. No horse shall receive allowance of weight or be relieved of extra weight for having been beaten in one or more races, provided this Rule shall not prohibit maiden allowances or allowances to horses which have not won within a specified period or which have not won a race of specified value.

2308. When the decision of a race is in dispute, all horses involved in the dispute with respect to the winner's credit shall be liable to all weight penalties attached to the winnings of

that race until a winner has been adjudged.

2309. Reports, records and statistics as published by The Daily Racing Form, Equibase or other publications recognized as official by the Stewards shall be considered official in determining eligibility, allowances and penalties, but may be corrected.

2311. No horse shall incur a weight penalty for a placement from which he is disqualified, but a horse placed through the disqualification of another horse shall incur the weight penalties of that placement. No such placement, however, shall make a horse ineligible to a race that already has been run.

2312. A horse involved in a Dead Heat for the first place shall be liable to weight penalty for the amount received.

WEIGHT, SCALE OF

2313. The following is the scale of weights for age, and shall be carried when not otherwise specified in the condition of the race.

Distance	Age Years	March and April	May	June	July	Aug.	Sept.	Oct.	Nov. & Dec.
	3 years	115	116	117	119	121	123	124	125
Half	4 years	126	126	126	126	126	126	126	126
Mile	5 & over	126	126	126	126	126	126	126	126
	3 years	116	119	120	122	123	125	126	127
Six	4 year	130	130	130	130	130	130	130	130
Furlongs	5 & over	132	132	132	130	130	130	130	130
	3 years	109	112	114	116	118	119	120	120
One	4 years	128	127	126	126	126	126	126	126
Mile	5 & over	130	129	128	126	126	126	126	126
One Mile	3 years	106	108	112	114	117	118	120	120
and a	4years 128	127	126	126	126	126	126	126	
Quarter	5 & over	130	129	128	126	126	126	126	126
One and	3 years	103	105	107	110	116	118	119	120
a Half	4 years	127	127	126	126	126	126	126	126
Miles	5 & over	130	129	128	126	126	126	126	126
	3 years	100	102	104	108	112	114	117	118
Two	4 years	127	126	126	126	125	125	124	124
Miles	5 & over	130	129	128	126	125	125	124	124
	3 years	97	99	100	102	107	108	110	112
Three	4 years	127	127	127	126	126	126	124	122
Miles	5 & over	130	129	128	126	126	125	124	122

Footnotes.

(a) In races of intermediate lengths, the weights for the shorter distance shall be carried.

(b) In races exclusively for three-years-olds or four-year-olds, the

weight shall be 126 pounds.

(c) Except in handicaps, fillies and mares three years old and over shall be allowed five (5) pounds before September 1st, and three (3) pounds thereafter.

(d) Except in handicaps, no horse three years old or over shall carry less than eighty-four (84) pounds.

(e) "Welter Weight" is twenty-eight (28) pounds added to weight for age.

WEIGHING IN

2314. After a race has been run and after he has pulled up the horse he has ridden, the jockey shall ride promptly to the finish line and there dismount, after obtaining permission from the Judges, and present himself to the Clerk of Scales to be weighed in.

2315. If a jockey is prevented from riding his mount to the finish line because of an accident or of illness either to himself or his horse, he may walk or be carried to the scales, or he may be excused by the Stewards from weighing in.

2316. Except by permission of the Stewards, every jockey must upon returning to the finish line unsaddle the horse he has ridden, and no person shall touch said horse except by his bridle.

2317. No person shall assist a jockey in removing from his horse the equipment that is to be included in the jockey's weight, except by permission of the Stewards.

2318. No person shall throw any covering over any horse at the place of dismounting until the jockey has removed the equipment that is to be included in his weight.

2319. No jockey shall before weighing in willfully touch any person or thing other than the equipment that is to be included in his weight.

2320. Each jockey shall in weighing in carry over to the scales all pieces of equipment with which he weighed out. Thereafter he may hand it to his attendant.

2321. In the event a jockey weighs in following the running of the race more than two (2) pounds short of the weight that the jockey was assigned in the race, the Clerk of Scales shall immediately so notify the Stewards, and the Stewards shall investigate and when warranted take disciplinary action against the individual or individuals responsible for the violation. When warranted, such action shall include disqualification of the horse from receiving what would

otherwise be its share of the purse. In the event that a horse is disqualified pursuant to this Rule after the declaration of the race as "Official," such disqualification shall not affect the results of the race for pari-mutuel wagering purposes or in so far as the payout to patrons is concerned. In the event a jockey weighs in following the running of the race more than two (2) pounds short of the weight that the jockey was assigned in the race and the Clerk of Scales so notifies the Stewards before the declaration of the race as "Official," the jockey's mount shall be disqualified for all purposes, including for purposes of pari-mutuel wagering and in so far as the payout to patrons is concerned.

2322. When a horse is disqualified under Rule 2321 and there is evidence of fraud or attempted fraud, any other horse in the race owned or controlled by the same interest or trained by the same trainer also shall be disqualified.

2323. No jockey shall weigh in at more than two (2) pounds over the weight at which he weighed out, except in so far as said weight may have been affected by the elements.

WEIGHING OUT

2324. The specified jockeys shall be weighed out for their respective mounts in each race by the Clerk of Scales not less than ten (10) minutes before the time fixed for the race. In case of a substitution of riders after the original rider has been weighed out, the substitute rider shall be weighed as promptly as possible and the name of the substitute and his weight publicly announced and posted.

2325. A jockey's weight shall include his/her clothing, boots, goggles, saddle and its attachments, etc.

2326. None of the following items should be included in a jockey's weight: whip, or a substitute for a whip, protective helmet, head number, bridle, bit, reins or saddle cloth.

2327. No bridle shall exceed two (2) pounds in weight, and no whip (or substitute for a whip) shall exceed one (1) pound in weight unless approved by the Stewards.

2328. Seven (7) pounds is the limit of the overweight any horse is allowed to carry.

2329. The franchise holder shall provide the only attendants who will be permitted to assist jockeys in weighing out.

PADDOCK TO POST

2331. Permission must be obtained from a Steward to exercise a horse between races.

2332. When a horse is being so warmed up before entering the paddock, his official

program number must be displayed by the rider.

2333. In a race, each horse shall carry a conspicuous saddlecloth number corresponding to his number on the official program. In the case of an Entry, each horse making up the Entry shall carry the same number with a distinguishing letter. For example, 1,1A,1X. In the case of a Field, the horses comprising the Field shall carry an individual number; i.e. 12, 13, 14, 15 and so on.

2334. Horses must be in the paddock at least twenty minutes before post time, or at the time appointed by the Stewards.

2335. Every horse must be saddled in the paddock or designated saddling area.

2336. All horses shall parade such a distance as is reasonable and proper in the opinion of the Stewards, unless excused by the Paddock Judge as provided in Rule 2023. If a horse is so excused from parading and is led to the post, he must pass over the same route as that followed by the parade.

2337. All horses shall carry their respective weights from paddock to post and during the running of the race.

2338. Parading horses shall pass the Stewards' stand in the numerical order of their exhibited number. Only a horse being led by the parade leader, or excused from parading, may parade out of numerical order.

2339. In the discretion of the Stewards, parading horses maybe allowed to break out of numerical order after passing from in front of the stands, or may be required to maintain their order until arrival at the post.

2340. After the horses enter the track, no jockey shall dismount and no horse shall be entitled to the care of an attendant without consent of the Stewards or the Starter.

2341. In case of accident to a jockey or to his mount or equipment, the Stewards or the Starter may permit the jockey to dismount and the horse to be cared for during the delay, and may permit all jockeys to dismount and all horses to be attended during the delay.

2342. If a jockey is thrown on the way from the paddock to the post, the horse must be remounted, returned to the point where the jockey was thrown and then proceed over the route of the parade to the post.

2343. If the jockey is so injured on the way to the post as to require another jockey, the horse shall be taken to the paddock, another jockey obtained and then ridden over any uncompleted portion of the exact route of the parade to the starting point.

2344. If a horse leaves the course while moving from paddock to post, he shall return to the course at the nearest practical point to that which he left the course, and shall complete his parade to the post from the point at which he left the course.

2345. No person shall willfully delay the arrival of a horse at the post.

POST TO FINISH

2347. When clear, a horse may be taken to any part of the course, but no horse shall cross or weave in front of other horses in such a way as to impede them or constitute or cause interference or intimidation that affects the outcome of the race.

2348. No horse or jockey shall willfully jostle another horse.

2349. During a race, no jockey shall carelessly and/or willfully strike or touch another jockey or another jockey's horse or equipment for the purpose of interfering with that horse or jockey.

2350. No jockey shall unnecessarily cause his horse to shorten his stride with a view to complaint.

2351. All horses shall be ridden out in every race. A jockey shall not ease up or coast to the finish without reasonable cause, even if the horse has no apparent chance to win prize money. A jockey shall give a best effort during a race, and each horse shall be ridden to win.

2352. If two horses run in one interest in any race, each shall give best effort. The practice of declaring to win with one or the other of such horses will not be allowed.

2353. The Stewards shall take cognizance of foul riding and may entertain reports from other racing officials of the Race Meeting whether or not formal complaint is made, but no complaint shall be considered which comes from any person other than the jockey, trainer or owner of the horse interfered with.

2354. No owner, trainer or jockey shall complain frivolously that his horses were crossed or jostled.

2356. The time for the first horse to cross the finish line shall be the official time of the race.

PROTESTS

2357. A protest, except a protest involving fraud, may be filed only by the owner (or his

authorized agent), trainer or jockey of a horse engaged in the race over which the protest is made, or by a racing official of the Race Meeting.

2358. A protest involving fraud may be made by any person.

2359. A protest, except a claim growing out of happenings in the running of the race, must be made in writing signed by the complainant and filed with the Stewards at least sixty (60) minutes before post time of the race in question. To merit consideration, any protest over the status of an alleged maiden must be made in writing, signed by the complainant and filed with the Stewards at least two (2) hours before the programmed post time for the first race of the day on which the protested maiden is scheduled to run.

2360. A protest against a horse engaged in a race, and filed with the Stewards not less than sixty (60) minutes before post time, shall receive immediate consideration. In default of proof within thirty (30) minutes of post time that the horse is qualified to start, the horse may be disqualified from starting.

2361. To merit consideration, a protest against the programmed distance of a race must be made at least thirty (30) minutes before post time for that race, but nothing in this Rule shall affect the Rule for races run at a wrong distance as compared with the official program.

2362. To merit consideration, a protest against a horse based on a happening in a race must be made to the Stewards before the placing of the horses for that race has been officially confirmed.

2363. Jockeys wishing to claim a foul or otherwise protest a happening in a race must do so by communicating to the outrider as promptly as practical following the running of the race. If the jockey's horse is pulled up prior to reaching the outrider, the jockey must proceed to the outrider in order to request a claim of foul or protest. The outrider must wait for those horses that pass the outrider before they are pulled up (and for the jockeys on horses pulled up prior to reaching the outrider to have had the opportunity to communicate a foul or protest claim to the outrider) before communicating a no-claim/no-protest status to the Stewards. If for any reason the outrider is unable to communicate to the Stewards, the Stewards shall not declare the race "Official" until the jockeys riding the first five (5) horses to finish shall have weighed in. Any jockey who has requested to register a claim of foul or protest also must contact the Stewards promptly upon dismounting. If the outrider is not confident regarding the decision to permit the quick official, the outrider shall so notify the Stewards and request a hold, and in such event the race shall not be declared "Official" by the Stewards until after either (i) the outrider shall have subsequently released the hold and notified the Stewards of no-claim/no-protest status or (ii) the jockeys riding the first five horses to finish have been weighed in.

2364. A person or persons lodging a protest must pay all the costs and expenses incurred in determining the objection unless his objection is upheld, in which case the cost shall be paid

by the offender.

2365. Pending the determination of a protest, any money or prize won by a protested horse, or any other money affected by the outcome of the protest shall be paid to and held by the Racing Secretary until the protest is determined.

2366. (a) If a protest (except for foul riding) against a horse that has won or has been placed is sustained by the Stewards, the horse shall be assigned to last position in the race, and the other horses in the race shall be advanced accordingly in the order of their finish.

(b) The Stewards are vested with the power to determine the extent of the disqualification in case of fouls. They may place the offending horse behind such horses as in their judgement it interfered with, or they may place it last.

2367. In the case of a disqualification, the Stewards shall immediately make public the reason for the disqualification, and the same shall be announced over the public address system.

2368. A protest may not be withdrawn without permission of the Stewards.

2369. No person shall make frivolous protests.

2370. The Stewards shall keep a record of all protests and complaints and of any action taken thereon, and shall report both daily to the Racing Commission.

2371. If a horse be disqualified for a foul under these Rules, any other horse in the race owned wholly or in part by the same interest or trained by the same trainer may also be disqualified.

WINNINGS

2374. Winnings shall include all net monies won in all countries, up to the time appointed for the start, including walkovers and forfeits, but not second and third money nor the value of a prize not in money.

2375. Winnings during a year shall be reckoned from January 1st of that year.

2376. Winner or non-winner of a specified sum means winner or non-winner of a single race of that value to the winner, unless otherwise stated.

2377. In computing the value of a series of races in which an extra sum of money is won by the winning of two or more races of the series, the extra amount shall not be included in the horse's winnings until the series, or that part of it, is finished, and hence the extra amount is definitely ascertainable. When ascertained, it shall be added to the race which determines the

extra amount.

2378. Foreign winnings shall be estimated on the basis of the normal rate of exchange prevailing on the day of the winnings.

2379. All franchise racing holders, owners, trainers, jockeys, agents, grooms and platers, and all licensees are deemed to accept the conditions under which franchise holder conducts the race meeting. Any person shall, before he/she terminates or discontinues his/her employment, engagement or activities under such accepted conditions, give the person's employer or other party for whom the person works, as the case may be, at least seven (7) days notice in writing of his/her intention to terminate or discontinue his/her employment, engagement or activities, provided, the employer or other party for whom the person works may waive the requirement of such advance notice. The Commission may, upon notice to all parties of interest, conduct a hearing or hearings with respect to any termination or discontinuance of employment, engagement or activities and if the Commission shall find that the cause for said termination or discontinuance of employment, engagement or activities under such accepted conditions is unreasonable or unlawful or contrary to the rules, regulations and conditions of the Commission, or the statutes of the State of Arkansas, or in bad faith or detrimental to the public interest or against the best interest of racing, or if the Commission shall find that the licensee or said persons have failed to give the required written notice herein provided, or in any other manner violated these Rules, it may, in its discretion, revoke, cancel, withdraw or suspend the licenses of any such trainer, jockey, agent, groom, plater, and other licensee, or may forever prohibit said person or persons from engaging in any activities at any race track in State of Arkansas, or take other appropriate disciplinary action in the circumstances.

DEAD HEATS

2381. When two or more horses run a Dead Heat, the Dead Heat shall not be run off.

2382. The owners of the horses in a Dead Heat shall divide equally the purse money involved.

2383. If a Dead Heat is for the first place, each horse shall be considered a winner of the amount received according to the preceding Rule.

2384. When a Dead Heat is run for second place and an objection is made to the winner of the race, and sustained, the horses that ran the Dead Heat, shall be deemed to have run a Dead Heat for first place.

2385. Owners shall divide equally all monies and other prizes; and if no agreement can be reached as to which of them shall receive a cup, plate or other indivisible prize, they shall draw lots for it in the presence of one or more of the Stewards.

2386. In a Dead Heat for first place, the winning jockeys shall receive 10% of the winning purse, and in all other cases the jockeys involved shall divide equally the sum total of the fees they would have received individually had one beaten the other, or others. Likewise, the owners of the horses involved shall pay their equal share of the jockey's fees.

MUTUELS

2387. When two or more horses run in a race and are coupled because of common ties, they are called an "Entry", and a wager on one of them shall be a wager on all of them.

2388. When the individual horses competing in a race exceed the numbering capacity of the totalisator, the highest numbered horse within the capacity of the totalisator and all horses of a higher number shall be grouped together and called the "Field", and a wager on one of them shall be a wager on all of them.

2389. With the approval of the proper officers of the franchise holder, the following Rules will apply.

(a) If less than six interests qualify horses to start in a race, the Manager of the Pari-mutuel Department shall be permitted to prohibit show wagering on that race.

(b) If less than five interests qualify horses to start in a race, the said Manager shall be permitted to prohibit both place and show wagering on that race.

(c) If less than three interests qualify horses to start in a race, and both of the horses qualified are coupled as an Entry, the said Manager shall be permitted to prohibit wagering on that race.

(d) The said Manager may prohibit wagering on any particular horse in any race.

2390. Before the wagering starts on each race, the morning line showing "Odds" on each horse may be posted on the public board. Entries shall be listed as one horse, and likewise the Field shall be listed as one horse.

2391. After wagering has begun on each race and immediately after there is $1,000 (more or less, depending on circumstances) in the Straight Pool, the "Approximate Odds" on each horse must be computed at each cycle of the Tote Board. Said "Approximate Odds" shall agree with the amount wagered on each horse in the Straight Pool. There shall be a minimum of four sets (and more under proper circumstances) of "Approximate Odds" posted during the wagering on each race. These "Odds", however, are approximate and not the exact figures used in the payoff.

2392. Wagering shall cease not later than off time, and no tickets shall be sold after the totalisator has been locked or wagering ceased.

2393. If, for any reason other than power failure or accidental locking, the pari-mutuel ticket issuing machines are closed during the wagering on a race, and before off time, they shall remain closed until after the race. Wagering shall cease on that race, and the payoff for that race shall be computed on the sums then wagered in each pool.

2394. If a horse or horses are locked in the gate, the proper racing official shall promptly notify the Manager of the Pari-mutuel Department of the name and number of said horse or horses.

2395. At the end of each race, the Placing Judges shall advise the Manager of the Pari-mutuel Department of the official placement of the horses, and no payoff shall be made until the receipt of such notice.

2396. Whenever the Tote Board fails mechanically and is obviously unreliable as to the amounts wagered, the payoff shall be computed on the sums then wagered in each pool as shown by the recapitulation of the sales registered by each ticket issuing machine.

2397. If an error is made in posting the payoff figures on the Tote Board, it shall be corrected promptly and only the correct amounts shall be used in the payoff, irrespective of the error on the Tote Board. If, because of mechanical failure, it is impossible to promptly correct the posted payoff, a statement shall be made over the public address system stating the facts and corrections.

2398. In the event of an irreparable breakdown of the totalisator or the ticket issuing machines or both during the wagering on a race, the wagering for that race shall be declared closed. The payoff for that race shall be computed on the sums wagered in each pool up to the time of the breakdown.

2399. In all cases when a horse has been excused by the Stewards after wagering has started, but before off time, all money wagered on the horse so excused shall be deducted from the pool and be refunded.

2400. If a horse is left at the post at off time, there shall be no refund; provided, however, if one horse or more is prevented from leaving the post at off time because of being locked in the gate, the money wagered on said horse or horses so locked in the gate shall be deducted from the pool and be refunded.

2401. If no horse finishes in a race, all money wagered on that race shall be refunded.

2402. If two or more horses in a race are coupled on the same mutuel ticket, there shall be no refunds unless all of the horses so coupled are excused before off time or all of the horses so coupled are locked in the gate.

2403. If a jockey weighs in more than two (2) pounds short of the weight that the jockey was assigned in the race, and his mount is disqualified by the Stewards in consequence of short weight prior to the declaration of the race as "Official," all moneys wagered on said horse shall be deducted from the pool and refunded. If a jockey weighs in more than two (2) pounds short of the weight that the jockey was assigned in the race, and his mount is disqualified by the Stewards in consequence of short weight after the results of the race have been declared "Official," the moneys wagered on said horse shall not be deducted from the pool or refunded and such disqualification shall not affect the results of the race for pari-mutuel wagering purposes or in so far as the pay out to patrons is concerned.

2404. In the case of an Entry, if one of the jockeys riding the Entry weighs in short of weight by more than two (2) pounds and his mount is disqualified by the Stewards in consequence of short weight prior to the result of the race being declared "Official," no refund shall be made unless the other portion of the Entry also is disqualified by the Stewards for short weight prior to the result of the race being declared "Official," in which case all moneys wagered on the Entry so disqualified prior to the results of the race being declared "Official" shall be deducted from the pool and refunded. This Rule applies with equal effect to the Field. See Rule 2321 and 2403 in the event a jockey weighs in short of weight by more than two (2) pounds after the results of the race have been declared "Official." Such resulting disqualification of the mount (after the results of the race have been declared "Official") shall not affect the results of the race for pari-mutuel wagering purposes or in so far as the payout to patrons is concerned.

2405. In the case of a race postponed beyond the day originally scheduled, all money wagered on said race shall be refunded.

2406. If a race is declared off by the Stewards after wagering begins on that race, all money wagered on that race shall be refunded.

2407. If a horse wins and there is no money wagered on that horse to win, the Straight Pool shall be apportioned among the holders of the place tickets on that horse, if any; otherwise, among holders of the show tickets on that horse.

2408. If no money has been wagered to place on a horse which is placed first or second in a race, the Place Pool for that race shall be apportioned among the holders of the place tickets on the other horse which was placed first or second.

2409. If no money has been wagered to show on a horse which is placed first, second or third in a race, the Show Pool in that race shall be apportioned among the holders of show tickets on the other horses which are placed first, second or third in that place.

2410. If only one horse finishes in a race, the Place and Show Pools shall be apportioned among the holders of place and show tickets on that horse.

2411. If only two horses finish in a race, the Show Pool shall be apportioned among the holders of show tickets on those two horses.

2412. Any ruling of the Stewards with regard to the reward of purse money made after the sign "Official" has been purposely displayed by the Placing Judges shall have no bearing on the mutuel payoff.

2413. Whenever there is a difference in any pool or pools; i.e., a difference between the sum total of the wagers on the individual horses as compared with the grand total as shown by the Tote Board, the larger amount shall be used as the basis for computing the payoff. The said larger amount shall be used as the base on which the commissions are computed and paid to the franchise holder and to the Racing Commission respectively, except that at racetracks which use equipment such as computers, which make it possible to determine immediately the correct amount of money wagered, the correct amount so determined shall be used as the basis for computing the payoff and commissions. In cases where the lesser amount is used, the Racing Commission auditor on duty must be in agreement with the figure used.

2414. The Manager of the Pari-mutuel Department shall furnish a copy of all calculating sheets to the Racing Commission.

2415. Payments due on all wagers shall be made in conformity with the well-established practice of the pari-mutuel system. Money wagered on winning tickets is returned in full, plus the profits. The practice is to work in dollars and not in the number of tickets. The break permitted by Law is deducted in all of the calculations arriving at the payoff price; i.e., the odd cents over any multiple of ten cents of winnings per dollar wagered are deducted.

2416. Any claim by a person that a wrong ticket has been delivered to him must be made before leaving the mutuel ticket window. No claim shall be considered thereafter and no claim shall be considered for tickets thrown away, lost, changed, destroyed or mutilated beyond identification. Payment of wagers will be made only on presentation of appropriate pari-mutuel tickets.

2417. Should an emergency arise in connection with the operation of the pari-mutuel department not covered by these Rules and an immediate decision is necessary, the Manager of the Pari-mutuel Department shall make the decision. The Manager of the Pari-mutuel Department shall be properly and timely advised by the Racing Secretary, prior to the beginning of wagering of each race, of the horses that will compete in the race.

2418. No minor shall be allowed to wager, and no jockey in colors shall enter the

betting area.

2419. The minimum payout on all winning wagers shall be equal to the amount wagered plus ten percent (10%) (i.e., the minimum payout on a $1.00 wager shall be $1.10, the minimum payout on a $2.00 wager shall be $2.20, etc.). However, in the event of a minus pool, the Racing Commission shall have the authority to establish an alternative minimum payout on winning wagers.

STEPS IN CALCULATING THE PAYOFF IN WIN POOL

2420. (a) The commission authorized by law is deducted from the sum total wagered in the Win Pool. The balance is called the "Net Pool".

(b) The amount wagered on the winner is then divided into the "Net Pool". The quotient thus obtained is the payoff price on the winner for each dollar wagered, and it includes the dollar wagered on the winner.

STEPS IN CALCULATING THE PAYOFF IN A PLACE POOL

2421. (a) The commission authorized by law is deducted from the sum total wagered in the Place Pool. The balance is called the "Net Pool".

(b) The sum total of the amount wagered in the Place Pool on the horses placed first and second is deducted from the "Net Pool". This gives a remainder, which is the profit or winnings. The said profit is divided into two equal parts between those who wagered in the Place Pool, on the winner and those who wagered on the horse that was placed second.

(c) Using the amount wagered in the Place Pool on the winner "to Place" as a divisor and one-half of the profits of the Place Pool as specified in (b) as a dividend, the quotient thus obtained is the profit per dollar wagered in the Place Pool on the winner "to Place".

(d) Using the amount wagered in the Place Pool on the horse placed second "to Place" as a divisor and the other half of the profits as specified in (b) as a dividend, the quotient thus obtained is the profit per dollar wagered in the Place Pool on the second horse "to Place".

(e) In each of the next above paragraphs (c) and (d), the profit per dollar wagered is the resultant. The sums wagered on the horses placed first and second must be returned, therefore add to the quotient the dollar taken out of the "Net Pool" in (b). The result is the payoff for each dollar wagered on horses placed first and second in the Place Pool.

STEPS IN CALCULATING THE PAYOFF IN A SHOW POOL

2422. (a) The commission authorized by law is deducted from the sum total wagered in the "Show Pool". The balance is called the "Net Pool".

(b) The sum total of the amount wagered in the Show Pool on the horses placed first, second and third is deducted from the "Net Pool". This gives a remainder, which is the profit or winnings. The said profit is divided into three equal parts among those who wagered in the Show Pool on the winner, the second horse and the third horse.

(c) Using the amount wagered in the Show Pool on the winner "to Show" as a divisor and one-third of the profits of the Show Pool as specified in (b) as a dividend, the quotient thus obtained is the profit per dollar wagered in the Show Pool on the winner "to Show".

(d) Using the amount wagered in the Show Pool on the horse placed second "to Show" as a divisor and one-third of the profits as specified in (b) as a dividend, the quotient thus obtained is the profit per dollar wagered in the Show Pool on the second horse "to Show".

(e) Using the amount wagered in the Show Pool on the horse placed third "to Show" as a divisor and one-third of the profits as specified in (b) as a dividend, the quotient thus obtained is the profit per dollar in the Show Pool wagered on the third horse "to Show".

(f) In each of the next above paragraphs (c), (d) and (d), the profit per dollar wagered is the resultant. The sums wagered on the horses placed first, second and third must be returned, therefore add to the quotient the dollar taken out of the "Net Pool" in (b). The result is the payoff price for each dollar wagered on horses placed first, second and third in the Show Pool.

STEPS IN CALCULATING THE PAYOFF IN DEAD HEATS

2423. In the case of a Dead Heat in the Straight Pool, the payoff prices shall be figured as in a Place Pool.

(a) In the case of a Dead Heat for second in the Place Pool, the winner of the race receives its half share of the profits in that pool; and each of the two horses that Dead Heat for second receives one-half of the remaining half of the profit.

(b) In the case of a Dead Heat for third or "Show" in the Show Pool, the first and second horses each receive a normal one-third of the profits in that pool; and the two horses that Dead Heat for third each receive one-half of the remaining third of the profits.

(c) Where two or more horses racing for one interest, or Field horses participate in Dead Heats, each horse of the Entry or Field is entitled to his/her proportionate

share of the profits in the Pool in which the Dead Heat occurs and the other pools affected. For example: Where two horses of an Entry or Field "Dead Heat" for win, the win and place prices are calculated as Straight Pools, and the Entry is entitled to two-thirds of the profits of the Show Pool.

DAILY DOUBLE

2424. (a) Two Daily Doubles will be permitted during any single racing program.

(b) If no ticket is sold combining the two winners of the Daily Double, the pool shall then be apportioned equally between those having tickets including the winner in the first race of the Daily Double and those having tickets including the winner in the last race of the Daily Double in the same manner in which a Place Pool is calculated and distributed.

(c) If no ticket is sold including the winner of the first race of the Daily Double, then the entire pool will be paid to the holders of tickets which include the winner of the last race of the Daily Double.

(d) Likewise, if no ticket is sold including the winner of the last race of the Daily Double, the entire pool will be paid to the holders of tickets which include the winner of the first race of the Daily Double.

(e) If no ticket is sold including a winner of either race of the Daily Double, then the pool shall be paid to holders of tickets which include the horses finishing second in the two races of the Daily Double.

(f) If no ticket is sold that would require distribution of the Daily Double pool to a winner under the sub-sections (b), (c), (d) and (e) of Rule 2424, the Association shall make a complete and full refund of the Daily Double Pool.

(g) There shall be a refund of Daily Double wagers in the event of a horse being scratched before the betting on the Daily Double has closed. (This refund is to apply only to wagers on the horse scratched.)

(h) It is required that a complete tabulation of sale or "take-off" of all combinations of tickets in the Daily Double Pool be made, and a copy of said "take-off" be in the hands of the Racing Commission auditor as soon as practicable.

(i) In the event a horse is scratched or excused in the second half of the Daily Double, after the first half is official, all tickets combining the scratched horse with the winner of the first half of the Daily Double shall be paid a price per dollar denomination calculated as follows: The net Daily Double Pool (gross pool less commission) shall be divided by the purchase price of all tickets combining the winner of the first half, and the quotient thus obtained

shall be the price to be paid to holders of tickets combining the winner of the first half and the scratched or excused horse in the second half. The entire consolation pool (number of eligible tickets times the consolation), shall be deducted from the Daily Double Pool.

(j) Before the running of the race comprising the last half of the Daily Double Pool, there shall be displayed in a prominent place, easily visible from the grandstand, clubhouse and bleachers, the payoff of each combination coupled with the winner of the first half of the Daily Double.

(k) In case of a Dead Heat for winner in the first half of the Daily Double, the payoff of the Daily Double need not be posted until after the running of the second half of the Daily Double, owing to the complicated calculations involved. However, announcement of this fact must be made over the loudspeaker and notice to this effect be posted on Tote Board at the conclusion of first half of Daily Double.

(l) If a Dead Heat should result in either the first or second race of the Daily Double, the total pool is figured as a Place Pool.

EXACTA WAGERING

2424.1 The Exacta Pool is a contract by the purchaser of one (1) ticket combining two (2) horses in a single race, selecting the two (2) horses that will subsequently finish first and second in that race. Payment of the ticket shall be made only to the purchaser who has selected the same order of finish as officially posted.

(a) The exacta is not a "parlay" and has no connection with or relation to the win, place and show betting and will be calculated as an entirely separate pool.

(b) If no ticket is sold on the winning combination of an Exacta Pool, the net pool shall be distributed equally between holders of tickets selecting the winning horse to finish first and/or holders of tickets selecting the second place horse to finish second.

(c) If no ticket is sold that would require distribution of an Exacta Pool to winner as above defined, the Association shall make a complete and full refund of Exacta Pool.

(d) In case of a Dead Heat between two (2) horses for first place, the net Exacta Pool shall be calculated and distributed as a place pool to holders of tickets of the winning combinations. In case of a Dead Heat between two (2) horses for second place, the Exacta Pool shall be figured as a place pool, the holders of tickets combining the winning horse and the two (2) horses finishing second participating in the payoff.

(e) In the event of a Dead Heat for second place, if no ticket is sold on one (1) of the two (2) winning combinations, the entire net pool shall be calculated as a Win Pool and

distributed to those holding tickets on the other winning combination. If no tickets combine the winning horse with either of the place horses in the Dead Heat, the Exacta Pool shall be calculated and distributed as a Place Pool to holders of tickets representing any interest in the net pool.

(f) In the event of any Entry finishing first and second, the net Exacta Pool shall be distributed to holders of tickets selecting the Entry to win combined with the horse finishing third.

MINUS POOL

2425. In the event of a Minus Pool, the deficiency shall be absorbed by the breaks accruing for that day. In the event the breaks accruing for that day are not sufficient to absorb such Minus Pool, the remaining deficiency will be borne solely by the franchise holder.

CLAIMING

2426. In Claiming Races on the flat, any horse is subject to claim by any owner in good standing or by a person who has been issued a "claiming authorization". However, no owner may claim a horse at Oaklawn unless that owner (either individually or through co-ownership, partnership, limited liability company, corporation or other entity in which the owner owns an equity interest): (1) has foal papers on file in the Oaklawn racing office with respect to a horse eligible to race at Oaklawn, and (2) either (i) has had an "official start" in a prior race at Oaklawn during the current race meet or (ii) was an "official starter" in the race in which the claim is submitted. For example, if an owner (who has not previously had an "official start" in a prior race at Oaklawn during the current race meet) owns in whole or in part Horse A entered in an Oaklawn race, the owner may, if otherwise in compliance with applicable rules, submit a claim for another horse in the race, but that claim shall be void if Horse A fails to become an "official starter" in the race.

CLAIMING AUTHORIZATION:

(a) The Stewards or their appointed representatives shall issue a claiming authorization to any person who makes application therefor on forms prescribed for that purpose and who:

(1) meets all requirements for the issuance of an owner's license;

(2) is not presently involved, and does not have a spouse presently involved, as an owner of a horse in thoroughbred racing;

(3) has an agreement with a licensed trainer to take charge of, care for and train any horse claimed pursuant to the claiming authorization (and the holder

of a claiming authorization and the trainer shall each promptly notify the Stewards in writing if such agreement is terminated before a horse is successfully claimed);

(4) has at least the amount of the claim on deposit or credited with the horsemen's bookkeeper; and

However, notwithstanding the foregoing, a claiming authorization may not be issued to any thoroughbred horse trainer licensed as such in any jurisdiction less the trainer is a member of a partnership, limited liability company, corporation or other entity that would otherwise be eligible for a claiming authorization and the claim is being made by that partnership, limited liability company, corporation or other entity.

(b) The claiming authorization shall be valid for the calendar year in which it is issued or until such time as the person to whom the authorization was issued becomes a horse owner, either through use of the claiming authorization or through private purchase.

(c) The same fee charged for an owner's license shall be payable to the Board by the applicant prior to issuance of a claiming authorization. The holder of a claiming authorization shall not, by virtue thereof, be entitled to admission to the grandstand, clubhouse, or other spectator facility at prices less than those charged the general public.

(d) An application for claiming authorization may be denied or revoked for any reason that would justify denial, suspension or revocation of an owner's license. Any person whose claiming authorization is denied or revoked shall have the same rights to notice and hearing as an owner whose license is denied, suspended or revoked.

(e) A holder of a claiming authorization who has not previously been granted an owner's license will be issued an owner's license without payment of any additional fees.

2426-A. At the time of entry into a claiming race, the owner (or trainer acting under authorization from the owner) may opt to declare a horse ineligible to be claimed provided: (a) the horse has not been an official starter in a race at any racetrack for a minimum of 120 days since its last race as an official starter; (b) the horse's last race as an official starter was a claiming race in which the horse was eligible to be claimed; (c) the horse is entered for a claiming price equal to or greater than the claiming price at which it last started as an official starter; (d) failure to declare the horse ineligible at the time of entry may not be remedied; and (e) ineligibility to be claimed shall apply only to the first start as an official starter following each such 120-day or longer layoff.

2427. A claim maybe made by an authorized agent, but agent may claim only for the account of those for whom he is licensed as agent.

2428. No person shall claim his own horse or cause his own horse to be claimed, directly or indirectly, for his own account.

2429. No person shall claim more than one horse from any one race.

2430. When a stable consists of horses owned by more than one person and trained by the same trainer, not more than one claim may be entered on behalf of such stable in any one race.

2431. The claiming price of each horse in a claiming race shall be printed in the official program, and all claims for said horse shall be for the amount so designated.

2432. Each claim shall be made in writing on a form supplied by the franchise holder. It shall be properly signed and enclosed in an envelope provided for the purpose by the Racing Secretary. The envelope shall have no identification mark on it, but it shall be marked with the number of the race. It shall be sealed and deposited in a locked box provided for this purpose by the Racing Secretary.

2433. No money or its equivalent shall be put in the claiming box. For a claim to be valid the person making the claim must have a credit balance in his account with the franchise holder's horseman's account of not less than the amount of the claim (plus any applicable sales tax).

All claims shall be deposited in the claiming box at least fifteen (15) minutes before the established post time of the race out of which the claim is made.

2434.

(a) Claims are irrevocable except as otherwise provided in subsection (e) of this Rule.

(b) At the time of filing the claim form, claimants shall have the right to designate on the claim form a request for a post-race test of the claimed horse for anabolic steroids and blood doping agents determined from time to time by the Commission Veterinarian, including, but not limited to, Erythropoietin, Darbepoetin, Oxyglobin and Hemopure, (a "Blood Doping Agent"). In the event a request for the post-race test for anabolic steroids and Blood Doping Agents is marked on the successful claimant's claim form, the claimed horse shall be taken to the test barn immediately after the race, a blood sample shall be drawn from the horse by the Commission Veterinarian or Commission Veterinarian's assistant, and the sample shall be submitted to a Commission-approved laboratory to test for the presence of anabolic steroids and Blood Doping Agent antibodies. The blood sample shall be taken, contained and submitted to the Commission-approved laboratory in accordance with the rules and procedures, including split samples, applicable to other post-race tests for foreign substances. The Blood Doping Agents to be tested

for shall include Erythropoietin, Darbepoetin, Oxyglobin, Hemopure and such other blood doping agents determined from time to time by the Commission Veterinarian.

(c) The successful claimant shall be responsible for paying the cost for the test for anabolic steroids and Blood Doping Agents. This cost shall be posted by the Commission Veterinarian and shall be deducted from the successful claimant's account with the horsemen's bookkeeper.

(d) If for any reason the claimed horse is unable to go to the test barn following the race, the Commission Veterinarian or Commission Veterinarian's Assistant will make reasonable efforts to reach the horse and draw a blood sample for testing. If for any reason the Commission Veterinarian or Commission Veterinarian's Assistant is unable or otherwise fails to draw a blood sample, the successful claimant's right to revoke the claim under this Rule shall be forfeited.

(e) The successful claimant shall have the right to void the claim if the Stewards determine that the blood sample from the claimed horse exhibited a positive response to the antibody test for any Blood Doping Agent under the testing guidelines established by the Commission Veterinarian or for anabolic steroids; provided, any such revocation of the claim must be submitted in writing to the Stewards within the forty-eight (48) hour period after the Stewards' decision on the positive test result is made public, as set forth below.

(f) Once the Stewards' determination of the positive test result for anabolic steroids and a Blood Doping Agent is made public, the successful claimant shall have forty-eight (48) hours thereafter to exercise his or her right to revoke the claim. Such revocation must be in writing and must be submitted to the Stewards within said forty-eight (48) hour time period. If no such revocation is received by the Stewards within the designated forty-eight (48) hour time period, the successful claimant shall forfeit the right to revoke the claim.

(g) If the successful claimant revokes the claim in accordance with this Rule, the Stewards shall order that (i) the horse be returned promptly to the former owner from whom the horse was claimed, and (ii) the claim money be returned promptly to the claimant revoking the claim, regardless of any appeals, hearings or other delays of any type.

(h) The successful claimant shall be responsible for all expenses for the care and maintenance of the horse from the time the horse is transferred to the claimant until the time the horse is returned to the prior owner following revocation of the claim in accordance with this Rule.

2435. When claiming time has expired, the claiming box shall be delivered to the Racing Secretary or the Racing Secretary's deputy. The Racing Secretary or the Racing Secretary's deputy shall open the box, and thereafter all claims are in their possession. The claiming box shall be available in the office of the Racing Secretary each racing day until fifteen (15) minutes before scheduled post time of each race. Whereafter, the claiming box shall be

available in the possession of the Racing Secretary or the Racing Secretary's deputy.

2436. The Racing Secretary or the Racing Secretary's deputy, shall open the claim envelope for each race as soon as, but not until, the horses for said race enter the track on the way from paddock to post; and immediately thereafter check with the horsemen's bookkeeper to ascertain whether or not the proper credit balance has been established with the franchise holder.

2437. The Stewards may at any time, in their discretion, require any person filing a claim to make affidavit in writing that he is claiming in accordance with the Rules.

2438. Claims that are made not in keeping with the Rules shall be void.

2439. A claimed horse shall run in the interest and for the account of the owner from whom claimed.

2440. Title to a claimed horse shall be vested in the successful claimant at the time the horse becomes a starter. A horse is a starter when the stall doors of the starting gate open in front of it at the time the starter dispatches the horses.

2441. Any horse that has been claimed shall, after the race has been run, be taken to the paddock for delivery to the claimant. However, if a urine or other test is to be made, the horse shall be taken to the test barn and the test made immediately. Thereafter, possession of the horse shall be delivered to the claimant.

2442. A horse claimed shall not be delivered by the original owner to the successful claimant until written authorization is given by the Racing Secretary.

2443. No person shall refuse to deliver to the person legally entitled thereto a horse claimed out of a claiming race.

2444. If more than one claim is filed for the same horse, the title to the horse shall be determined by lot under the direction and supervision of one or more of the Stewards.

2445. For a period of twenty (20) days after the claim, a claimed horse shall not start in a race in which the determining eligibility price is less than twenty-five percent (25%) more than the price at which it was claimed. The day claimed shall not count for purposes of counting the applicable twenty (20) day period, and for this purpose the immediate following calendar day after the day claimed shall be the first day. The horse shall be entitled to enter whenever necessary so that the horse may start on the twenty-first (21^{st}) calendar day following the claim, for any claiming price.

2446. No horse claimed in a claiming race shall be sold or transferred, wholly or in part, to anyone within thirty (30) days after the day claimed except in another claiming race. Further,

when a horse is claimed at a recognized race meeting under rules that are at variance with this Rule, title to such horse shall be recognized in Arkansas to follow the rule of the race meeting under which it was claimed.

2447. No claimed horse shall remain in the same stable or under the care or management of the owner or trainer from whom claimed.

2448. A horse claimed at a recognized race meeting under rules which are at variance with those of Arkansas shall, while racing in Arkansas, pay the penalties imposed on claimed horses by the Arkansas Rules, and shall pay any additional penalty imposed by the rules under which it was claimed.

2449. The engagements of a claimed horse pass automatically with the horse to the claimant. Notwithstanding any designation of sex or age appearing on the racing program or in any racing publication, the claimant of a horse shall be solely responsible for determining the age or sex of the horse claimed.

2450. The holder of a claim, whether it be a mortgage, bill of sale or lien of any kind against a horse, shall be required to file the same with the Racing Secretary previous to the time the horse is entered. Failure to do so shall forfeit his rights in the winnings of the horse previous to the time his claim is properly filed.

2451. No person shall enter a horse in a claiming race without disclosing its true ownership.

2453. An allegation of ownership of a horse entered in a claiming race will not be considered after closing time for claims on that race.

2454. No person shall offer or enter into an agreement to claim or not to claim, or attempt to prevent another person from claiming, any horse in a claiming race.

2455. No person shall attempt by intimidation to prevent any one from running a horse in any claiming race for which it is entered.

2456. No owner or trainer shall make any agreement for the protection of each other's horses in a claiming race.

2457. Any horse claimed at Oaklawn Jockey Club will not be eligible to start at any other track until the current Oaklawn meeting is closed, unless approved by the Stewards. See also Rule 2458 below for additional restrictions on starting horses at other racetracks after the current Oaklawn meeting is closed.

2458(a). Except as otherwise provided in this Rule 2458, no horse claimed during an Oaklawn race meet shall be eligible to race at another track for a period of thirty (30) days following the end of the Oaklawn racing season unless the claimed horse has subsequently run back in another race at Oaklawn following the claim.

(b) Horses claimed during the final fifteen (15) scheduled race days of an Oaklawn race meet are excepted from the requirements of Rule 2458(a).

(c) Horses entered in good faith in a subsequent race at Oaklawn with appropriate conditions that are unable to run back before of failure of the subsequent race at Oaklawn to fill or failure to draw in from the also eligible list may be excepted from the requirements of Rule 2458(a), if approved by the Racing Secretary and Stewards.

(d) Horses also may be excused from the requirements of Rule 2458(a) with approval by the Racing Secretary and Stewards in other appropriate circumstances where the horse was unable for good cause to run back in a subsequent race at Oaklawn.

2460. OAKLAWN JOCKEY CLUB CLASSIX RULES

(a) The Classix pari-mutuel pool is not a parlay and has no connection with or relation to any other pari-mutuel pool conducted by Oaklawn, nor to any Win, Place and Show Pool shown on the totalisator, nor to the Rules governing the distribution of such other pools.

(b) The Classix pari-mutuel Pool consists of amounts contributed for a selection for win only in each of six races designated by Oaklawn. Each person purchasing a Classix ticket shall designate the winning horse in each of the six races comprising the Classix.

(c) Those horses constituting an Entry of coupled horses or those horses coupled to constitute the Mutuel Field in a race comprising the Classix shall race as a single wagering interest for the purpose of the Classix pari-mutuel pool calculations and payouts to the public. However, if any part of either an Entry or the Field racing as a single wagering interest is a starter in a race the Entry or the Field selection shall remain as the designated selection to win that race for the Classix calculation and the selection shall not be deemed a scratch.

(d) The Classix pari-mutuel pool shall be handled as follows:

(1) The net amount in the Classix pari-mutuel pool will be divided into the Major Share (75%) and the Minor (Consolation) Share (25%).

(A) The Major Share (75%) will be distributed among holders of Classix tickets which correctly designate the official winner in each of the six races comprising the Classix.

(B) The Minor Share (25%) will be distributed among the holders of Classix tickets which correctly designate the most official winners, but fewer than six, of the six races comprising the Classix.

(2) In the event there is no pari-mutuel ticket properly issued which correctly designates the official winner in each of the six races comprising the Classix, the Major Share (75%) shall not be distributed, but shall be carried over to the next racing day and be added to the Major Share for distribution among holders of Classix tickets which correctly designate the official winner in each of the six races comprising the Classix.

(3) The management of Oaklawn reserves the right to cancel (terminate) Classix wagering. Public notice must be given at least seventy-two (72) hours prior to the date of cancellation (termination).

(4) Should no distribution be made pursuant to subsection (D) (1) (A) of this Rule on the last day of Oaklawn's meeting in which Classix wagering is offered (held), then that portion of the distributable pool and all monies accumulated therein shall be distributed to the holders of tickets correctly designating the most winning selections of the six races comprising the Classix for that day.

(e) In the event a Classix pari-mutuel ticket designates a selection in any one or more of the races comprising the Classix and that selection is scratched, excused or determined by the Stewards to be a non-starter in the race, the actual favorite, as evidenced by the amounts wagered in the win pool at the time of the start of the race, will be substituted for the non-starting selection for all purposes, including pool calculations and payoffs.

(f) In the event of a Dead Heat for win between two or more horses in any Classix race, all such horses in the Dead Heat for win shall be considered as winning horses in the race for the purpose of calculating the pool.

(g) No Classix shall be refunded except when all six legs are cancelled or declared as "No Contest". The refund shall apply only to the Classix pool established on that racing card. Any net pool carryover accrued from a previous Classix feature shall be further carried over to the next scheduled Classix Pool operated by Oaklawn.

In the event that any number of races less six comprising the Classix are completed, one hundred percent (100%) of the net pool for the Classix shall be distributed among holders of pari-mutuel tickets that designate the most winners in the completed races. No carryover from a previous day shall be added to the Classix pool in which less than six races have been completed. Any net pool carryover accrued from a previous Classix feature shall be further carried over to the next scheduled Classix Pool operated by Oaklawn.

(h) No parimutuel ticket for the Classix Pool shall be sold, exchanged or

canceled after the time of the closing of wagering in the first of the six races comprising the Classix, except for such refunds on Classix tickets as required by these Rules, and no person shall disclose the number of tickets sold in the Classix Pool, or the number or amount of tickets sold in the Classix Pool, or the number or amount of tickets selecting winners of the Classix races, until such time as the Stewards have determined the last race comprising the Classix each day to be official.

(i) A Classix pari-mutuel ticket shall constitute a binding contract between the holder of the ticket and Oaklawn, and said ticket shall constitute an acceptance of the Classix Rules.

PICK-3 WAGERING

2461.

(a) The Pick-3 pari-mutuel Pool is not a parlay and has no connection with or relation to any other pari-mutuel pool conducted by the Association, nor to any Win, Place or Show pool, nor to the Rules governing the distribution of such other pools.

(b) A valid Pick-3 ticket shall be evidence of a binding contract between the holder of the ticket and the racing Association, and the said ticket shall constitute an acceptance of Pick-3 provisions and Rules.

(c) The Pick-3 pari-mutuel Pool consists of amounts contributed for a selection for win only in each of three consecutive races designated by the Association with prior approval of the Racing Commission. Each person purchasing a Pick-3 ticket shall designate the winning horse in each of the three races comprising the Pick-3.

(d) Those horses constituting an Entry of coupled horses or those horses coupled to constitute the Field in a race comprising the Pick-3 shall race as a single wagering interest for the purpose of the Pick-3 pari-mutuel Pool calculations and payouts to the public. However, if any part of either an Entry or the Field racing as a single interest is a starter in a race, the entry or the field selection shall remain as the designated selection to win in that race for the Pick-3 calculation, and the selection shall not be deemed a scratch.

(e) The net amount in the pari-mutuel Pool subject to distribution among winning ticket holders shall be distributed among the holders of tickets that correctly designate the winners in all three races comprising the Pick-3.

(f) If no ticket is sold combining the three winners of the Pick-3, the net amount in the Pick-3 pari-mutuel Pool shall be distributed among holders of tickets which include the winners of at least two of the three races comprising the Pick-3.

(g) If no ticket is sold combining at least two winners of the Pick-3, the net amount in the Pick-3 pari-mutuel Pool shall be distributed among holders of tickets which include the winner of any one race comprising the Pick-3.

(h) If no ticket is sold that would require distribution of the Pick-3 Pool to a winner under this Rule, the Association shall make a complete and full refund of the Pick-3 Pool.

(i) If for any reason one of the races comprising the Pick-3 is cancelled, the net amount of the Pick-3 pari-mutuel Pool shall be distributed as provided above in subsections (f), (g) and (h) of this Rule.

(j) If for any reason two or more of the races comprising the Pick-3 are cancelled, a full and complete refund will be made of the Pick-3 Pool.

(k) In the event a Pick-3 ticket designates a selection in any one or more of the races comprising the Pick-3 and that selection is scratched, excused or determined by the Stewards to be a non-starter in the race, the actual favorite, as evidenced by the amounts wagered in the Win Pool at the time of the start of the race, will be substituted for the non-starting selection for all purposes, including pool calculations and payoffs.

(1) In the event of a Dead Heat for win between two or more horses in any Pick-3 race, all such horses in the Dead Heat for win shall be considered as winning horses in the race for the purpose of calculating the pool.

(m) No pari-mutuel ticket for the Pick-3 Pool shall be sold, exchanged or cancelled after the time of the closing of wagering in the first of the three races comprising the Pick-3, except for such refunds on Pick-3 tickets as required by this Rule, and no person shall disclose the number of tickets sold in the Pick-3 pool or the number or amount of tickets selecting winners of Pick-3 races until such time as the Stewards have determined the last race comprising the Pick-3 to be official.

Trifecta Wager

2462.

(a) The Trifecta requires selection of the first three (3) finishers, in their exact order for a single race.

(b) The Net Trifecta Pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(1) As a single priced pool to those whose combination finished in

correct sequence, as the first three betting interests.

(2) If there are no such wagers in (1), then as a single priced pool to those whose combination included, in correct sequence, the first two betting interests.

(3) If there are no such wagers in (1) or (2), then as a single priced pool to those whose combination correctly selected the first place betting interest only.

(4) If there are no such wagers in (1), (2) or (3), then the entire pool shall be refunded on Trifecta wagers for that race.

(c). If less than three (3) betting interests finish and the race is declared official, payoffs will be made based upon the order of finish of those interests completing the race. The balance of any selection beyond the number of betting interests completing the race shall be ignored.

(d) If there is a Dead Heat for first involving:

(1) Contestants representing three (3) or more betting interests, all of the wagering combinations selecting three (3) betting interests that correspond with any of the betting interests involved in the Dead Heat shall share in a profit split.

(2) Contestants representing two (2) betting interests, both of the betting interests, irrespective of order, along with the third-place betting interests shall share in a profit split.

(e) If there is a Dead Heat for second, all of the combinations correctly selecting the winner combined with any two (2) of the betting interests involved in the Dead Heat for second shall share in a profit split.

(f) If there is a Dead Heat for third, all wagering combinations correctly selecting the first two (2) finishers, in correct sequence, along with any of the betting interests involved in the Dead Heat for third shall share in a profit split.

(g) Entries coupled as a single wagering interest and a Field of horses when designated as a single wagering interest are permitted. Such a single wagering interest constitutes an individual wagering selection, and a scratch of any horse that is part of an Entry or the Field shall not constitute a scratch of the single wagering interest.

(h) For Trifecta races, at least six (6) betting interests shall be carded;

provided, if only five (5) betting interests are carded, or if scratches occurring after opening the Trifecta Pool reduce the race to five (5) betting interests, Trifecta wagering on the race will still be permitted, with permission from the Stewards. However, in no event shall Trifecta wagering be allowed on a race with fewer than five (5) betting interests.

Quinella Wager

2463.

(a) The Quinella is not a parlay and has no connection with or relation to the Win, Place and Show Pools. All tickets on the Quinella will be calculated in a separate pool.

(b) All Quinella tickets will be for the win and place combination only. When purchasing a Quinella ticket, two (2) horses are selected, which must finish 1-2 or 2-1. For example, if numbers 3 and 6 are selected, they must come in 3 first and 6 second, or 6 first and 3 second.

(c). Entries or Field horses in a race comprising the quinella shall race as single wagering interests for the purpose of pari-mutuel pool calculations and payouts to the public. If, in the event that any part of the Entry or the Field is a starter, there shall be no refund to persons wagering on such Entry or Field. In the event any part of an Entry or the Field finishes first, the order of finish of all other horses making up such Entry or Field will be disregarded in determining which horse finished second for the purpose of this Rule.

(d) Should any horse or horses entered in a Quinella race be scratched or excused by the Stewards after wagering has commenced, or should any horse or horses be prevented from racing because of the failure of stall doors on the starting gate to open, all tickets including such horse or horses shall be deducted from the Quinella Pool and refunded to the purchasers of tickets on the horse or horses so excused or prevented from racing.

(e) Should there be no tickets sold on the winning combination in a Quinella race, any and all Quinella tickets bearing the number of individual win horse and any and all Quinella tickets bearing the number of the individual place horse shall be deemed winning tickets, and the payoff shall be calculated as a Place Pool.

(f) Should there be no tickets sold on the winning combination in a Quinella race and should there be no Quinella tickets sold bearing the number of the individual win horse, any and all Quinella tickets bearing the number of the individual place horse shall be deemed winning tickets, and the payoff shall be calculated as a Win Pool.

(g) Should there be no tickets on the winning combination in a Quinella race,

and should there be no Quinella tickets sold bearing the number of the individual place horse, any and all tickets bearing the number of the individual win horse shall be deemed winning tickets, and the payoff shall be calculated as a Win Pool.

(h) Should there be no tickets on the winning combinations in a Quinella race, and should there be no Quinella tickets sold bearing the number of the individual win horse, and should there be no Quinella tickets sold bearing the number of the individual place horse, the Quinella shall be deemed "No Contest," and all money in the Quinella shall be promptly refunded.

(i) Should, after an official start is effected, only one horse finish the Quinella race, the total money is figured as a Win Pool, with those who have picked that one horse in the race participating in the pool.

(j) Should a two (2) horse Dead Heat for win result in a Quinella race, the two horses involved in the Dead Heat shall be the winners of the Quinella race.

(k) Should a multiple Dead Heat for win result in a Quinella race, all horses involved in the Dead Heat shall be winners of the Quinella and payoffs figured accordingly. Example: Should numbers 1, 3 and 5 Dead Heat for win, the winning Quinella combinations would be 1-3, 1-5, 3-1, 3-5, 5-1, 5-3. The net pool, after deducting the amount wagered on the winning combinations, will be equally distributed in payoff calculations on the winning combinations.

(l) Should a two (2) horse Dead Heat for place result in a Quinella race, the total pool is calculated as a Place Pool.

(m) Should a multiple Dead Heat for place result in a Quinella race, all combinations coupling the winning horse with the individual place horses shall be winners of the Quinella race, and payoffs calculated accordingly.

BingoBet™ WAGER

2464.

(a) **Summary**: The BingoBet™ is a pari-mutuel wager placed on a bingo-style ticket played through a pari-mutuel system. The BingoBet™ is a single race wager in which runners are selected and placed in eight boxes arranged in a square of three columns and three rows. The middle box is a free box.

(1) The first column contains the runner numbers selected to finish first (win); the second column contains the runner numbers selected to finish first or second (win or place); the third column contains the runner numbers selected to finish first, second or third

(win, place or show).

(2) A wager contains one winning BingoBet™ combination, called a "bingo," for each straight line of boxes (which may include the free middle box) that matches these finishers either vertically, horizontally, or diagonally.

(3) A single wager may contain as many as eight winning bingos.

(4) If a wager wins both diagonal bingos and no others, forming the shape of the letter "X," an additional Perfect-X Pool is paid.

(5) If a wager wins all eight bingos, the Carryover Pool is paid out.

(b) Entering a wager. The customer has the option to:

(1) Select a single runner, whose number will randomly appear in at least one of the eight number boxes, and allow the computer to randomly assign runner numbers to the other seven boxes.

(2) Allow the computer to randomly assign runner numbers to all eight boxes.

(c) Wager amount. The minimum BingoBet™ wager shall be $1. Wagers may be restricted to specific denominations.

(d) Cancellation of wager. Claims for cancellation of a BingoBet™ wager may be made only if the wager does not contain the requested runner number, and only if the claim is made before the customer leaves the window.

(e) Carryover Pool and Seed Pool Percents. After wagering closes for a BingoBet™ race and commissions have been deducted from the gross pool:

(1) An additional percentage of the gross pool may be deducted and added to the Carryover Pool brought forward from previous races.

(2) Another percentage of the gross pool may be deducted and added to the Seed Pool brought forward from previous races.

(3) Another percentage of the gross pool may be deducted and added to the Perfect -X Pool brought forward from previous races.

(4) The remaining amount is the net Bingo-Bet™ Pool.

(5) The designated percentages to be deducted may be changed, and shall be posted at least a day in advance of the performance in which the change takes effect.

(f) Net BingoBet™ Pool Distribution.

(1) The net BingoBet™ Pool payoffs shall be calculated based on separate winning bingos, regardless of whether they appear on a single wager, so that a winning BingoBet™ wager can be paid the sum of the payoffs for several winning bingos.

(2) Wagers which select enough winning bingos to be eligible for distribution of the Carryover pool shall not be included in the number of winning bingos for the net BingoBet™ Pool distribution.

(3) The net BingoBet™ Pool shall be divided by the number of $1 winning bingos. The resulting quotient, rounded down in accordance with established pari-mutuel practice, is the payoff for each $1 winning bingo.

(4) If there are no wagers that win the net BingoBet™ Pool, then the net BingoBet™ Pool shall be added to the Carryover Pool for distribution as described below.

(g) Perfect -X Pool Distribution. The Perfect-X Pool shall be distributed among those BingoBet™ wagers which contain both diagonal bingos and no others, forming the shape of the letter "X," which includes all four corner boxes and the free middle box, but none of the other four boxes.

(1) The Perfect-X Pool shall be divided by the number of $1 BingoBet™ wagers which qualify as Perfect-X winners. The resulting quotient, rounded down in accordance with established pari-mutuel practice, and added to the payoff due for two $1 winning bingos, is the payoff for each such $1 wager.

(2) If there are no wagers that win the Perfect-X Pool, it shall be carried forward to the next corresponding BingoBet™ race.

(h) Carryover Pool Distribution. The Carryover Pool shall be distributed among those BingoBet™ wagers which contain all eight winning binges, i.e. wagers in which all eight boxes contain selections which match the proper finishers, in addition to the free middle box.

(1) The Carryover Pool shall be divided by the number of $1 BingoBet™ wagers which select all eight winning bingos. The resulting quotient, rounded down in accordance with established pari-mutuel practice, is the payoff for each such $1 wager.

(2) A guaranteed minimum amount may be designated for the

Carryover Pool, such that if the Carryover Pool is won before it reaches this minimum, then the guaranteed minimum amount shall be distributed.

(3) If the Carryover Pool is not distributed, it shall be carried forward to the next corresponding BingoBet™ race.

(4) When the Carryover Pool has been won, the Seed Pool, if any, shall become the new Carryover Pool for the next BingoBet™ race.

(i) Entries and Fields. In a contest involving coupled Entries and Fields, only the highest placed member of the coupling is included in the order of finish for the BingoBet™. For example, if the actual order of finish is 1/lA/2/3, then the official order of finish for the BingoBet™" is 1/2/3.

(j) Dead Heat. If there is a Dead Heat for first, second or third place in the race, the runners involved in the Dead Heat shall all be considered as finishing in the position for which there was a dead heat. For example, if runners 1 and 2 are in a Dead Heat for first, then both 1 and 2 are considered first. If runner 3 came in next, it is still third.

(k) Scratches. Should a runner be scratched:

(1) The actual favorite, as evidenced by the amounts bet in the Win Pool when betting on the race is closed, shall be substituted for that runner.

(2) If the amount bet in the Win Pool is identical on two or more favorites, the favorite with the lowest program number shall be substituted for that runner.

(l) Refunds. The entire gross BingoBet™ Pool for the race shall be refunded if:

(1) less than seven (7) wagering interests remain live in the race;

(2) less than three (3) wagering interests finish the race; or

(3) the race is cancelled or declared "no contest."

SUPERFECTA POOL

2465.

(a) The Superfecta requires the selection of the first four (4) finishers, in their exact order, for a single race.

(b) The net Superfecta Pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(1) As a single price pool to those whose combination finished in correct sequence as the first four (4) betting interests.

(2) If there are no such wagers in (1), then as a single price pool to those whose combination included, in correct sequence, the first three (3) betting interests.

(3) If there are no such wagers in (1) or (2), then as a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests.

(4) If there are no such wagers in (1), (2) or (3), then as a single price pool to those whose combination correctly selected the first place betting interest only.

(5) If there are no such wagers in (1), (2), (3) or (4), then the entire pool shall be refunded on Superfecta wagers for that race.

(c) If less than four (4) betting interests finish and the race is declared official, payoffs will be made based upon the order of finish of those betting interests completing the race. The balance of any selection beyond the number of betting interests completing the race shall be ignored.

(d) If there is a Dead Heat for first involving:

(1) Contestants representing four (4) or more betting interests, all of the wagering combinations selecting four (4) betting interests that correspond with any of the betting interests involved in the Dead Heat shall share in a profit split.

(2) Contestants representing three (3) betting interests, all of the wagering combinations selecting the three (3) Dead Heated betting interests, irrespective of order, along with the fourth place betting interest shall share in a profit split.

(3) Contestants representing two (2) betting interests, both of the wagering combinations selecting the two Dead Heated betting interests, irrespective of order, along with the third place and fourth place betting interests shall share in a profit split.

(e) If there is a Dead Heat for second involving:

(1) Contestants representing three (3) or more betting interests, all of the wagering combinations correctly selecting the winner combined with any of the three (3) betting interests involved in the Dead Heat for second shall share in a profit split.

(2) Contestants representing two (2) betting interests, all of the wagering combinations correctly selecting the winner, the two (2) Dead Heated betting interests, irrespective of order, and the fourth place betting interest shall share in a profit split.

(f) If there is a Dead Heat for third, all wagering combinations correctly selecting the first two (2) finishers, in correct sequence, along with any two (2) of the betting interests involved in the Dead Heat for third shall share in a profit split.

(g) If there is a Dead Heat for fourth, all wagering combinations correctly selecting the first three (3) finishers, in correct sequence, along with any of the betting interests involved in the Dead Heat for fourth shall share in a profit split.

(h) Entries coupled as a single wagering interest and a Field of horses when designated as a single wagering interest are permitted. Such a single wagering interest shall constitute an individual wagering selection, and a scratch of any horse that is part of an Entry or the Field shall not constitute a scratch of the single wagering interest.

(i) In the event that more than one (1) component of a Field or coupled Entry finishes within the first four (4) finishing positions, the first member of the Field or coupled Entry to finish shall determine the position (first, second, third or fourth) of the single betting interest. The net pool shall then be distributed to those whose selection included the Field or coupled Entry and each of the other three (3) betting interests in accordance with the priorities otherwise set forth in this Superfecta Rule.

(j) For Superfecta races, at least eight (8) betting interests shall be carded. However, if scratches occurring after opening the Superfecta Pool reduce the race to seven (7) betting interests, Superfecta wagering on the race shall still be permitted, but in no event shall Superfecta wagering be allowed on a race with fewer than seven (7) betting interests.

PICK-4 WAGERING

2466.

(a) The Pick-4 requires selection of the first-place finisher in each of four (4) designated races. The Association shall designate the four (4) races comprising the Pick-4, with prior approval of the Racing Commission. Any changes to the approved Pick-4 format shall require prior approval from the Racing Commission.

(b) The net Pick-4 pool shall be distributed as a single price pool among the holders of valid tickets that designate the first-place finisher in the greatest number of races comprising the Pick-4, based upon the official order of finish. For example, the net amount in the Pick-4 pool shall be distributed among the holders of valid tickets that correctly designate the

official winner of all four (4) races comprising the Pick-4. If there is no valid ticket sold that correctly designates the official winner of all four (4) of the Pick-4 races, the net amount in the Pick-4 pool shall be distributed among the holders of valid tickets that correctly designate the official winner of any three (3) of the races comprising the Pick-4. If there is no valid ticket sold that correctly designates the official winner of at least three (3) of the Pick-4 races, the net amount in the Pick-4 pool shall be distributed among the holders of valid tickets that correctly designate the official winner of any two (2) of the races comprising the Pick-4. If there is no valid ticket sold that correctly designates the official winner of at least two (2) of the Pick-4 races, the net amount in the Pick-4 pool shall be distributed among the holders of valid tickets that correctly designate the official winner of any one (1) of the races comprising the Pick-4. If there are no winning wagers (i.e., if there is no valid ticket sold that correctly designates the official winner of at least one (1) of the Pick-4 races), the Pick-4 pool shall be refunded.

(c) If there is a dead heat for first in any of the Pick-4 races involving:

(1) horses representing the same betting interest, the net Pick-4 pool shall be distributed as if no dead heat occurred.

(2) horses representing two (2) or more betting interests, all such horses in the dead heat for win shall be considered as winning horses in the race for purposes of calculating the Pick-4 pool and payouts to the public.

(d) Should a betting interest in any of the Pick-4 races be scratched, the actual favorite, as evidence by total amounts wagered in the Win pool at the host association for the race at the close of wagering on that race, shall be substituted for the scratched betting interest for all purposes of the Pick-4, including Pick-4 pool calculations. In the event that the Win pool total at the host association for the race at the close of wagering on that race for two (2) or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(e) Those horses constituting an Entry of coupled horses or those horses coupled to constitute the Field in a race comprising the Pick-4 shall race as a single wagering interest for purposes of the Pick-4 pool calculations and payouts to the public. If only part of an Entry or part of the Field racing as a single interest scratch and any part of the Entry or part of the Field racing as a single interest is a starter in the race, the Entry or the Field selection shall remain as the designated selection to win in that race for the Pick-4 calculation, and the selection of the Entry or Field, as the case may be, shall not be deemed a scratch.

(f) The Pick-4 pool shall be canceled and all Pick-4 wagers for that Pick-4 shall be refunded if at least three (3) contests included as part of such Pick-4 are canceled or declared “no contest.”

(g) If one (1) or two (2) contest(s) included as part of a Pick-4 are canceled or declared "no contest", the net Pick-4 pool shall be distributed as a single price pool among the holders of valid tickets that designate the first-place finisher in the greatest number of remaining Pick-4 races for that Pick-4.

(h) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited until such time as the Stewards have determined the last race comprising the Pick-4 to be official. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

(i) The Association may suspend previously approved Pick-4 wagering with the prior approval of the Racing Commission.

2485(A).

Instant Racing #5, Instant Double

1) **Summary**: Instant Double is a method of pari-mutuel wagering which requires selection of one or more of the first three finishers for each of two races selected from a historical library of previously run races that are replayed from a central video server according to the following procedures:
   a) The first race from the historical library is selected at random before the player enters any selection.
   b) The player may examine one or more charts including past performance information showing the relative merits of the horses as they actually were on the day of the first race.
   c) After the player's selections are registered, the identity of the first race is revealed, a video segment of the race finish is shown, and the actual official results are displayed.
   d) A player qualifies to play the Bonus Game by matching some or all of the first three finishers of the first race in one of several different ways. See Ways to Qualify for Bonus Game.
   e) In the Bonus Game the player's selections are matched with a second race, the Bonus Race, selected from the historical library. Any winnings may be collected instantly.
   f) The results of the Bonus Game are displayed as a pattern of symbols for easy identification.
2) **Wager Amounts**:
   a) Acceptable wagering units shall be no lower than "5¢ Per Play" ($0.05) and no higher than "$20 Per Play" ($20).
   b) The franchise holder shall designate a maximum number of unit bets per wager, no higher than ten (10).
   c) For players who purchase the maximum number of unit bets in one wager, the highest value pool shall be augmented by the Maximum Bet Jackpot pool (if available).

3) **Pool Split**: After the applicable takeout (as approved by the Racing Commission) has been deducted from the wager, the remaining amount shall be apportioned among several separate pools which have been carried over from previous races played by all players:
   a) The remaining amount (after takeout) of the bet amount shall be apportioned among a pool for each of several ways to win, the Maximum Bet Jackpot pool (if available), and to the Seed Pool (depending on its current amount).
   b) The takeout rate and allocation to each pool may be different for each of the bet amounts from one to the maximum number of bet units.
4) **Ways to Qualify for Bonus Game**: Some or all of the following ways to qualify for the Bonus Game may be included, or other similar ways may be declared with approval of the Racing Commission:
   a) 3 Exact Order: The player's selections correctly match the first three finishers of the first race in exact order.
   b) 3 Any Order: The player's selections correctly match the first three finishers of the first race in any order.
   c) Top 2 Exact Order: The player's top two selections correctly match the first two finishers of the first race in exact order.
   d) Top Pick Wins Race: The player's top selection correctly matches the first (winning) finisher of the first race.
   e) Any 2 of 3: The player's selections correctly match any two of the first three finishers of the first race in any order.
   f) In the Money: Any one of the player's three selections correctly matches the first, second, or third finisher of the first race.
5) **Ways to Win the Bonus Game:** A wager may qualify to win the Bonus Game using the following procedure:
   a) The Bonus Race shall be selected using various designated permutations of the player's selections in a manner set by the franchise holder and reported to the Racing Commission.
   b) The franchise holder may elect to associate each of the several separate pools with a group of two or more of these ways to win so that either:
      (1) Each such way to win shall be an equivalent way to win that pool, or:
      (2) One such way to win shall be paid a designated multiple of the payment calculated for another way to win.
   c) One or more of the ways to win may be designated to participate in the Maximum Bet Jackpot pool, only for those players who purchase the maximum number of unit bets in one wager.
   d) Some or all of the following ways to win may be included, or other similar ways may be declared with approval of the Racing Commission:
      (1) 3 Exact Order: The player's selections correctly match the first three finishers of the bonus race in exact order.
      (2) 3 Any Order: The player's selections correctly match the first three finishers of the bonus race in any order.

(3) Third-Second-First: The player's selections match the first three finishers of the bonus race in reverse order.
(4) Top 2 Exact Order: The player's top two selections correctly match the first two finishers of the bonus race in exact order, and the player's third selection is ignored.
(5) Top Pick Wins Race: The player's top selection correctly matches the first (winning) finisher of the bonus race, and the player's second and third selections are ignored.
(6) Top 2 Exact Order Only: The player's top two selections correctly match the first two finishers of the bonus race in exact order, but the player's third selection matches nothing.
(7) Top Pick Wins Race Only: The player's top selection correctly matches the first (winning) finisher of the bonus race, but the player's second and third selections match nothing.
(8) 3 Any Order Consolation: The player's selections fail to match any other way to win in the bonus race, but do correctly match the first three finishers of the first race in any order.

6) **Payment Calculation**:
   a) The winning price for a pool shall be calculated as follows:
      (1) The entire amount in the pool after takeout shall be divided by the maximum number of unit bets, then:
      (2) If there are other ways to win this pool that specify a multiple, the result shall be further divided by the largest multiple, then:
      (3) The result shall be rounded down if necessary for price round-off (breakage), then:
      (4) The result shall be raised if necessary to the minimum payoff amount, then:
      (5) The result shall be multiplied by the actual number of unit bets wagered, then:
      (6) If the way to win this pool specifies a multiple, the result shall be further increased by that multiple.
      (7) Only for players who purchase the maximum number of unit bets in one wager, if this way to win has been designated to be paid the Maximum Bet Jackpot pool, then that amount shall be added to the winning price, rounded down if necessary for price round-off (breakage).
   b) When a wager qualifies for more than one way to win, only the largest single amount qualified for shall be paid.
   c) Each way to win has a minimum payoff amount for winning wagers, which shall be posted.
   d) In the case of a minus pool, the minimum payoff amount shall not be less than the amount of one unit bet wagered.
   e) If two players qualify to win the same pool within a short time, the first winner shall be paid an amount calculated from the current pool and the second shall be paid an amount calculated from the new pool, which may begin with the minimum payoff amount.
7) **Dead Heat**: A race that has a dead heat for first, second, or third shall not be used for Instant Double wagering.
8) **Coupled Entries, Mutuel Fields**:

a) A race involving coupled entries or mutuel fields shall not be used for Instant Double wagering if there are two or more betting interests live for a single horse number.
b) The one remaining live betting interest of a coupled entry or mutuel field shall be represented by its horse number without a letter. For example, horse number "1" represents either horse "1" or "1A".

9) **Seed Pool**: To cover the cases when one of the minimum payoff amounts is paid, the Seed Pool is accumulated from a designated percent of wagers.
   a) Each time the application of the minimum payoff results in a payoff amount in excess of the actual amount available in the pool, the shortfall shall be deducted from the Seed Pool.
   b) After a pool is paid, the actual amount of the pool may be seeded from the Seed Pool to a specified initial amount.
   c) While the Seed Pool is below a designated threshold amount, the allotments to the other pools are each decreased and the difference shall increase the allotment to the Seed Pool.
10) **Racing Commission Approval**:
   a) The takeout rate may not exceed seventeen percent (17%).
   b) The method of apportioning wagers to each of the pools shall be set by the franchise holder and reported to the Racing Commission.
   c) The method of seeding pools shall be set by the franchise holder and reported to the Racing Commission.
   d) The number of pools and specific ways to win, and specific ways to qualify for the Bonus Game, declared from time to time by the franchise holder from the list set forth above (or other similar ways approved by the Racing Commission), shall be set by the franchise holder and reported to the Racing Commission.
   e) The Bonus Race selection method shall be approved by the Racing Commission.
   f) Should the Instant Double pools be designated for termination or mandatory distribution on a specific date, a method approved by the Racing Commission shall be used.
   g) The percents for apportioning the wager among takeout and the various pools will be posted.

2485(B).

1. Only wagers that are pari-mutuel and processed through a recognized pari-mutuel totalizator system may be accepted. Wagers placed in accordance with the Instant Double rules adopted by the Racing Commission contemporaneously herewith are pari-mutuel.

2. Races shall be randomly selected from a historical library of actual races that have previously been run. Only races that were run at licensed racetracks may be used. It shall not be necessary that each patron be wagering on the same race.

3. All wagers, less the applicable takeout, will be placed in pari-mutuel pools as approved by the Racing Commission. The takeout may not exceed 17%.

4. Minimum payout on winning wagers will be 10 cents on the dollar, unless that results in a minus pool, in which event, the minimum payout on winning wagers may be as low as the amount of the wager itself. The effective breakage will be 10 cents on the dollar, except when minimum payouts are less than 10 cents on the dollar.

5. The total amount in each pari-mutuel pool shall be posted at all times.

6. Minimum payouts shall be posted at all times.

7. The tax rate will be the same as for simulcasting.

8. Except as provided in Ark Code Ann. Section 23-110-405(b)(3)(B), no purse and construction fund amounts will be withheld from the wager or included as part of the takeout. Except as provided in Ark Code Ann. Section 23-110-405(b)(3)(B), no portion of the takeout shall be allocated to the purse and construction fund.

9. Oaklawn will receive this simulcast product on replayed races from RaceTech, LLC pursuant to agreements with the racetracks where the races were actually run, and shall relay the product to Southland (and ultimately later on to other racetracks). Southland (and eventually other racetracks) will wager through Oaklawn's pools.

10. Reports and tax payments to the State will be made on a monthly basis.

PURSE, CONSTRUCTION AND PATRONAGE AND TOURISM PROMOTION FUND

2500.

(a) Pursuant to Ark. Code Ann. Section 23-110-407(a)(3)(A), the franchise holder shall retain four and one-half percent (4.5%) of all moneys wagered on races where the wagerer is required to select one (1) horse, and three and one-half percent (3.5%) of all moneys wagered on races where the wagerer is required to select more than one (1) horse (i.e., the "Purse, Construction, and Patronage and Tourism Promotion Moneys"), which retained amounts shall be reflected in a separate account on the franchise holder's books designated "Purse, Construction and Patronage and Tourism Promotion Fund." In addition, the franchise holder shall retain and deposit into the Purse, Construction and Patronage and Tourism Promotion Fund account the amount required to be withheld by the franchise holder for such purposes pursuant to Ark. Code Ann. Section 23-110-405(b)(3)(B), and such amounts shall likewise be considered Purse, Construction, and Patronage and Tourism Promotion Moneys.

(b) The Purse, Construction and Patronage and Tourism Promotion Fund account shall be established and maintained in federally insured financial institutions selected by the franchise holder. Such financial institutions shall be located in the State of Arkansas, provided, however, if the franchise holder obtains a loan for construction purposes from a financial institution located outside the State of Arkansas, the Purse, Construction and Patronage and Tourism Promotion Fund account may be maintained there as long as the loan is outstanding. All such funds shall be maintained in interest bearing accounts whenever reasonably possible. Purse, Construction, and Patronage and Tourism Promotion Moneys shall be used only for purses and construction, for debt service on money borrowed by the franchise holder for construction, or for promotions to encourage patronage and tourism consistent with the provisions of Ark. Code Ann. Section 23-110-407(a)(3).

(c) (A) "Construction", as used in this Rule, shall include all items and expenditures incurred in keeping the overall racing facility in the best possible condition for the patrons, horsemen, and franchise holder, including, without limitation, land acquisition (provided that the franchise holder submits plans for utilizing the acquired land for an approved purpose within five (5) years of the acquisition), new construction with related equipment, and reconstruction, renovation, reconditioning, and repairing of facilities with related equipment.

(B) "Construction", as used in this Rule, shall not include ordinary or routine maintenance of the overall racing facility and shall not include the construction or improvement of areas of the racing facility not generally accessible by, or used for the benefit of, either the horsemen or patrons, or both.

(C) "Construction", as used in this Rule, shall not apply to office furniture, office telephones, or other office equipment primarily devoted to the use of the franchise holder and providing little or no benefit to either horsemen or patrons, or both.

(d) The franchise holder may be reimbursed only for construction and patronage and tourism promotion expenditures from the Purse, Construction, and Patronage and Tourism Promotion Fund after submitting a claim that itemizes each expenditure listing the

specific expenditure and the payee of the expenditure and stating in specific terms with respect to construction expenditures how the expenditure jointly benefits the patrons, horsemen, and franchise holder.

(e) The Racing Commission shall have jurisdiction and shall seek the assistance of the Department of Finance and Administration ("DF&A") to check and verify compliance by the franchise holder with the provisions of this Rule. The Racing Commission shall make periodic determinations as to compliance under this Rule and under such other rules and regulations as the Racing Commission shall adopt.

(f) The franchise holder must deliver to the Racing Commission any documents reasonably requested by the Racing Commission, and the franchise holder must deliver to DF&A any documents reasonably requested by DF&A, to check and verify compliance with this Rule, within thirty (30) days of receiving a written request for the documents. If the Racing Commission does not receive the documents requested by the Racing Commission within the time period provided, or if the DFA does not receive the documents requested by DF&A within the time period provided (and the Director of the DF&A so notifies the Racing Commission), no reimbursement shall be approved from the Purse, Construction, and Patronage and Tourism Promotion Fund until the documents are delivered.

(g) The franchise holder may seek prior approval from the Racing Commission for expenditures. The application for the approval must contain the information required by subsection (d) of this Rule; provided if the exact amount of the expenditure is not then known, the franchise holder shall use its best estimate, and if the precise contractor or payee is not then known, the franchise holder may provide that information prior to final approval of the expenditure by the Racing Commission as set forth below. The initial approval will be subject to a final approval by the Racing Commission that the expenditures were made for the approved purposes in compliance with the requirements of this Rule and Ark. Code Ann. Section 23-110-407(a)(3), and the Racing Commission shall seek assistance from the DF&A to verify that the expenditures were made for the approved purposes.

(h) The franchise holder shall be solely responsible for planning and accomplishing all construction and for accountings. Before undertaking any construction project estimated to cost $100,000 or more, the franchise holder shall give the Committee (identified in subsection (l) of this Rule) at least fifteen (15) days notice of the proposed project and its estimated cost, which notice shall contain sufficient information to adequately apprise the Committee of what is being undertaken. If the Committee wants a meeting concerning the proposed project, it shall advise the franchise holder within the fifteen (15) days. If there is no request for a meeting, the franchise holder may proceed with the project. If there is a request for a meeting, the franchise holder may proceed after the meeting in accordance with the action taken by the Committee at the meeting. If the estimated cost of a Project is less than $100,000, the franchise holder may proceed immediately but must notify the Committee within sixty (60) days of the project and its estimated cost, which notice shall contain sufficient information to

adequately apprise the Committee of what is being undertaken. If the Committee wants a meeting concerning the proposed project it shall advise the franchise holder within fifteen (15) days after receipt of the notice. If there is no request for a meeting, the franchise holder may proceed with the project. If there is a request for a meeting, the franchise holder may proceed after the meeting in accordance with the action taken by the Committee at the meeting.

(i) On or before June 1 of each year the franchise holder shall file a report with the Committee reflecting the purpose and amounts of expenditures for construction and promotions to encourage patronage and tourism during the preceding calendar year. The report shall be accompanied by a statement from the franchise holder's independent certified public accounting firm that the report accurately reflects the purposes and amounts of construction and patronage and tourism promotion expenditures. Also, on or before June 1 of each year the franchise holder shall file a report with the Committee specifying the amount of Purse, Construction and Patronage and Tourism Promotion Moneys used for purses during the preceding calendar year.

(j) The Purse, Construction and Patronage and Tourism Promotion Moneys shall not be subject to the provisions of any contract or agreement between the franchise holder and the organization representing horsemen, to the end that any contractual obligations for the use of moneys for purses shall not apply to any expenditures for construction or patronage and tourism promotion out of the Purse, Construction and Patronage and Tourism Promotion Moneys, and any expenditures for purses out of the Purse, Construction and Patronage and Tourism Promotion Moneys shall be in addition to contractual purse obligations affecting moneys other than the Purse, Construction and Patronage and Tourism Promotion Moneys. The franchise holder shall determine the amount of the Purse, Construction and Patronage and Tourism Promotion Moneys to be used for the authorized purposes, except that at least one-half (1/2) of the Purse, Construction and Patronage and Tourism Promotion Moneys must be used for purses.

(k) No expenditures shall be made from the Purse and Construction Fund to any construction company, material supplier or other entity directly involved in an actual construction project in which an officer, director, employee or shareholder of the franchise holder or their spouse, children or grandchildren have any type of significant financial interest, or receive significant financial benefits.

(l) The Racing Commission shall appoint a three member committee, to include the Director of the Department of Finance & Administration (the "Committee"), to examine and to approve or disapprove, in whole or in part, the reports filed by the franchise holder pursuant to subsection (i) of this Rule. Committee action shall be reported to the full Racing Commission for approval or ratification, but the franchise holder may proceed on the basis of any Committee action until it shall be notified that the full Commission has taken contrary action. The Committee may, in its discretion, have an auditor from the Department of Finance & Administration conduct an audit of all deposits and expenditures of Purse,

Construction and patronage and Tourism Promotion Fund Moneys for any calendar year. In that event, the franchise holder shall make available to the auditor all records necessary for the audit.

(m) As authorized by Ark. Code Ann. Section 23-110-407(a)(3), accountings for expenditures for construction and patronage and tourism promotion out of the Purse, Construction and Patronage and Tourism Promotion Fund Moneys may utilize a multi-year approach based on a multi-year program being undertaken by the franchise holder so that accountability for expenditures may be based on expenditures made during the entire multi-year period out of the Purse, Construction and Patronage and Tourism Promotion Fund Moneys derived during the multi-year period; provided that the multi-year period shall not exceed five (5) years, unless the Racing Commission makes a specific determination that a longer period is necessary to finance long-term construction projects for the joint benefit of patrons, horsemen, and the franchise holder. Authorized expenditures will be determined on the basis of what is expended during the entire multi-year period and not on what is expended in any one year. For example, if in the first year less is expended for construction than the eligible amount, the unused amount will carry over to the next year and if in that year more is expended than the eligible amount plus any carry over, the excess shall be carried forward to the next year and credited against the franchise holder's expenditures, and so forth.

(n) If money is borrowed for an authorized purpose, then Purse, Construction and Patronage and Tourism Promotion Fund Moneys may be used for debt service (principal, interest and service charges) on the loan. The franchise holder shall account to the Racing Commission for expenditures from the proceeds of any loan, to insure that eligible items are involved.

(o) If the amount of approved expenditures exceeds the balance of the Purse, Construction, and Patronage and Tourism Promotion Fund, the excess amount will remain payable to the franchise holder out of the Purse, Construction, and Patronage and Tourism Promotion Fund; provided that, with respect to expenditures incurred by the franchise holder on or after January 1, 2001, interest shall not accrue on the deficit balance, unless the interest is payable to an unrelated third-party lender with respect to indebtedness directly incurred to finance construction expenditures as contemplated by this Rule and Ark. Code Ann. Section 23-110-407(a)(3).

(p) If the franchise holder makes any expenditure of Purse, Construction and Patronage and Tourism Promotion Moneys in good faith and it is subsequently determined that all or part of that expenditure does not qualify as an authorized purpose under this Rule or Ark. Code Ann. Section 23-110-407(a)(3), the franchise holder may use the unqualified amount for another purpose that is qualified. If, after the franchise holder is afforded that opportunity, there is a final determination that there remains at the end of the applicable multi-year period an amount that represents an unauthorized expenditure, that amount shall be paid to the Racing Commission for the use and benefit of the State of Arkansas.

Instant Racing Purse & Awards

2700. The franchise holder shall pay to the Racing Commission for deposit in the Arkansas Racing Commission Purse and Awards Fund, to be used for purse supplements, breeders' awards, owners' awards, and stallion awards as provided in Ark. Code Ann. Section 23-110-409, an amount equal to one percent (1%) of the "net takeout" from pari-mutuel wagering at the franchise holder's racetrack facility under the Electronic 1-2-3 (thoroughbred) Rules. For this purpose, "net takeout" shall be the amount of the applicable takeout from the wagering pool remaining after payments for system and race rights usage fees.

APPENDIX

UNIFORM CLASSIFICATION GUIDELINES FOR FOREIGN SUBSTANCES AND RECOMMENDED PENALTIES AND MODEL RULE

Rule 1217	**Drugs, Uniform Classifications & Guidelines**
Rule 1217(A)	**Anabolic Steroids**
Rule 1217(B)	**Out of Competition Testing for Blood and/or Gene Doping Agents**
Rule 1217(C)	**Prohibited Practices - Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy**

Rule 1217(A)

MODEL RULE LANGUAGE.

A. Anabolic Steroids

(1) The use of one of four approved anabolic steroids shall be permitted under the following conditions:

(a) Not to exceed the following permitted urine or plasma threshold concentrations:

- (i) Stanozolol (Winstrol) – 1 ng/ml in urine or 100 pg/ml in plasma
- (ii) Boldenone (In male horses other than geldings; including free boldenone and boldenone liberated from its conjugates)—15 ng/ml in urine or 25 pg/ml in plasma
- (iii) Nandrolone – 1 ng/ml in urine or 190 pg/ml in plasma
- (iv) Testosterone
  1. in geldings—20 ng/ml in urine or 25 pg/ml in plasma
  2. in fillies and mares—55 ng/ml urine or 25 pg/ml in plasma.

(b) Any other anabolic steroids are prohibited to be administered.

(c) The presence of any one or more of the four approved anabolic steroids above the approved thresholds is not permitted.

(d) Post-race urine samples must have sex of the horse identified.

(e) Any horse to which an anabolic steroid has been administered in order to assist in the recovery from an illness or injury may be placed on the veterinarian's list in order to monitor the concentration of the drug in urine. Once the concentration is below the designated threshold the horse is eligible to be removed from the list.

Rule 1217(B)

MODEL RULE LANGUAGE.

Out of Competition Testing for Blood and/or Gene Doping Agents

(1) Any horse on the grounds at a racetrack or training center under the jurisdiction of the commission; or under the care or control of trainer or owner licensed by the commission is subject to testing for blood and/or gene doping agents without advance notice. This rule does not apply to therapeutic medications approved by the FDA for use in the horse.

(2) Horses to be tested may be selected at random, with probable cause, or as determined by the commission;

(3) The Commission Veterinarian, or any licensed veterinarian or licensed veterinary technician authorized by the commission, may at any time, take a urine, blood or hair sample from a horse for this purpose.

(4) Prohibited substances, practices and procedures are defined as:
- (a) Blood doping agents including, but not limited to Erythropoietin (EPO), Darbepoetin, Oxyglobin, Hempure, Aransep or any substance that abnormally enhances the oxygenation of body tissues.
- (b) Gene doping agents or the non-therapeutic use of genes, genetic elements, and/or cells that have the capacity to enhance athletic performance or produce analgesia.

(5) Cooperation with the Commission Veterinarian, or any licensed veterinarian or licensed veterinary technician authorized by the commission, includes:
- (a) Assisting in the immediate location and identification of the horse selected for out of competition testing;
- (b) Providing a stall or safe location to collect the samples;
- (c) Assisting the veterinarian in properly procuring the samples;
- (d) Split samples will be collected as per PMRMR-025-023-C.

(6) Out of competition samples will be sent to the official laboratory of the commission, or other laboratory as designated by the commission with reports made in accordance with the provisions of these medication rules and the penalty provisions thereof.

Rule 1217(C)

MODEL RULE LANGUAGE

C. Prohibited Practices - Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy

The use of Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy shall not be permitted unless the following conditions are met:

(a) Any treated horse shall not be permitted to race for a minimum of 10 days following treatment;

(b) The use of Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy machines shall be limited to veterinarians licensed to practice by the Commission;

(c) Any Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy machines on the association grounds must be registered with and approved by the Commission or its designee before use;

(d) All Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy treatments must be reported to the official veterinarian on the prescribed form not later than the time prescribed by the official veterinarian.

Association of Racing Commissioners International, Inc.
Drug Testing Standards and Practices Program
Model Rules Guidelines

Uniform Classification Guidelines for Foreign Substances and Recommended Penalties and Model Rule

Revised August 2008

Association of Racing Commissioners International, Inc.
Uniform Classification Guidelines for Foreign Substances

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Preamble to the Uniform Classification Guidelines of Foreign Substances

The Preamble to the Uniform Classification Guidelines was approved by the RCI Drug Testing and Quality Assurance Program Committee (now the Drug Testing Standards and Practices Program Committee) on August 26, 1991. Minor revisions to the Preamble were made by the Drug Classification subcommittee (now the Veterinary Pharmacologists Subcommittee) on September 3, 1991.

"The Uniform Classification Guidelines printed on the following pages are intended to assist stewards, hearing officers and racing commissioners in evaluating the seriousness of alleged violations of medication and prohibited substance rules in racing jurisdictions. Practicing equine veterinarians, state veterinarians, and equine pharmacologists are available and should be consulted to explain the pharmacological effects of the drugs listed in each class prior to any decisions with respect to penalities to be imposed. The ranking of drugs is based on their pharmacology, their ability to influence the outcome of a race, whether or not they have legitimate therapeutic uses in the racing horse, or other evidence that they may be used improperly. These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses. The facts of each case are always different and there may be mitigating circumstances which should always be considered. These drug classifications will be reviewed frequently and new drugs will be added when appropriate."

Notes Regarding Classification Guidelines

- Where the use of a drug is specifically permitted by a jurisdiction, then the jurisdiction's rule supersedes these penalty guidelines.
- Regulators should be aware that a laboratory report may identify a drug only by the name of its metabolite. The metabolite might not be listed here, but the parent compound may be.
- These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses.
- The facts of each case are different and there may be mitigating circumstances that should be considered.
- These drug classifications will be reviewed periodically. New drugs will be added or some drugs may be reclassified when appropriate.

Classification Criteria

The RCI Drug Classification Scheme is based on 1) pharmacology, 2) drug use patterns, and 3) the appropriateness of a drug for use in the racing horse. Categorization is decided using the following general guidelines:

- ***Pharmacology***. Drugs that are known to be potent stimulants or depressants are placed in higher classes, while those that have (or would be expected to have) little effect on the outcome of a race are placed in lower classes.

- ***Drug Use Patterns***. Some consideration is given to placement of drugs based on practical experience with their use and the nature of positive tests. For example, procaine positives have in the past been associated primarily with the administration of procaine penicillin, and this has been taken into consideration in the placement of procaine into Class 3 instead of Class 2 with other injectable local anesthetics.

- ***Appropriateness of Drug Use***. Drugs that clearly are intended for use in equine therapeutics are placed in lower classes. Drugs that clearly are not intended for use in the horse are placed in higher classes, particularly if they might affect the outcome of a race. Drugs that are recognized as legitimately useful in equine therapeutics but could affect the outcome of a race are placed in the middle or higher classes.

The list includes most drugs that have been reported as detected by racing authority laboratories in the United States, Canada, the United Kingdom and other Association of Official Racing Chemists (AORC) laboratories, but does not include those which would seem to have no effect on the performance of the horse or drug detectability. For example, it does not include antibiotics, sulfonamides, vitamins, anthelmintics, or pangamic acid, all of which have been reported.

The list contains many drugs that have never been reported as detected. Usually, these are representatives of chemical classes that have the potential for producing an effect, and in many cases, for which at least one drug in that chemical class has been reported.

Most drugs have numerous effects, and each was judged on an individual basis. There are instances where there is a rather fine distinction between drugs in one category and those in the next. This is a reflection of a nearly continuous spectrum of effects from the most innocuous drug on the list to the drug that is the most offensive.

Classification Definitions

- **Class 1**: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Though not used as therapeutic agents, all DEA Schedule 1 agents are included in Class 1 because they are potent stimulant or depressant substances with psychotropic and often habituative actions.

- **Class 2**: Drugs that have a high potential to affect performance, but less of a potential than drugs in Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse. Drugs in this class include: psychotropic drugs, certain nervous system and cardiovascular system stimulants, depressants, and neuromuscular blocking agents. Injectable local anesthetics are included in this class because of their high potential for abuse as nerve blocking agents.

- **Class 3**: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2. Drugs in this class include bronchodilators, anabolic steroids and other drugs with primary effects on the autonomic nervous system, procaine, antihistamines with sedative properties and the high-ceiling diuretics.

- **Class 4**: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3. Drugs in this class includes less potent diuretics; corticosteroids; antihistamines and skeletal muscle relaxants without prominent central nervous system (CNS) effects; expectorants and mucolytics; hemostatics; cardiac glycosides and anti-arrhythmics; topical anesthetics; antidiarrheals and mild analgesics. This class also includes the non-steroidal anti-inflammatory drugs (NSAIDs), at concentrations greater than established limits.

- **Class 5**: This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as dimethylsulfoxide (DMSO) and other medications as determined by the regulatory bodies. Included specifically are agents that have very localized actions only, such as anti-ulcer drugs, and certain anti-allergic drugs. The anticoagulant drugs are also included.

- **Prohibited Practices:**

A) The possession and/or use of a drug, substance or medication, specified below, on the premises of a facility under the jurisdiction of the regulatory body for which a recognized analytical method has not been developed to detect and confirm the administration of such substance; or the use of which may endanger the health and welfare of the horse or endanger the safety of the rider or driver; or the use of which may adversely affect the integrity of racing:

1) Erythropoietin
2) Darbepoietin
3) Oxyglobin
4) Hemopure

B) The possession and/or use of a drug, substance, or medication on the premises of a facility under the jurisdiction of the regulatory body that has not been approved by the United States Food and Drug Administration (FDA) for use in the United States.

C) The practice, administration, or application of a treatment, procedure, therapy or method identified below, which is performed on the premises of a facility under jurisdiction of a regulatory body and which may endanger the health and welfare of the horse or endanger the safety of the rider or driver, or the use of which may adversely affect the integrity of racing:

Drug Classification Scheme

- **Class 1**: Opiates, opium derivatives, synthetic opioids, psychoactive drugs, amphetamines, and all DEA Schedule I substances (see http://www.usdoj.gov/dea/pubs/scheduling.html), and many DEA Schedule II drugs. Also found in this class are drugs that are potent stimulants of the CNS. Drugs in this class have no generally accepted medical use in the racing horse and their pharmacologic potential for altering the performance of a racing horse is very high.

- **Class 2**: Drugs placed in this category have a high potential for affecting the outcome of a race. Most are not generally accepted as therapeutic agents in the racing horse. Many are products intended to alter consciousness or the psychic state of humans, and have no approved or indicated use in the horse. Some, such as injectable local anesthetics, have legitimate use in equine medicine, but should not be found in a racing horse. The following groups of drugs are placed in this class:

  A. Opiate partial agonists, or agonist-antagonists.
  B. Non-opiate psychotropic drugs. These drugs may have stimulant, depressant, analgesic or neuroleptic effects.
  C. Miscellaneous drugs, which might have a stimulant effect on the CNS.
  D. Drugs with prominent CNS depressant action.
  E. Anti-depressant and antipsychotic drugs, with or without prominent CNS stimulatory or depressant effects.
  F. Muscle blocking drugs - those that have a direct neuromuscular blocking action.
  G. Local anesthetics that have a reasonable potential for use as nerve-blocking agents (except procaine).
  H. Snake venoms and other biologic substances that may be used as nerve-blocking agents.

- **Class 3**: Drugs placed in this class may or may not have an accepted therapeutic use in the horse. Many are drugs that affect the cardiovascular, pulmonary and autonomic nervous systems. They all have the potential of affecting the performance of a racing horse. The following groups of drugs are placed in this class:

  A. Drugs affecting the autonomic nervous system that do not have prominent CNS effects, but which do have prominent cardiovascular or respiratory system effects. Bronchodilators are included in this class.
  B. A local anesthetic that has nerve-blocking potential but also has a high potential for producing urine residue levels from a method of use not related to the anesthetic effect of the drug (procaine).
  C. Miscellaneous drugs with mild sedative action, such as the sleep-inducing antihistamines.
  D. Primary vasodilating/hypotensive agents.
  E. Potent diuretics affecting renal function and body fluid composition.
  F. Anabolic and/or androgenic steroids and other drugs.

- **Class 4**: Drugs in this category comprise primarily therapeutic medications routinely used in racehorses. These may influence performance, but generally have a more limited ability to do so. Groups of drugs assigned to this category include the following:

  A. Non-opiate drugs that have a mild central antipyretic effect.
  B. Drugs affecting the autonomic nervous system that do not have prominent CNS, cardiovascular, or respiratory effects:
     1. Drugs used solely as topical vasoconstrictors or decongestants.
     2. Drugs used as gastrointestinal antispasmodics.
     3. Drugs used to void the urinary bladder.
     4. Drugs with a major effect on CNS vasculature or smooth muscle of visceral organs.
  C. Antihistamines that do not have a significant CNS depressant effect. This does not include the H2 blocking agents, which are in Class 5.
  D. Mineralocorticoid drugs.
  E. Skeletal muscle relaxants.
  F. Anti-inflammatory drugs. These drugs may reduce pain as a consequence of their anti-inflammatory action.
     1. Non-steroidal anti-inflammatory drugs (NSAIDs). (Aspirin-like drugs).
     2. Corticosteroids (glucocorticoids).
     3. Miscellaneous anti-inflammatory agents.
  G. Less potent diuretics.
  H. Cardiac glycosides and antiarrhythmic agents.
     1. Cardiac glycosides.
     2. Antiarrhythmic agents (exclusive of lidocaine, bretylium, and propranolol).
     3. Miscellaneous cardiotonic drugs.
  I. Topical Anesthetics - agents not available in injectable formulations.
  J. Antidiarrheal drugs.
  K. Miscellaneous drugs:
     1. Expectorants with little or no other pharmacologic action.
     2. Stomachics.
     3. Mucolytic agents.

- **Class 5**: Drugs in this category are therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents. Included specifically are agents that have very localized actions only, such as anti-ulcer drugs, and certain antiallergic drugs. The anticoagulant drugs are also included.

Alphabetical List

Drug	Trade Name(s)	Class
Acebutolol	Sectral	3
Acecarbromal		2
Acenocoumarol		5
Acepromazine	Atrovet, Notensil, PromAce®	3
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4
Acetanilid		4
Acetazolamide	Diamox, Vetamox	4
Acetophenazine	Tindal	2
Acetophenetidin (Phenacetin)		4
Acetylsalicylic acid (Aspirin)		4
Aclomethasone	Aclovate	4
Adinazolam		2
Adrenochrome monosemicarbazone salicylate		4
Albuterol (Salbutamol)	Proventil, Ventolin	3
Alclofenac		2
Alcuronium	Alloferin	2
Aldosterone	Aldocortin, Electrocortin	4
Alfentanil	Alfenta	1
Almotriptan	Axert	3
Alphaprodine	Nisentil	2
Alpidem	Anaxyl	2
Alprazolam	Xanax	2
Alprenolol		3
Althesin	Saffan	2
Ambenonium	Mytelase, Myeuran	3
Ambroxol	Ambril, etc.	4
Amcinonide	Cyclocort	4
Amiloride	Moduretic; Midamor	4
Aminocaproic acid	Amicar, Caprocid	4
Amiodarone		4
2-Aminoheptane	Tuamine	4
Aminophylline	Aminophyllin, etc.	3
Aminopyrine		4
Amisometradine	Rolictron	4
Amisulpride	Solian	2
Amitraz	Mitaban	3
Amitriptyline	Elavil, Amitril, Endep	2
Amlodipine	Ammivin, Norvasc	4
Amobarbital	Amytal	2
Amoxapine	Asendin	2
Amperozide		2
Amphetamine		1
Amrinone		4
Amyl nitrite		3
Anileridine	Leritine	1
Anilopam	Anisine	2
Anisindione		5
Anisotropine	Valpin	4
Antipyrine		4
Apazone (Azapropazone)	Rheumox	4
Apomorphine		1
Aprindine		4
Aprobarbital	Alurate	2
Arecoline		3
Arformoterol		3
Articaine	Septocaine; Ultracaine, etc.	2
Atenolol	Tenormin	3
Atomoxetine	Strattera	2
Atracurium	Tracrium	2
Atropine		3

Alphabetical List

Drug	Trade Name(s)	Class
Azacylonol	Frenque	2
Azaperone	Stresnil, Suicalm, Fentaz (with Fentanyl)	2
Baclofen	Lioresal	4
Barbital	Veronal	2
Barbiturates		2
Beclomethasone	Propaderm	4
Bemegride	Megimide, Mikedimide	2
Benazeprilat, Benazepril and MC-tab	Lotrel, Lotensin	3
Bendroflumethiazide	Naturetin	4
Benoxaprofen		2
Benoxinate	Dorsacaine	4
Benperidol	Anquil	2
Bentazepam	Tiadipona	2
Benzactizine	Deprol, Bronchodiletten	2
Benzocaine		4
Benzoctamine		2
Benzodiazepines		2
Benzphetamine	Didrex	2
Benzthiazide		4
Benztropine	Cogentin	2
Benzylpiperazine (BZP)		1
Bepridil	Bepadin	4
Betamethasone	Betasone, etc.	4
Betaxolol	Kerlone	3
Bethanechol	Urecholine, Duvoid	4
Bethanidine	Esbatal	3
Biperiden	Akineton	3
Biriperone		2
Bisoprolol	Zebeta, Bisobloc, etc.	3
Bitolterol	Effectin	3

Drug	Trade Name(s)	Class
Bolasterone		3
Boldenone	Equipoise	3
Boldione		3
Bretylium	Bretylol	3
Brimonidine	Alphagan	2
Bromazepam	Lexotan, Lectopam	2
Bromfenac	Duract	3
Bromhexine	Oletor, etc.	4
Bromisovalum	Diffucord, etc.	2
Bromocriptine	Parlodel	2
Bromodiphenhydramine		3
Bromperidol	Bromidol	2
Brompheniramine	Dimetane, Disomer	4
Brotizolam	Brotocol	2
Budesonide	Pulmacort, Rhinocort	4
Bufexamac		3
Bumetanide	Bumex	3
Bupivacaine	Marcaine	2
Buprenorphine	Temgesic	2
Bupropion	Wellbutrin	2
Buspirone	Buspar	2
Butabarbital (Secbutobarbitone)	Butacaps, Butasol, etc.	2
Butacaine	Butyn	4
Butalbital (Talbutal)	Fiorinal	2
Butamben (butyl aminobenzoate)	Butesin	4
Butanilicaine	Hostacain	2
Butaperazine	Repoise	2
Butoctamide	Listomin	2
Butorphanol	Stadol, Torbugesic	3
Butoxycaine	Stadacain	4
N-Butylscopolamine		3

Alphabetical List

Drug	Trade Name(s)	Class
Caffeine		2
Calusterone	Methosorb	3
Camazepam	Paxor	2
Camphor		4
Candesartan	Atcand	3
Captodiame	Covatine	2
Captopril	Capolen	3
Carazolol	Carbacel, Conducton	3
Carbachol	Lentin, Doryl	3
Carbamezapine	Tegretol	3
Carbidopa + levodopa	Sinemet	2
Carbinoxamine	Clistin	3
Carbromol	Mifudorm	2
Carfentanil		1
Carisoprodol	Rela, Soma	2
Carphenazine	Proketazine	2
Carpipramine	Prazinil	2
Carprofen	Rimadyl	4
Carteolol	Cartrol	3
Carticaine (see articaine)	Septocaine; Ultracaine, etc.	2
Carvedilol	Coreg	3
Cathinone (khat, kat, qat, quat, chat, catha, Abyssinian tea, African tea)		1
Celecoxib	Celebrex	3
Cetirizine	Zyrtec	4
Chloral betaine	Beta-Chlor	2
Chloral hydrate	Nactec, Oridrate, etc.	2
Chloraldehyde (chloral)		2
Chloralose (Alpha-Chloralose)		2
Chlordiazepoxide	Librium	2
Chlorhexidol		2
Chlormerodrin	Neohydrin	4
Chlormezanone	Trancopal	2
Chloroform		2
Chlorophenesin	Maolate	4
Chloroprocaine	Nesacaine	2
Chloroquine	Avloclor	4
Chlorothiazide	Diuril	4
Chlorpheniramine	Chlortriemton, etc.	4
Chlorproethazine	Newiplege	2
Chlorpromazine	Thorazine, Largactil	2
Chlorprothixene	Taractan	2
Chlorthalidone	Hydroton	4
Chlorzoxazone	Paraflex	4
Ciclesonide		4
Cilostazol	Pletal	5
Cimeterol		3
Cimetidine	Tagamet	5
Cinchocaine	Nupercaine	4
Citalopram	Celex	2
Clanobutin		4
Clemastine	Tavist	3
Clenbuterol	Ventipulmin	3
Clibucaine	Batrax	4
Clidinium	Quarezan, Clindex, etc.	3
Clobazam	Urbanyl	2
Clobetasol	Temovate	4
Clocapramine		2
Clocortolone	Cloderm	4
Clofenamide		4
Clomethiazole (Chlormethiazole)		2

Alphabetical List

Drug	Trade Name(s)	Class
Clomipramine	Anafranil	2
Clonazepam	Klonopin	2
Clonidine	Catapres	3
Clorazepate	Tranxene	2
Clormecaine	Placacid	4
Clostebol		3
Clothiapine	Entermin	2
Clotiazepam	Trecalmo, Rize	2
Cloxazolam	Enadel, Sepazon, Tolestan	2
Clozapine	Clozaril, Leponex	2
a-Cobratoxin		1
Cocaine		1
Codeine		1
Colchicine		4
Conorphone		2
Corticaine	Ultracain	2
Cortisone	Cortone, etc.	4
Cromolyn	Intel	5
Crotetamide		2
Cyamemazine	Tercian	2
Cyclandelate	Cyclospasmol	3
Cyclizine	Merazine	4
Cyclobarbital	Phanodorm	2
Cyclobenzaprine	Flexeril	4
Cyclomethylcaine	Surfacaine	4
Cyclothiazide	Anhydron, Renazide	4
Cycrimine	Pagitane	3
Cyproheptadine	Periactin	4
Danazol	Danocrine	3
Dantrolene	Dantrium	4
Darbepoetin	Aranesp	2

Drug	Trade Name(s)	Class
Decamethonium	Syncurine	2
Dehydrochloromethyltestsosterone		3
Dembroxol (Dembrexine)	Sputolysin	4
Demoxepam		2
Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4
Dera∆coxib	Deremaxx	3
Desipramine	Norpromine, Pertofrane	2
Desonite	Des Owen	4
Desoximetasone	Topicort	4
Desoxymethyltestosterone		3
Detomidine	Dormosedan	3
Dexamethasone	Azium, etc.	4
Dextromethorphan		4
Dextromoramide	Palfium, Narcolo	1
Dextropropoxyphene	Darvon	3
Dezocine	Dalgan	2
Diamorphine		1
Diazepam	Valium	2
Diazoxide	Proglycem	3
Dibucaine	Nupercainal, Cinchocaine	4
Dichloralphenazone	Febenol, Isocom	2
Dichlorphenamide	Daramide	4
Diclofenac	Voltaren, Voltarol	4
Dicumarol	Dicumarol	5
Diethylpropion	Tepanil, etc.	2
Diethylthiambutene	Themalon	2
Diflorasone	Florone, Maxiflor	4
Diflucortolone	Flu-Cortinest, etc.	4
Diflunisal		3
Digitoxin	Crystodigin	4
Digoxin	Lanoxin	4

Alphabetical List

Drug	Trade Name(s)	Class
Dihydrocodeine	Parcodin	2
Dihydroergotamine		4
Dilorazepam	Briantum	2
Diltiazem	Cardizem	4
Dimefline		3
Dimethisoquin	Quotane	4
Dimethylsulfoxide (DMSO)	Domoso	5
Dimethylsulphone (MSM)		5
Diphenadione		5
Diphenhydramine	Benadryl	3
Diphenoxylate	Difenoxin, Lomotil	4
Diprenorphine	M50/50	2
Dipyridamole	Persantine	3
Dipyrone	Novin, Methampyrone	4
Disopyramide	Norpace	4
Divalproex	Depakote	3
Dixyrazine	Esucos	2
Dobutamine	Dobutrex	3
Dopamine	Intropin	2
Donepezil	Aricept	1
Doxacurium	Nuromax	2
Doxapram	Dopram	2
Doxazosin		3
Doxefazepam	Doxans	2
Doxepin	Adapin, Sinequan	2
Doxylamine	Decapryn	3
Dromostanolone	Drolban	3
Droperidol	Inapsine, Droleptan, Innovar-Vet (with Fentanyl)	2
Duloxetine		2
Dyclonine	Dyclone	4
Dyphylline		3

Drug	Trade Name(s)	Class
Edrophonium	Tensilon	3
Eletripan	Relpax	3
Eltenac		4
Enalapril (metabolite enaloprilat)	Vasotec	3
Enciprazine		2
Endorphins		1
Enkephalins		1
Ephedrine		2
Epibatidine		2
Epinephrine		2
Ergoloid mesylates (dihydroergocornine mesylate, dihydroergocristine mesylate, and dihydroergocryptine mesylate)		2
Ergonovine	Ergotrate	4
Ergotamine	Gynergen, Cafergot, etc.	4
Erthrityl tetranitrate	Cardilate	3
Erythropoietin(EPO)	Epogen, Procrit, etc.	2
Esmolol	Brevibloc	3
Esomeprazole	Nexium	5
Estazolam	Domnamid, Eurodin, Nuctalon	2
Etamiphylline		3
Etanercept	Enbrel	4
Ethacrynic acid	Edecrin	3
Ethamivan		2
Ethanol		2
Ethchlorvynol	Placidyl	2
Ethinamate	Valmid	2
Ethoheptazine	Zactane	4
Ethopropazine	Parsidol	2
Ethosuximide	Zarontin	3

Alphabetical List

Drug	Trade Name(s)	Class
Ethotoin	Peganone	4
Ethoxzolamide	Cardrase, Ethamide	4
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4
Ethylestrenol	Maxibolin, Organon	3
Ethylisobutrazine	Diquel	2
Ethylmorphine	Dionin	1
Ethylnorepinephrine	Bronkephrine	3
Etidocaine	Duranest	2
Etifoxin	Stresam	2
Etizolam	Depas, Pasaden	2
Etodolac	Lodine	3
Etodroxizine	Indunox	2
Etomidate		2
Etorphine HCl	M99	1
Famotidine	Gaster, etc.	5
Felbamate	Felbatol	3
Felodipine	Plendil	4
Fenarbamate	Tymium	2
Fenbufen	Cincopal	3
Fenclozic acid	Myalex	2
Fenfluramine	Pondimin	2
Fenoldopam	Corlopam	3
Fenoprofen	Nalfon	3
Fenoterol	Berotec	3
Fenspiride	Respiride, Respan, etc	3
Fentanyl	Sublimaze	1
Fentiazac		3
Fexofenadine	Allegra	4
Firocoxib		4
Flecainide	Idalon	4
Floctafenine	Idalon, Idarac	4
Fluanisone	Sedalande	2
Flucinolone	Synalar, etc.	4
Fludiazepam	Erispam	2
Fludrocortisone	Alforone, etc.	4
Flufenamic acid		3
Flumethasone	Flucort, etc.	4
Flumethiazide	Ademol	4
Flunarizine	Sibelium	4
Flunisolide	Bronilide, etc.	4
Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2
Flunixin	Banamine	4
Fluocinolone	Synalar	4
Fluocinonide	Licon, Lidex	4
Fluopromazine	Psyquil, Siquil	2
Fluoresone	Caducid	2
Fluorometholone	FML	4
Fluoroprednisolone	Predef-2X	4
Fluoxetine	Prozac	2
Fluoxymesterone	Halotestin	3
Flupenthixol	Depixol, Fluanxol	2
Fluphenazine	Prolixin, Permitil, Anatensol, etc.	2
Flupirtine	Katadolone	3
Fluprednisolone	Alphadrol	4
Flurandrenolide	Cordran	4
Flurazepam	Dalmane	2
Flurbiprofen	Froben	3
Fluspirilene	Imap, Redeptin	2
Fluticasone	Flixonase, Flutide	4
Flutoprazepam	Restas	2
Fluvoxamine	Dumirox, Faverin, etc.	2
Formebolone		3

Alphabetical List

Drug	Trade Name(s)	Class
Formoterol	Altram	3
Fosinopril, metabolite, Fosinoprilat	Monopril	3
Fosphenytoin	Cerebyx	3
Furazabol		3
Furosemide	Lasix	N/A
Gabapentin	Neurontin	4
Galantamine	Reminyl	2
Gallamine	Flaxedil	2
Gepirone		2
Gestrinone		3
Glutethimide	Doriden	2
Glycopyrrolate	Robinul	3
Guaifenesin (glycerol guiacolate)	Gecolate	4
Guanadrel	Hylorel	3
Guanethidine	Ismelin	3
Guanabenz	Wytensin	3
Halazepam	Paxipam	2
Halcinonide	Halog	4
Halobetasol	Ultravate	4
Haloperidol	Haldol	2
Haloxazolam	Somelin	2
Hemoglobin glutamers	Oxyglobin Hemopure	2
Heptaminol	Corofundol	3
Heroin		1
Hexafluorenium	Myalexen	2
Hexobarbital	Evipal	2
Hexocyclium	Tral	4
Hexylcaine	Cyclaine	4
Homatropine	Homapin	3
Homophenazine	Pelvichthol	2
Hydralazine	Apresoline	3
Hydrochlorthiazide	Hydrodiuril	4
Hydrocodone (dihydrocodienone)	Hycodan	1
Hydrocortisone (Cortisol)	Cortef, etc.	4
Hydroflumethiazide	Saluron	4
Hydromorphone	Dilaudid	1
Hydroxyamphetamine	Paradrine	1
4-Hydroxytestosterone		3
Hydroxyzine	Atarax	2
Ibomal	Noctal	2
Ibuprofen	Motrin, Advil, Nurpin, etc.	4
Ibutilide	Corvert	3
Iloprost	Ventavis	3
Imipramine	Imavate, Presamine, Tofranil	2
Indomethacin	Indocin	3
Infliximab	Remicade	4
Ipratropium		3
Irbesarten	Avapro	3
Isapirone		2
Isocarboxazid	Marplan	2
Isoetharine	Bronkosol	3
Isoflupredone	Predef	4
Isomethadone		2
Isometheptene	Octin, Octon	4
Isopropamide	Darbid	4
Isoproterenol	Isoprel	2
Isosorbide dinitrate	Isordil	3
Isoxicam	Maxicam	2
Isoxsuprine	Vasodilan	4
Isradipine	DynaCirc	4
Kebuzone		3
Ketamine	Ketalar, Ketaset, Vetalar	2

Alphabetical List

Drug	Trade Name(s)	Class
Ketazolam	Anxon, Laftram, Solatran, Loftran	2
Ketoprofen	Orudis	4
Ketorolac	Toradol	3
Labetalol	Normodyne	3
Lamotrigine	Lamictal	3
Lansoprazole		5
Lenperone	Elanone-V	2
Letosteine	Viscotiol, Visiotal	4
Levamisole		2
Levobunolol	Betagan	3
Levomethorphan		2
Levorphanol	Levo-Dremoran	1
Lidocaine	Xylocaine	2
Lisinopril	Prinivil, Zestril	3
Lithium	Lithizine, Duralith, etc.	2
Lobeline		2
Lofentanil		1
Loflazepate, Ethyl	Victan	2
Loperamide	Imodium	2
Loprazolam	Dormonort, Havlane	2
Loratidine	Claritin	4
Lorazepam	Ativan	2
Lormetazepam	Noctamid	2
Losartan	Hyzaar	3
Loxapine	Laxitane	2
Mabuterol		3
Maprotiline	Ludiomil	2
Mazindol	Sanorex	1
Mebutamate	Axiten, Dormate, Capla	2
Mecamylamine	Inversine	3
Meclizine	Antivert, Bonine	4
Meclofenamic acid	Arquel	4
Meclofenoxate	Lucidiril, etc.	2
Medazepam	Nobrium, etc.	2
Medetomidine	Domitor	3
Medrysone	Medriusar, etc.	4
Mefenamic acid	Ponstel	3
Meloxicam	Mobic	4
Melperone	Eunerpan	2
Memantine	Namenda	2
Meparfynol	Oblivon	2
Mepazine	Pacatal	2
Mepenzolate	Cantil	3
Meperidine	Demerol	1
Mephenesin	Tolserol	4
Mephenoxalone	Control, etc.	2
Mephentermine	Wyamine	1
Mephenytoin	Mesantoin	2
Mephobarbital (Methylphenobarbital)	Mebaral	2
Mepivacaine	Carbocaine	2
Meprobamate	Equanil, Miltown	2
Meralluride	Mercuhydrin	4
Merbaphen	Novasural	4
Mercaptomerin	Thiomerin	4
Mercumalilin	Cumertilin	4
Mersalyl	Salyrgan	4
Mesalamine	Asacol	5
Mesoridazine	Serentil	2
Mestanolone		3
Mesterolone		3
Metaclazepam	Talis	2

Alphabetical List

Drug	Trade Name(s)	Class
Metaproterenol	Alupent, Metaprel	3
Metaraminol	Aramine	1
Metaxalone	Skelaxin	4
Metazocine		2
Metenolone		3
Methachloline		3
Methadone	Dolophine	1
Methamphetamine	Desoxyn	1
Methandienone		3
Methandriol	Probolic	3
Methandrostenolone	Dianabol	3
Methantheline	Banthine	3
Methapyrilene	Histadyl, etc.	4
Methaqualone	Quaalude	1
Metharbital	Gemonil	2
Methasterone		3
Methazolamide	Naptazane	4
Methcathinone		1
Methdilazine	Tacaryl	4
Methixene	Trest	3
Methocarbamol	Robaxin	4
Methohexital	Brevital	2
Methotrexate	Folex, Nexate, etc.	4
Methotrimeprazine	Levoprome, Neurocil, etc.	2
Methoxamine	Vasoxyl	3
Methoxyphenamine	Orthoxide	3
Methscopolamine	Pamine	4
Methsuximide	Celontin	3
Methylatropine		3
Methylchlorthiazide	Enduron	4
Methyldienolone		3

Drug	Trade Name(s)	Class
Methyldopa	Aldomet	3
Methylergonovine	Methergine	4
Methylnortestosterone		3
Methylphenidate	Ritalin	1
Methylprednisolone	Medrol	4
Methyltestosterone	Metandren	3
Methyl-1-testosterone		3
Methyprylon	Noludar	2
Methysergide	Sansert	4
Metiamide		4
Metoclopramide	Reglan	4
Metocurine	Metubine	2
Metolazone		3
Metomidate	Hypnodil	2
Metopon (methyldihydromorphinone)		1
Metoprolol	Lopressor	3
Mexazolam	Melex	2
Mexilitine	Mexilil	4
Mibefradil	Posicor	3
Mibolerone		3
Midazolam	Versed	2
Midodrine	Pro-Amiline	3
Milrinone		4
Minoxidil	Loniten	3
Mirtazepine	Remeron	2
Misoprostel	Cytotec	5
Mivacurium	Mivacron	2
Modafinil	Provigil	2
Moexipril (metabolite, moexiprilat)	Uniretic	3
Molindone	Moban	2
Mometasone	Elocon	4

Alphabetical List

Drug	Trade Name(s)	Class
Montelukast	Singulair	4
Moperone	Luvatren	2
Morphine		1
Mosaprimine		2
Muscarine		3
Nabumetone	Anthraxan, Relafen, Reliflex	3
Nadol	Corgard	3
Naepaine	Amylsine	4
Nalbuphine	Nubain	2
Nalorphine	Nalline, Lethidrone	2
Naloxone	Narcan	3
Naltrexone	Revia	3
Nandrolone	Nandrolin, Laurabolin, Durabolin	3
Naphazoline	Privine	4
Naproxen	Equiproxen, Naprosyn	4
Naratriptan	Amerge	3
Nebivolol		3
Nedocromil	Tilade	5
Nefazodone	Serzone	2
Nefopam		3
Neostigmine	Prostigmine	3
Nicardipine	Cardine	4
Nifedipine	Procardia	4
Niflumic acid	Nifluril	3
Nikethamide	Coramine	1
Nimesulide		3
Nimetazepam	Erimin	2
Nimodipine	Nemotop	4
Nitrazepam	Mogadon	2
Nitroglycerin		3
Nizatidine	Axid	5
19-Norandrostenediol		3
19-Norandrostenedione		3
Norbolethone		3
Norclosterbol		3
Nordiazepam	Calmday, Nordaz, etc.	2
Norepinephrine		2
Norethandrolone		3
Nortestosterone		4
Nortriptyline	Aventyl, Pamelor	2
Nylidrine	Arlidin	3
Olanzepine	Zyprexa	2
Olmesartan	Benicar	3
Olsalazine	Dipentum	4
Omeprazole	Prilosec, Losec	5
Orphenadrine	Norlfex	4
Oxabolone		3
Oxandrolone	Anavar	3
Oxaprozin	Daypro, Deflam	4
Oxazepam	Serax	2
Oxazolam	Serenal	2
Oxcarbazepine	Trileptal	3
Oxilofrine (hydroxyephedrine)		2
Oxprenolol	Trasicor	3
Oxycodone	Percodan	1
Oxymesterone		3
Oxymetazoline	Afrin	4
Oxymetholone	Adroyd, Anadrol	3
Oxymorphone	Numorphan	1
Oxyperitine	Forit, Integrin	2
Oxyphenbutazone	Tandearil	4
Oxyphencyclimine	Daricon	4
Oxyphenonium	Antrenyl	4
Paliperidone		2
Pancuronium	Pavulon	2

Alphabetical List

Drug	Trade Name(s)	Class
Pantoprazole	Protonix	5
Papaverine	Pavagen, etc.	3
Paraldehyde	Paral	2
Paramethadione	Paradione	3
Paramethasone	Haldrone	4
Pargyline	Eutonyl	3
Paroxetine	Paxil, Seroxat	2
Pemoline	Cylert	1
Penbutolol	Levatol	3
Penfluridol	Cyperon	2
Pentaerythritol tetranitrate	Duotrate	3
Pentazocine	Talwin	3
Pentobarbital	Nembutal	2
Pentoxyfylline	Trental, Vazofirin	4
Pentylenetetrazol	Metrazol, Nioric	1
Perazine	Taxilan	2
Perfluorodecolin		2
Perfluorodecahydronophthalene		2
Perfluorooctylbromide		2
Perfluorotripropylamine		2
Perfluorocarbons		2
Periciazine	Alodept, etc.	2
Perindopril	Biprel	3
Perlapine	Hypnodin	2
Perphenazine	Trilafon	2
Phenacemide	Phenurone	4
Phenaglycodol	Acalo, Alcamid, etc.	2
Phenazocine	Narphen	1
Phencyclidine (PCP)	Sernylan	1
Phendimetrazine	Bontril, etc.	1
Phenelzine	Nardelzine, Nardil	2
Phenindione	Hedulin	5
Phenmetrazine	Preludin	1
Phenobarbital	Luminal	2
Phenoxybenzamine	Dibenzyline	3
Phenprocoumon	Liquamar	5
Phensuximide	Milontin	4
Phentermine	Iomamin	2
Phentolamine	Regitine	3
Phenylbutazone	Butazolidin	4
Phenylephrine	Isophrin, Neo-Synephrine	3
Phenylpropanolamine	Propadrine	3
Phenytoin	Dilantin	4
Physostigmine	Eserine	3
Picrotoxin		1
Piminodine	Alvodine, Cimadon	2
Pimozide	Orap	2
Pinazepam	Domar	2
Pindolol	Viskin	3
Pipamperone	Dipiperon	2
Pipecuronium	Arduan	2
Pipequaline		2
Piperacetazine	Psymod, Quide	2
Piperocaine	Metycaine	2
Pipotiazine	Lonseren, Piportil	2
Pipradrol	Dataril, Gerondyl, etc.	2
Piquindone		2
Pirbuterol	Maxair	3
Pirenzapine	Gastrozepin	5
Piretanide	Arelix, Tauliz	3
Piritramide		1
Piroxicam	Feldene	3
Polyethylene glycol		5
Polythiazide	Renese	4
Pramoxine	Tronothaine	4
Prazepam	Verstran, Centrax	2

Alphabetical List

Drug	Trade Name(s)	Class
Prazosin	Minipress	3
Prednisolone	Delta-Cortef, etc.	4
Prednisone	Meticorten, etc.	4
Prilocaine	Citanest	2
Primidone	Mysoline	3
Probenecid		4
Procainamide	Pronestyl	4
Procaine		3
Procaterol	Pro Air	3
Prochlorperazine	Darbazine, Compazine	2
Procyclidine	Kemadrin	3
Promazine	Sparine	3
Promethazine	Phenergan	3
Propafenone	Rythmol	4
Propanidid		2
Propantheline	Pro-Banthine	3
Proparacaine	Ophthaine	4
Propentophylline	Karsivan	3
Propiomazine	Largon	2
Propionylpromazine	Tranvet	2
Propiram		2
Propofol	Diprivan, Disoprivan	2
Propoxycaine	Ravocaine	2
Propranolol	Inderal	3
Propylhexedrine	Benzedrex	4
Prostanazol		3
Prothipendyl	Dominal	2
Protokylol	Ventaire	3
Protriptyline	Concordin, Triptil	2
Proxibarbital	Axeen, Centralgol	2
Pseudoephedrine	Cenafed, Novafed	3
Pyridostigmine	Mestinon, Regonol	3
Pyrilamine	Neoantergan, Equihist	3
Pyrithyldione	Hybersulfan, Sonodor	2
Quazipam	Doral	2
Quetiapine	Seroquel	2
Quinbolone		3
Quinapril, metabolite Quinaprilat	Accupril	3
Quinidine	Quinidex, Quinicardine	4
Rabeprazole	Aciphex	5
Racemethorphan		2
Racemorphan		2
Raclopride		2
Ractopamine	Raylean	2
Ramipril, metabolite Ramiprilat	Altace	3
Ranitidine	Zantac	5
Remifentanil	Ultiva	1
Remoxipride	Roxiam	2
Reserpine	Serpasil	2
Rilmazafone		2
Risperidone		2
Ritanserin		2
Ritodrine	Yutopar	3
Rivastigmine	Exelon	3
Rizatripan	Maxalt	3
Rocuronium	Zemuron	2
Rofecoxib	Vioxx	2
Romifidine	Sedivet	2
Ropivacaine	Naropin	2
Salicylamide		4
Salicylate		4
Salmeterol		3
Scopolamine (Hyoscine)	Triptone	3
Secobarbital (Quinalbarbitone)	Seconal	2
Selegiline	Eldepryl, Jumex, etc.	2
Sertraline	Lustral, Zoloft	2

Alphabetical List

Drug	Trade Name(s)	Class
Sibutramine	Meridia	3
Sildenafil	Viagra	3
Snake Venoms		2
Somatropin	Nutropin	2
Somatrem	Protropin	2
Sotalol	Betapace, Sotacor	3
Spiclomazine		2
Spiperone		2
Spirapril, metabolite Spiraprilat	Renomax	3
Spironalactone	Aldactone	4
Stanozolol	Winstrol-V	3
Stenbolone		3
Strychnine		1
Succinylcholine	Sucostrin, Quelin, etc.	2
Sufentanil	Sufenta	1
Sulfasalazine	Azulfidine, Azaline	4
Sulfondiethylmethane		2
Sulfonmethane		2
Sulforidazine	Inofal	2
Sulindac	Clinoril	3
Sulpiride	Aiglonyl, Sulpitil	2
Sultopride	Barnetil	2
Sumatriptan	Imitrex	3
Tadalasil	Cialis	3
Talbutal	Lotusate	2
Tandospirone		2
Telmisartin	Micardis	3
Temazepam	Restoril	2
Tenoxicam	Alganex, etc.	3
Tepoxalin		3
Terazosin	Hytrin	3
Terbutaline	Brethine, Bricanyl	3
Terfenadine	Seldane, Triludan	4
Testolactone	Teslac	3
Testosterone		3
Tetrabenazine	Nitoman	2
Tetracaine	Pontocaine	2
Tetrahydrogestrinone		3
Tetrahydrozoline	Tyzine	4
Tetrazepam	Musaril, Myolastin	2
Thebaine		2
Theobromine		4
Theophylline	Aqualphyllin, etc.	3
Thialbarbital	Kemithal	2
Thiamylal	Surital	2
Thiethylperazine	Torecan	2
Thiopental	Pentothal	2
Thiopropazate	Dartal	2
Thioproperazine	Majeptil	2
Thioridazine	Mellaril	2
Thiosalicylate		4
Thiothixene	Navane	2
Thiphenamil	Trocinate	4
Tiapride	Italprid, Luxoben, etc.	2
Tiaprofenic acid	Surgam	3
Tiletamine	Component of Telazol	2
Timiperone	Tolopelon	2
Timolol	Blocardrin	3
Tocainide	Tonocard	4
Tofisopam	Grandaxain, Seriel	2
Tolazoline	Priscoline	3
Tolmetin	Tolectin	3
Topirimate	Topamax	2
Torsemide (Torasemide)	Demadex	3
Tramadol	Ultram	2
Trandolapril (and metabolite,	Tarka	3

Alphabetical List

Drug	Trade Name(s)	Class
trandolaprilat)		
Tranexamic acid		4
Tranylcypromine	Parnate	2
Trazodone	Desyrel	2
Trenbolone	Finoplix	3
Tretoquinol	Inolin	2
Triamcinolone	Vetalog, etc.	4
Triamterene	Dyrenium	4
Triazolam	Halcion	2
Tribromethanol		2
Tricaine methanesulfonate	Finquel	2
Trichlormethiazide	Naqua, Naquasone	4
Trichloroethanol		2
Tricholoethylene	Trilene, Trimar	2
Triclofos	Triclos	2
Tridihexethyl	Pathilon	4
Trifluomeprazine	Nortran	2
Trifluoperazine	Stelazine	2
Trifluperidol	Triperidol	2
Triflupromazine	Vetame, Vesprin	2
Trihexylphenidyl	Artane	3
Trimeprazine	Temaril	4
Trimethadione	Tridione	3
Trimethaphan	Arfonad	3
Trimipramine	Surmontil	2
Tripelennamine	PBZ	3
Triprolidine	Actidil	4
Tubocurarine (Curare)	Metubin	2
Tybamate	Benvil, Nospan, etc.	2
Urethane		2
Valdecoxib		2
Valerenic acid		3
Valnoctamide	Nirvanyl	2
Valsartan	Diovan	3
Vardenafil	Levitra	3
Vedaprofen		4
Venlafaxine	Efflexor	2
Veralipride	Accional, Veralipril	2
Verapamil	Calan, Isoptin	4
Vercuronium	Norcuron	2
Viloxazine	Catatrol, Vivalan, etc.	2
Vinbarbital	Delvinol	2
Vinylbital	Optanox, Speda	2
Warfarin	Coumadin, Coufarin	5
Xylazine	Rompun, Bay Va 1470	3
Xylometazoline	Otrivin	4
Yohimbine		2
Zafirlukast	Accolate	4
Zaleplon	Sonata	2
Zeranol	Ralgro	4
Ziconotide		1
Zileuton	Zyflo	4
Ziprasidone	Geoden	2
Zolazepam		2
Zolmitriptan	Zomig	3
Zolpidem	Ambien, Stilnox	2
Zomepirac	Zomax	2
Zonisamide	Zonegran	3
Zopiclone	Imovan	2
Zotepine	Lodopin	2
Zuclopenthixol	Ciatyl, Cesordinol	2
Δ-1-androstene-3, 17-diol		3
Δ-1-androstene-3, 17-dione		3
Δ-1-dihydrotestosterone		3

Listing By Classification

Class 1: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) Schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids, psychoactive drugs, all DEA Schedule 1* substances (see http://www.usdoj.gov/dea/pubs/scheduling.html), amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol.

Drug	Trade Name(s)	Class
Alfentanil	Alfenta	1
Amphetamine		1
Anileridine	Leritine	1
Apomorphine		1
Benzylpiperazine (BZP)		1
Carfentanil		1
Cathinone		1
a-Cobratoxin		1
Cocaine		1
Codeine		1
DEA Schedule 1 (all)*		
Dextromoramide	Palfium, Narcolo	1
Diamorphine		1
Donepezil	Aricept	1
Endorphins		1
Enkephalins		1
Ethylmorphine	Dionin	1
Etorphine HCl	M99	1
Fentanyl	Sublimaze	1
Heroin		1
Hydrocodone (dihydrocodienone)	Hydocane	1
Hydromorphone	Dilaudid	1
Hydroxyamphetamine	Paradrine	1

Drug	Trade Name(s)	Class
Levorphanol	Levo-Dremoran	1
Lofentanil		1
Mazindol	Sanorex	1
Meperidine	Demerol	1
Mephentermine	Wyamine	1
Metaraminol	Aramine	1
Methadone	Dolophine	1
Methamphetamine	Desoxyn	1
Methaqualone	Quaalude	1
Methcathinone		1
Methylphenidate	Ritalin	1
Metopon (methyldihydromorphinone)		1
Morphine		1
Nikethamide	Coramine	1
Oxycodone	Percodan	1
Oxymorphone	Numorphan	1
Pemoline	Cylert	1
Pentylenetetrazol	Metrazol, Nioric	1
Phenazocine	Narphen	1
Phencyclidine (PCP)	Sernylan	1
Phendimetrazine	Bontril, etc.	1
Phenmetrazine	Preludin	1
Picrotoxin		1

Listing By Classification

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Drug	Trade Name(s)	Class	Drug	Trade Name(s)	Class
Piritramide		1			
Remifentanil	Ultiva	1			
Strychnine		1			
Sufentanil	Sufenta	1			
Ziconotide		1			

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Acecarbromal		2
Acetophenazine	Tindal	2
Adinazolam		2
Alclofenac		2
Alcuronium	Alloferin	2
Alphaprodine	Nisentil	2
Alpidem	Anaxyl	2
Alprazolam	Xanax	2
Althesin	Saffan	2
Amisulpride	Solian	2
Amitriptyline	Elavil, Amitril, Endep	2
Amobarbital	Amytal	2
Amoxapine	Asendin	2
Amperozide		2
Anilopam	Anisine	2
Aprobarbital	Alurate	2
Articaine	Septocaine; Ultracaine, etc.	2
Atomoxetine	Strattera	2
Atracurium	Tracrium	2
Azacylonol	Frenque	2
Azaperone	Stresnil, Suicalm, Fentaz	2
Barbital	Veronal	2
Barbiturates		2
Bemegride	Megimide, Mikedimide	2
Benoxaprofen		2
Benperidol	Anquil	2

Drug	Trade Name(s)	Class
Bentazepam	Tiadipona	2
Benzactizine	Deprol, Bronchodiletten	2
Benzoctamine		2
Benzodiazepines		2
Benzphetamine	Didrex	2
Benztropine	Cogentin	2
Biriperone		2
Brimonidine		2
Bromazepam	Lexotan, Lectopam	2
Bromisovalum	Diffucord, etc.	2
Bromocriptine	Parlodel	2
Bromperidol	Bromidol	2
Brotizolam	Brotocol	2
Bupivacaine	Marcaine	2
Buprenorphine	Temgesic	2
Buspirone	Buspar	2
Buspropion	Wellbutrin	2
Butabarbital	Butacaps, Butasol, etc.	2
Butalbital (Talbutal)	Fiorinal	2
Butanilicaine	Hostacain	2
Butaperazine	Repoise	2
Butoctamide	Listomin	2
Caffeine		2
Camazepam	Paxor	2
Captodiame	Covatine	2
Carbidopa + levodopa	Sinemet	2

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Carbromol	Mifudorm	2
Carisoprodol	Soma, Rela	2
Carphenazine	Proketazine	2
Carpipramine	Prazinil	2
Carticaine (see articaine)	Septocaine; Ultracaine, etc.	2
Chloralose (Alpha-Chloralose)		2
Chloral betaine	Beta-Chlor	2
Chloral hydrate	Nactec, Oridrate, etc.	2
Chloraldehyde (chloral)		2
Chlordiazepoxide	Librium	2
Chlormezanone	Trancopal	2
Chloroform		2
Chlorhexidol		2
Chloroprocaine	Nesacaine	2
Chlorproethazine	Newiplege	2
Chlorpromazine	Thorazine, Largactil	2
Chlorprothixene	Taractan	2
Citalopram	Celex	2
Clobazam	Urbanyl	2
Clocapramine		2
Clomethiazole		2
Clomipramine	Anafranil	2
Clonazepam	Klonopin	2
Clorazepate	Tranxene	2
Clothiapine	Entermin	2
Clotiazepam	Trecalmo, Rize	2
Cloxazolam	Enadel, Sepazon, Tolestan	2
Clozapine	Clozaril, Leponex	2
Conorphone		2
Corticaine	Ultracain	2
Crotetamide		2
Cyamemazine	Tercian	2
Cyclobarbital	Phanodorm	2
Darbepoetin	Aranesp	2
Decamethonium	Syncurine	2
Demoxepam		2
Desipramine	Norpromine, Pertofrane	2
Dezocine	Dalgan®	2
Diazepam	Valium	2
Dichloralphenazone	Febenol, Isocom	2
Diethylpropion	Tepanil, etc.	2
Diethylthiambutene	Themalon	2
Dihydrocodeine	Parcodin	2
Dilorazepam	Briantum	2
Diprenorphine	M50/50	2
Dixyrazine	Esucos	2
Dopamine	Intropin	2
Doxacurium	Nuromax	2
Doxapram	Dopram	2
Doxefazepam	Doxans	2
Doxepin	Adapin, Sinequan	2
Droperidol	Inapsine, Droleptan,	2

Listing by Classification

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Drug	Trade Name(s)	Class
Duloxetine		2
Enciprazine		2
Ephedrine		2
Epibatidine		2
Epinephrine		2
Ergoloid Mesylates (dihydroergocornine Mesylate, dihydroergocristine mesylate and dihydroergocryptine mesylate)		2
Erythropoietin (EPO)	Epogen, Procrit, etc.	2
Estazolam	Domnamid, Eurodin,	2
Ethamivan		2
Ethanol		2
Ethchlorvynol	Placidyl	2
Ethinamate	Valmid	2
Ethopropazine	Parsidol	2
Ethylisobutrazine	Diquel	2
Etidocaine	Duranest	2
Etifoxin	Stresam	2
Etizolam	Depas, Pasaden	2
Etodroxizine	Indunox	2
Etomidate		2
Fenarbamate	Tymium	2
Fenclozic Acid	Cincopal	2
Fenfluramine	Pondimin	2
Fluanisone	Sedalande	2

Drug	Trade Name(s)	Class
Fludiazepam	Erispam	2
Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2
Fluopromazine	Psyquil, Siquil	2
Fluoresone	Caducid	2
Fluoxetine	Prozac	2
Flupenthixol	Depixol, Fluanxol	2
Fluphenazine	Prolixin, Permitil, Anatensol,	2
Flurazepam	Dalmane	2
Fluspirilene	Imap, Redeptin	2
Flutoprazepam	Restas	2
Fluvoxamine	Dumirox, Faverin, etc.	2
Galantamine	Reminyl	2
Gallamine	Flaxedil	2
Gepirone		2
Glutethimide	Doriden	2
Halazepam	Paxipam	2
Haloperidol	Haldol	2
Haloxazolam	Somelin	2
Hemoglobin glutamers	Oxyglobin, Hemopure	2
Hexafluorenium	Myalexen	2
Hexobarbital	Evipal	2
Homophenazine	Pelvichthol	2
Hydroxyzine	Atarax	2
Ibomal	Noctal	2
Imipramine	Imavate, Presamine,	2
Isapirone		2

Listing by Classification

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Drug	Trade Name(s)	Class
Isocarboxazid	Marplan	2
Isomethadone		2
Isoproterenol	Isoprel	2
Isoxicam	Maxicam	2
Ketamine	Ketalar, Ketaset, Vetalar	2
Ketazolam	Anxon, Laftram, Solatran, Loftran	2
Lenperone	Elanone-V	2
Levamisole		2
Levomethorphan		2
Lidocaine	Xylocaine	2
Lithium	Lithizine, Duralith, etc.	2
Lobeline		2
Loflazepate, Ethyl	Victan	2
Loperamide	Imodium	2
Loprazolam	Dormonort, Havlane	2
Lorazepam	Ativan	2
Lormetazepam	Noctamid	2
Loxapine	Laxitane	2
Maprotiline	Ludiomil	2
Mebutamate	Axiten, Dormate, Capla	2
Meclofenoxate	Lucidiril, etc.	2
Medazepam	Nobrium, etc.	2
Melperone	Eunerpan	2
Memantine	Namenda	2
Meparfynol	Oblivon	2
Mepazine	Pacatal	2
Mephenoxalone	Control, etc.	2
Mephenytoin	Mesantoin	2
Mephobarbital	Mebaral	2
Mepivacaine	Carbocaine	2
Meprobamate	Equanil, Miltown	2
Mesoridazine	Serentil	2
Metaclazepam	Talis	2
Metazocine		2
Metharbital	Gemonil	2
Methohexital	Brevital	2
Methotrimeprazine	Levoprome, Neurocil, etc.	2
Methyprylon	Noludar	2
Metocurine	Metubine	2
Metomidate	Hypnodil	2
Mexazolam	Melex	2
Midazolam	Versed	2
Mirtazepine	Remeron	2
Mivacurium	Mivacron	2
Modafinil	Provigil	2
Molindone	Moban	2
Moperone	Luvatren	2
Mosaprimine		2
Nalbuphine	Nubain	2
Nalorphine	Nalline, Lethidrone	2
Nefazodone	Serzone	2
Nimetazepam	Erimin	2

Listing by Classification

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Drug	Trade Name(s)	Class
Nitrazepam	Mogadon	2
Nordiazepam	Calmday, Nordaz, etc.	2
Norepinephrine		2
Nortriptyline	Aventyl, Pamelor	2
Olanzepine	Zyprexa	2
Oxazepam	Serax	2
Oxazolam	Serenal	2
Oxilofrine (hydroxyephedrine)		2
Oxyperitine	Forit, Integrin	2
Paliperidone		2
Pancuronium	Pavulon	2
Paraldehyde	Paral	2
Paroxetine	Paxil, Seroxat	2
Penfluridol	Cyperon	2
Pentobarbital	Nembutal	2
Perazine	Taxilan	2
Perfluorodecolin		2
Perfluorodecahydronophthalene		2
Perfluorooctylbromide		2
Perfluorotripropylamine		2
Perfluorocarbons		2
Periciazine	Alodept, etc.	2
Perlapine	Hypnodin	2
Perphenazine	Trilafon	2
Phenaglycodol	Acalo, Alcamid, etc.	2
Phenelzine	Nardelzine, Nardil	2

Drug	Trade Name(s)	Class
Phenobarbital	Luminal	2
Phentermine	Iomamin	2
Piminodine	Alvodine, Cimadon	2
Pimozide	Orap	2
Pinazepam	Domar	2
Pipamperone	Dipiperon	2
Pipecuronium	Arduan	2
Pipequaline		2
Piperacetazine	Psymod, Quide	2
Piperocaine	Metycaine	2
Pipotiazine	Lonseren, Piportil	2
Pipradrol	Dataril, Gerondyl, etc.	2
Piquindone		2
Prazepam	Verstran, Centrax	2
Prilocaine	Citanest	2
Prochlorperazine	Darbazine, Compazine	2
Propanidid		2
Propiomazine	Largon	2
Propionylpromazine	Tranvet	2
Propiram		2
Propofol	Diprivan, Disoprivan	2
Propoxycaine	Ravocaine	2
Prothipendyl	Dominal	2
Protriptyline	Concordin, Triptil	2
Proxibarbital	Axeen, Centralgol	2
Pyrithyldione	Hybersulfan, Sonodor	2

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Quazipam	Doral	2
Quetiapine	Seroquel	2
Racemethorphan		2
Racemorphan		2
Raclopride		2
Ractopamine	Raylean	2
Remoxipride	Roxiam	2
Reserpine	Serpasil	2
Rilmazafone		2
Risperidone		2
Ritanserin		2
Rivastigmine	Exelon	2
Rocuronium	Zemuron	2
Rofecoxib	Vioxx	2
Romifidine	Sedivet	2
Ropivacaine	Naropin	2
Secobarbital	Seconal	2
Selegiline	Eldepryl, Jumex	2
Sertraline	Lustral, Zoloft	2
Snake Venoms		2
Somatrem	Protropin	2
Somatropin	Nutropin	2
Spiclomazine		2
Spiperone		2
Succinylcholine	Sucostrin, Quelin, etc.	2
Sulfondiethylmethane		2

Drug	Trade Name(s)	Class
Sulfonmethane		2
Sulforidazine	Inofal	2
Sulpiride	Aiglonyl, Sulpitil	2
Sultopride	Barnetil	2
Talbutal	Lotusate	2
Tandospirone		2
Temazepam	Restoril	2
Tetrabenazine	Nitoman	2
Tetracaine	Pontocaine	2
Tetrazepam	Musaril, Myolastin	2
Thebaine		2
Thialbarbital	Kemithal	2
Thiamylal	Surital	2
Thiethylperazine	Torecan	2
Thiopental	Pentothal	2
Thiopropazate	Dartal	2
Thioproperazine	Majeptil	2
Thioridazine	Mellaril	2
Thiothixene	Navane	2
Tiapride	Italprid, Luxoben, etc.	2
Tiletamine	Component of Telazol	2
Timiperone	Tolopelon	2
Tofisopam	Grandaxain, Seriel	2
Topirimate	Topamax	2
Tramadol	Ultram	2
Tranylcypromine	Parnate	2

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Trazodone	Desyrel	2
Tretoquinol	Inolin	2
Triazolam	Halcion	2
Tribromethanol		2
Tricaine methanesulfonate	Finquel	2
Trichloroethanol		2
Tricholoethylene	Trilene, Trimar	2
Triclofos	Triclos	2
Trifluomeprazine	Nortran	2
Trifluoperazine	Stelazine	2
Trifluperidol	Triperidol	2
Triflupromazine	Vetame, Vesprin	2
Trimipramine	Surmontil	2
Tubocurarine (Curare)	Metubin	2
Tybamate	Benvil, Nospan, etc.	2
Urethane		2
Valdecoxib		2
Valnoctamide	Nirvanyl	2
Venlafaxine	Efflexor	2
Veralipride	Accional, Veralipril	2
Vercuronium	Norcuron	2
Viloxazine	Catatrol, Vivalan, etc.	2
Vinbarbital	Delvinol	2
Vinylbital	Optanox, Speda	2
Yohimbine		2
Zaleplon	Sonata	2
Ziprasidone	Geodon	2
Zolazepam		2
Zolpidem	Ambien, Stilnox	2
Zomepirac	Zomax	2
Zopiclone	Imovan	2
Zotepine	Lodopin	2
Zuclopenthixol	Ciatyl, Cesordinol	2

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Acebutolol	Sectral	3
Acepromazine	Atrovet, Notensil, PromAce®	3
Albuterol (Salbutamol)	Proventil, Ventolin	3
Almotriptan	Axert	3
Alprenolol		3
Ambenonium	Mytelase, Myeuran	3
Aminophylline	Aminophyllin, etc.	3
Amitraz	Mitaban	3
Amlodipine	Norvasc	3
Amyl nitrite		3
Arecoline		3
Arformoterol		3
Atenolol	Tenormin	3
Atropine		3
Benazeprilat, Benazepril and MC-Tab	Lotensin	3
Betaxolol	Kerlone	3
Bethanidine	Esbatal	3
Biperiden	Akineton	3
Bisoprolol	Zebeta, Bisobloc, etc.	3
Bitolterol	Effectin	3
Bolasterone		3
Boldenone	Equipoise	3
Boldione		3
Bretylium	Bretylol	3

Drug	Trade Name(s)	Class
Brimonidine	Alphagan	3
Bromfenac	Duract	3
Bromodiphenhydramine		3
Bufexamac		3
Bumetanide	Bumex	3
Butorphanol	Stadol, Torbugesic	3
N-Butylscopolamine		3
Calusterone		3
Candesartan	Atacand	3
Captopril	Capolen	3
Carazolol	Carbacel, Conducton	3
Carbachol	Lentin, Doryl	3
Carbamezapine	Tegretol	3
Carbinoxamine	Clistin	3
Carteolol	Cartrol	3
Carvedilol	Coreg	3
Celecoxib	Celebrex	3
Cimeterol		3
Clemastine	Tavist	3
Clenbuterol	Ventipulmin	3
Clidinium	Quarezan, Clindex, etc.	3
Clonidine	Catapres	3
Clostebol		3
Cyclandelate	Cyclospasmol	3
Cycrimine	Pagitane	3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Danazol	Danocrine	3
Dehydrochloromethyltestosterone		3
Deracoxib	Deremaxx	3
Desoxymethyltestosterone		3
Detomidine	Dormosedan	3
Dextropropoxyphene	Darvon	3
Diazoxide	Proglycem	3
Diflunisal		3
Dimefline		3
Diphenhydramine	Benadryl	3
Dipyridamole	Persantine	3
Divalproex	Depakote	3
Dobutamine	Dobutrex	3
Doxazosin		3
Doxylamine	Decapryn	3
Dromostanolone	Drolban	3
Dyphylline		3
Edrophonium	Tensilon	3
Eletripan	Relpax	3
Enalapril (metabolite enaloprilat)	Vasotec	3
Ergoloid mesylates		3
Erthrityl tetranitrate	Cardilate	3
Esmolol	Brevibloc	3
Etamiphylline		3

Drug	Trade Name(s)	Class
Ethacrynic acid	Edecrin	3
Ethosuximide	Zarontin	3
Ethylestrenol	Maxibolin, Organon	3
Ethylnorepinephrine	Bronkephrine	3
Etodolac	Lodine	3
Felbamate	Felbatol	3
Fenbufen	Cincopal	3
Fenoldopam	Corlopam	3
Fenoprofen	Nalfon	3
Fenoterol	Berotec	3
Fenspiride	Respiride, Respan, etc	3
Fentiazac		3
Flurbiprofen	Froben	3
Flufenamic Acid		3
Fluoxymesterone	Halotestin	3
Flupirtine	Katadolone	3
Formebolone		3
Formoterol	Altram	3
Fosinopril, Fosinoprilat	Monopril	3
Fosphenytoin	Cerebyx	3
Furazabol		3
Gabapentin	Neurontin	3
Gestrinone		3
Glycopyrrolate	Robinul	3
Guanadrel	Hylorel	3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Guanethidine	Ismelin	3
Guanabenz	Wytensin	3
Heptaminol	Corofundol	3
Homatropine	Homapin	3
Hydralazine	Apresoline	3
4-Hydroxytestosterone		3
Ibutilide	Corvert	3
Iloprost	Ventavis	3
Indomethacin	Indocin	3
Ipratropium		3
Irbesarten	Avapro	3
Isoetharine	Bronkosol	3
Isosorbide dinitrate	Isordil	3
Kebuzone		3
Ketorolac	Toradol	3
Labetalol	Normodyne	3
Lamotrigine	Lamictal	3
Levobunolol	Betagan	3
Lisinopril	Prinivil, Zestril	3
Losartan	Hyzaar	3
Mabuterol		3
Mecamylamine	Inversine	3
Medetomidine	Domitor	3
Mefenamic Acid	Ponstel	3

Drug	Trade Name(s)	Class
Mepenzolate	Cantil	3
Mestanolone		3
Mesterolone		3
Metaproterenol	Alupent, Metaprel	3
Metenolone		3
Methachloline		3
Methandienone		3
Methandriol	Probolic	3
Methandrostenolone	Dianabol	3
Methantheline	Banthine	3
Methasterone		3
Methixene	Trest	3
Methoxamine	Vasoxyl	3
Methoxyphenamine	Orthoxide	3
Methsuximide	Celontin	3
Methylatropine		3
Methyldienolone		3
Methyldopa	Aldomet	3
Methylnortestosterone		3
Methyltestosterone	Metandren	3
Methyl-1-testosterone		3
Metolazone		3
Metoprolol	Lopressor	3
Mibefradil	Posicor	3
Mibolerone		3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Midodrine	Pro-Amiline	3
Minoxidil	Loniten	3
Moexipril (metabolite moexiprilat)	Uniretic	3
Muscarine		3
Nabumetone	Anthraxan, Relafen, Reliflex	3
Nadol	Corgard	3
Naloxone	Narcan	3
Naltrexone	Revia	3
Nandrolone	Nandrolin, Laurabolin, Durabolin	3
Naratriptan	Amerge	3
Nebivolol		3
Nefopam		3
Neostigmine	Prostigmine	3
Niflumic Acid	Nifluril	3
Nimesulide		3
Nitroglycerin		3
19-Norandrostenediol		3
19-Norandrostenedione		3
Norbolethone		3
Norclostebol		3
Norethandrolone		3
Nylidrine	Arlidin	3
Olmesartan	Benicar	3
Oxabolone		3
Oxandrolone	Anavar	3

Drug	Trade Name(s)	Class
Oxcarbazepine	Trileptal	3
Oxprenolol	Trasicor	3
Oxymesterone		3
Oxymetholone	Adroyd, Anadrol	3
Papaverine	Pavagen, etc.	3
Paramethadione	Paradione	3
Pargyline	Eutonyl	3
Penbutolol	Levatol	3
Pentaerythritol tetranitrate	Duotrate	3
Pentazocine	Talwin	3
Perindopril	Biprel	3
Phenoxybenzamine	Dibenzyline	3
Phentolamine	Regitine	3
Phenylephrine	Isophrin, Neo-Synephrine	3
Phenylpropanolamine	Propadrine	3
Physostigmine	Eserine	3
Pindolol	Viskin	3
Pirbuterol	Maxair	3
Piretanide	Arelix, Tauliz	3
Piroxicam	Feldene	3
Prazosin	Minipress	3
Primidone	Mysoline	3
Procaine		3
Procaterol	Pro Air	3
Procyclidine	Kemadrin	3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Promazine	Sparine	3
Promethazine	Phenergan	3
Propantheline	Pro-Banthine	3
Propentophylline	Karsivan	3
Propranolol	Inderal	3
Prostanazol		3
Protokylol	Ventaire	3
Pseudoephedrine	Cenafed, Novafed	3
Pyridostigmine	Mestinon, Regonol	3
Pyrilamine	Neoantergan, Equihist	3
Quinapril, Quinaprilat	Accupril	3
Quinbolone		3
Ramipril, metabolite Ramiprilat	Altace	3
Ritodrine	Yutopar	3
Rizatriptan	Maxalt	3
Salmeterol		3
Scopolamine (Hyoscine)	Triptone	3
Sibutramine	Meridia	3
Sildenafil	Viagra	3
Sotalol	Betapace, Sotacor	3
Spirapril, metabolite Spiraprilat	Renomax	3
Stanozolol	Winstrol-V	3
Stenbolone		3
Sulindac	Clinoril	3
Sumatriptan	Imitrex	3

Drug	Trade Name(s)	Class
Tadalasil	Cialis	3
Telmisartin	Micardis	3
Tenoxicam	Alganex, etc.	3
Tepoxalin		3
Terazosin	Hytrin	3
Terbutaline	Brethine, Bricanyl	3
Testolactone	Teslac	3
Testosterone		3
Tetrahydrogestrinone		3
Theophylline	Aqualphyllin, etc.	3
Tiaprofenic Acid	Surgam	3
Timolol	Blocardrin	3
Tolazoline	Priscoline	3
Tolmetin	Tolectin	3
Torsemide (Torasemide)	Demadex	3
Trandolapril (and metabolite, Trandolaprilat)	Tarka	3
Trenbolone	Finoplix	3
Trihexylphenidyl	Artane	3
Trimethadione	Tridione	3
Trimethaphan	Arfonad	3
Tripelennamine	PBZ	3
Valerenic acid		3
Valsartan	Diovan	3
Vardenafil	Levitra	3

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Drug	Trade Name(s)	Class
Xylazine	Rompun, Bay Va 1470	3			
Zolmitriptan	Zomig	3			
Zonisamide	Zonegran	3			
Δ-1-androstene-3, 17-diol		3			
Δ-1-androstene-3, 17-dione		3			
Δ-1-dihydrotestosterone		3			

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4
Acetanilid		4
Acetazolamide	Diamox, Vetamox	4
Acetophenetidin (Phenacetin)		4
Acetylsalicylic acid (Aspirin)		4
Aclomethasone	Aclovate	4
Adrenochrome monosemicarbazone salicylate		4
Aldosterone	Aldocortin, Electrocortin	4
Ambroxol	Ambril, etc.	4
Amcinonide	Cyclocort	4
Amiloride	Moduretic; Midamor	4
Aminocaproic acid	Amicar, Caprocid	4
Aminodarone		4
2-Aminoheptaine	Tuamine	4
Aminopyrine		4
Amisometradine	Rolictron	4
Amlopidine	Norvasc, Ammivin	4
Amrinone		4
Anisotropine	Valpin	4
Antipyrine		4
Apazone (Azapropazone)	Rheumox	4
Aprindine		4
Baclofen	Lioresal	4
Beclomethasone	Propaderm	4
Benazepril	Lotrel	4

Drug	Trade Name(s)	Class
Bendroflumethiazide	Naturetin	4
Benoxinate	Dorsacaine	4
Benzocaine		4
Benzthiazide		4
Bepridil	Bepadin	4
Betamethasone	Betasone, etc.	4
Bethanechol	Urecholine, Duvoid	4
Bromhexine	Oletor, etc.	4
Brompheniramine	Dimetane, Disomer	4
Budesonide	Pulmacort, Rhinocort	4
Butacaine	Butyn	4
Butamben (butyl aminobenzoate)	Butesin	4
Butoxycaine	Stadacain	4
Camphor		4
Carprofen	Rimadyl	4
Cetirizine	Zyrtec	4
Chlormerodrin	Neohydrin	4
Chlorophenesin	Maolate	4
Chloroquine	Avloclor	4
Chlorothiazide	Diuril	4
Chlorpheniramine	Chlortriemton, etc.	4
Chlorthalidone	Hydroton	4
Chlorzoxazone	Paraflex	4
Cinchocaine	Nupercaine	4
Clanobutin		4
Clibucaine	Batrax	4

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Clobetasol	Temovate	4
Clocortolone	Cloderm	4
Clofenamide		4
Clormecaine	Placacid	4
Colchicine		4
Cortisone	Cortone, etc.	4
Cyclizine	Merazine	4
Cyclobenzaprine	Flexeril	4
Cyclomethylcaine	Surfacaine	4
Cyclothiazide	Anhydron, Renazide	4
Cyproheptadine	Periactin	4
Dantrolene	Dantrium	4
Dembroxol (Dembrexine)	Sputolysin	4
Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4
Desonite	Des Owen	4
Desoximetasone	Topicort	4
Dexamethasone	Azium, etc.	4
Dextromethorphan		4
Dibucaine	Nupercainal, Cinchocaine	4
Dichlorphenamide	Daramide	4
Diclofenac	Voltaren, Voltarol	4
Diflorasone	Florone, Maxiflor	4
Diflucortolone	Flu-Cortinest, etc.	4
Digitoxin	Crystodigin	4
Digoxin	Lanoxin	4
Dihydroergotamine		4
Diltiazem	Cardizem	4
Dimethisoquin	Quotane	4
Diphenoxylate	Difenoxin, Lomotil	4
Dipyrone	Novin, Methampyrone	4
Disopyramide	Norpace	4
Dyclonine	Dyclone	4
Eltenac		4
Ergonovine	Ergotrate	4
Ergotamine	Gynergen, Cafergot, etc.	4
Etanercept	Enbrel	4
Ethoheptazine	Zactane	4
Ethotoin	Peganone	4
Ethoxzolamide	Cardrase, Ethamide	4
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4
Felodipine	Plendil	4
Fexofenadine	Allegra	4
Firocoxib		4
Flecainide	Idalon	4
Floctafenine	Idalon, Idarac	4
Flucinolone	Synalar, etc.	4
Fludrocortisone	Alforone, etc.	4
Flumethasone	Flucort, etc.	4
Flumethiazide	Ademol	4
Flunarizine	Sibelium	4
Flunisolide	Bronilide, etc.	4
Flunixin	Banamine	4

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Fluocinolone	Synalar	4
Fluocinonide	Licon, Lidex	4
Fluorometholone	FML	4
Fluoroprednisolone	Predef-2X	4
Fluprednisolone	Alphadrol	4
Flurandrenolide	Cordran	4
Fluticasone	Flixonase, Flutide	4
Guaifenesin (glycerol guiacolate)	Gecolate	4
Halcinonide	Halog	4
Halobetasol	Ultravate	4
Hexocyclium	Tral	4
Hexylcaine	Cyclaine	4
Hydrochlorthiazide	Hydrodiuril	4
Hydrocortisone (Cortisol)	Cortef, etc.	4
Hydroflumethiazide	Saluron	4
Ibuprofen	Motrin, Advil, Nurpin, etc.	4
Infliximab	Remicade	4
Isoflupredone	Predef	4
Isometheptene	Octin, Octon	4
Isopropamide	Darbid	4
Isoxsuprine	Vasodilan	4
Isradipine	DynaCirc	4
Ketoprofen	Orudis	4
Letosteine	Viscotiol, Visiotal	4
Loratidine	Claritin	4
Meclizine	Antivert, Bonine	4

Drug	Trade Name(s)	Class
Meclofenamic acid	Arquel	4
Medrysone	Medriusar, etc.	4
Meloxicam	Mobic	4
Mephenesin	Tolserol	4
Meralluride	Mercuhydrin	4
Merbaphen	Novasural	4
Mercaptomerin	Thiomerin	4
Mercumalilin	Cumertilin	4
Mersalyl	Salyrgan	4
Metaxalone	Skelaxin	4
Methapyrilene	Histadyl, etc.	4
Methazolamide	Naptazane	4
Methdilazine	Tacaryl	4
Methocarbamol	Robaxin	4
Methotrexate	Folex, Nexate, etc.	4
Methscopolamine	Pamine	4
Methylchlorthiazide	Enduron	4
Methylergonovine	Methergine	4
Methylprednisolone	Medrol	4
Methysergide	Sansert	4
Metiamide		4
Metoclopramide	Reglan	4
Mexilitine	Mexilil	4
Milrinone		4
Mometasone	Elocon	4
Montelukast	Singulair	4

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Naepaine	Amylsine	4
Naphazoline	Privine	4
Naproxen	Equiproxen, Naprosyn	4
Nicardipine	Cardine	4
Nifedipine	Procardia	4
Nimodipine	Nemotop	4
Nortestosterone		4
Olsalazine	Dipentum	4
Orphenadrine	Norlfex	4
Oxaprozin	Daypro, Deflam	4
Oxymetazoline	Afrin	4
Oxyphenbutazone	Tandearil	4
Oxyphencyclimine	Daricon	4
Oxyphenonium	Antrenyl	4
Paramethasone	Haldrone	4
Pentoxyfylline	Trental, Vazofirin	4
Phenacemide	Phenurone	4
Phensuximide	Milontin	4
Phenylbutazone		4
Phenytoin	Dilantin	4
Polythiazide	Renese	4
Pramoxine	Tronothaine	4
Prednisolone	Delta-Cortef, etc.	4
Prednisone	Meticorten, etc.	4
Probenecid		4
Procainamide	Pronestyl	4

Drug	Trade Name(s)	Class
Propafenone	Rythmol	4
Proparacaine	Ophthaine	4
Propylhexedrine	Benzedrex	4
Quinidine	Quinidex, Quinicardine	4
Salicylamide		4
Salicylate		4
Spironalactone	Aldactone	4
Sulfasalazine	Azulfidine, Azaline	4
Terfenadine	Seldane, Triludan	4
Tetrahydrozoline	Tyzine	4
Theobromine		4
Thiosalicylate		4
Thiphenamil	Trocinate	4
Tocainide	Tonocard	4
Tranexamic acid		4
Triamcinolone	Vetalog, etc.	4
Triamterene	Dyrenium	4
Trichlormethiazide	Naqua, Naquasone	4
Tridihexethyl	Pathilon	4
Trimeprazine	Temaril	4
Triprolidine	Actidil	4
Tuaminoheptane	Tuamine	4
Vedaprofen		4
Verapamil	Calan, Isoptin	4
Xylometazoline	Otrivin	4
Zafirlukast	Accolate	4

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class	Drug	Trade Name(s)	Class
Zeranol	Ralgro	4			
Zileuton	Zyflo	4			

Class 5: This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as DMSO and other medications as determined by the regulatory bodies.

Drug	Trade Name(s)	Class
Anisindione		5
Cilostazol	Pletal	5
Cimetidine	Tagamet	5
Cromolyn	Intel	5
Dicumarol	Dicumarol	5
Dimethylsulfoxide (DMSO)	Domoso	5
Dimethylsulphone (MSM)		5
Diphenadione		5
Esomeprazole	Nexium	5
Famotidine	Gaster, etc.	5
Lansoprazole		5
Mesalamine	Asacol	5
Misoprostel	Cytotec	5
Nedocromil	Tilade	5
Nizatidine	Axid	5
Omeprazole	Prilosec, Losec	5
Pantoprazole	Protonix	5
Phenindione	Hedulin	5
Phenprocoumon	Liquamar	5
Pirenzapine	Gastrozepin	5
Polyethylene glycol		5
Rabeprazole	Aciphex	5
Ranitidine	Zantac	5
Warfarin	Coumadin, Coufarin	5

Non-Classified Substances

Substances that are considered to have no effect on the physiology of a racing animal except to improve nutrition or treat or prevent infections or parasite infestations, are not classified. These Substances normally include antimicrobials, antiparasitic drugs, and nutrients such as vitamins. Examples of such substances include the following:

Sulfonamides and trimethoprim

Antibiotics:	Penicillins Cephalosporins Chloramphenicol Aminoglycosides Tetractckubes Nitrofurans Metronidazole
Anthelmintics:	Avermectins Benzimadaziles Piperazines Pyrantel Tetramisole
Antifungals	
Vitamins:	A, D, E, K, B vitamins Vitamin C

Bufotenine

1. It is not commerically available in any form.
2. It is a metabolite of 3-methyl-N-N dimethyltryptamine, found in reed canary grass (and potentially other food source plants). It may be found in the urine of horses eating this grass (and potentially other plant foods), and has been reported as a positive finding.

Findings of bufotenine in equine urine should not be considered for regulatory action.

Recommended Penalties and Model Rule

1. Penalty Recommendations

(in the absence of mitigating circumstances)

Class 1

1-5 years suspension and $5,000 fine and loss of purse

Class 2

6 months - 1 year suspension and $1,500 - $2,500 fine and loss of purse

Class 3

60 days - 6 months suspension and up to $1,500 fine and loss of purse

Class 4

15-60 days suspension and up to $1,000 fine and loss of purse

Class 5

0-15 days suspension with possible loss of purse and/or fine

2. Model Rule on Drug Classification and Penalties

"Upon a finding of a violation of these medication and prohibited substances rules, the Stewards (Judges) shall consider the classification level of the violation as currently established by the Uniform Classification Guidelines of Foreign Substances as promulgated by the Association of Racing Commissioners International, Inc., and impose penalties and disciplinary measures consistent with the recommendations contained therein. Provided, however, that in the event a majority of the Stewards (Judges) determine that mitigating circumstances require imposition of a lesser penalty they may impose the lesser penalty. In the event a majority of the Stewards (Judges) wish to impose a greater penalty or a penalty in excess of the authority granted them, then, and in such event, they may impose the maximum penalty authorized and refer the matter to the Commission with specific recommendations for further action."

3. Comments

1. The Recommended Penalties are designed to accompany the Drug Classification System.

2. The Model Rule Supports the Recommended Penalties in that it is flexible and allows the decision-makers to consider mitigating circumstances.

3. The Recommended Penalties are guidelines for Racing Commissions and are not meant to remove any of the discretion used by Stewards (Judges) when confronted with mitigating circumstances.
4. These are recommendations. The lower end of the recommendations may be considered for first offenders, and repeated or multiple offenses may promote consideration of the maximum recommendations for each class.

5. The range in the Recommended Penalties recognizes that even within a group, drugs differ, and that there are mitigating circumstances that may lead regulators to recommend lesser penalties.

6. Where the use of a drug is specifically permitted by a jurisdiction's rules, such rules supersede these guidelines.

7. These Uniform Classification Guidelines for Foreign Substances, Recommended Penalties, and Model Rule are designed to be part of a National Medication Policy.

RULES AND REGULATIONS

Governing

GREYHOUND RACING

In

ARKANSAS

2008 Edition

ARKANSAS STATE RACING COMMISSION

FOREWORD

This edition of the Rules and Regulations Governing Greyhound Racing in Arkansas supersedes all previous rules and regulations.

The Rules herein prescribed, and any amendments or additions thereto, apply to all persons, partnerships, Associations, firms or corporations, their officers, directors, stockholders, agents, representatives or employees, who hold, conduct, patronize, aid, assist or participate in any Meeting within the State of Arkansas where racing shall be permitted for any stake, purse or reward. The definitions and interpretations or racing terms herein set forth, as well as the Foreword are to be considered in connection with the Rules and as a part of them.

In reading the Rules, unless the text otherwise requires, it shall be understood, without constant reference thereto they apply only in the State of Arkansas.

Every franchise to hold a Meeting is granted upon the condition that the Franchise Holder shall accept, observe, and enforce said Rules. Furthermore, it shall be the duty of each and every officer, director and every official and employee of said Franchise Holder to observe and enforce the Rules.

Any and all of the Rules may be amended, altered, repealed or supplemented by new and additional Rules.

The Racing Commission may make exceptions to any Rule or Rules in individual instances as in their judgment they may deem proper.

The Racing Commission may rescind or modify any penalty or decision or infraction of the Rules imposed or made by the Racing Officials.

The Racing Commission shall have continuing jurisdiction and control over all penalties and decisions imposed or made by them, or their predecessors, except as otherwise provided by the Law. Furthermore, the Commission shall have the power and authority to review, affirm, modify or rescind any penalty or decision with regard to any infraction of these Rules, which may be imposed or made by the Racing Officials of any Meeting.

The Laws of the State of Arkansas, and the Rules promulgated by the Racing Commission, supersede the conditions of a race, or the regulations of a race Meeting.

NOTE: Numbering of these Rules will not be consecutive since the Commission Rules that apply to other types of racing are omitted.

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DEFINITIONS

1000. The following definitions and interpretations shall apply throughout these Rules unless the text otherwise requires:

1002. ***Added Money*** — The amount exclusive of trophy added into a stakes by the Franchise Holder, or by sponsors, state-bred programs or other funds added to those monies gathered by nomination, entry, sustaining and other fees coming from the greyhound owners or kennel operators.

1003. ***Age*** — The age of a greyhound is reckoned as beginning on the day it is whelped.

1004. ***Appeal*** — A request for the Commission or its designee to investigate, consider, and review any decisions or rulings of Judges.

1005. ***Applicant*** — Shall mean an Arkansas corporation applying to conduct racing subject to these Rules, or any Franchise Holder applying for dates to conduct a racing Meeting.

1006. ***Arrears*** — Includes all monies due for entrance, forfeits, fees, fines, and any default incident to these Rules.

I007. ***Association*** — See Franchise Holder.

1008. ***Bertillion*** — A card which lists identifying features of a greyhound.

1009. ***Blanket*** — The covering on which a greyhound's post position number is displayed.

1010. ***Board of Judges*** — (also Judges), shall include the Presiding Judge, Commission Judge, and the Racing Secretary.

1011. ***Bolt*** — When a greyhound leaves the race course during the running of an official race.

1012. ***Breakage*** — The amount of odd cents remaining in each pari-mutuel pool after re-distributions are made in a sum equal to the next lowest multiple of ten cents (10¢). The minimum payout on all winning wagers shall be equal to the amount wagered plus ten percent (10%); (i.e., the minimum payout on a $1.00 wager shall be $1.10; the minimum payout on a $2.00 wager shall be $2.20, etc.).

1013. ***Breeder*** — The owner of a greyhound's dam at the time of whelping.

1014. ***Breeding Place*** — The location of whelping.

1015. ***Carryover*** — Non-distributed pool monies which are retained and added to a corresponding pool in accordance with pari-mutuel rules.

1016. ***Commission*** — Shall mean the Arkansas State Racing Commission or its duly authorized representative(s), as established by the Laws of the State of Arkansas, or the person or body to whom such authority may be delegated by amendments to the Law from time to time.

1017. ***Complaint*** — A written allegation of a violation of these rules.

1018. ***Day*** — (a) ***Dark Day*** — A day during a live or a simulcast race meeting when no pari-mutuel wagering is conducted.

(b) ***Race Day*** — A day during a race meeting when pari-mutuel wagering is conducted on live racing.

(c) ***Simulcast Race Day*** — A day during a race meeting when pari-mutuel wagering is conducted on simulcast racing.

1019. ***Declaration*** — Shall mean the act of withdrawing an entered greyhound from a race.

1020. ***Disciplinary Action*** — Means revocation of license, suspension, fine or reprimand, or any combination thereof.

1021. ***Draw*** — The process of selecting runners and the process of assigning post positions in a manner to ensure compliance with the conditions of the rules of racing.

1022. ***Drug***— Shall mean:

(a) Articles recognized in the official United States Pharmacopoeia, the official Homeopathic Pharmacopoeia of the United States, or the official National Formulary, or any supplement to any of them; and

(b) Articles intended for use in the diagnosis, cure, mitigation, treatment or prevention of disease in man or other animals; and

(c) Articles (other than foods) intended to affect the structure of any function of the body of man or other animals; and

(d) Articles intended for use as a component of any articles specified in clause a, b, or c; but does not include devices or their components, parts or accessories.

Nothing in the foregoing definition shall be deemed to include water, heat or customary liniments or salves, provided the same be applied externally only.

1023. ***Ejection*** — The removal of a person from the premises under the jurisdiction of the Commission.

1024. ***Entry*** — A greyhound eligible for and entered in a race.

1025. ***Equipment*** — Means muzzles, number blankets, and all other paraphernalia common or otherwise which might be used on or attached to a greyhound while racing.

1026. ***Exclusion*** — The act of preventing a person from entering or remaining on the premises of any Franchise Holder and/or simulcast facility under the jurisdiction of the Arkansas Racing Commission.

1027. ***Expired Ticket*** — An outstanding ticket which was not presented for redemption within the required time period for which it was issued.

1028. ***False Start*** — Any race which fails to start as stipulated by the rules.

1029. ***Finish*** — The order in which the greyhounds complete the race.

(a) Win, to finish first.
(b) Place, to finish second.
(c) Show, to finish third.

1030. ***Foreign Substance*** — Any drug, medication or other substance uncommon to a greyhound's body which can or may affect the greyhound's performance or which does or may affect sampling or testing procedures.

1031. ***Forfeit*** — Money due from a licensee because of error, fault, neglect of duty, breach of contract, or a penalty imposed by the Judges or the Commission.

1032. ***Franchise Holder*** — Shall mean any domestic corporation receiving a license or franchise from the Arkansas Racing Commission to conduct racing. Association is used interchangeably with Franchise Holder throughout this book.

1033. ***Fraudulent Practice*** — Means any attempt to enrich oneself or associates, or gain any advantage through unfair, unlawful or dishonest behavior in connection with the racing of greyhounds.

1034. ***Guest Association*** — An Association which offers licensed pari-mutuel wagering on contests conducted by another Association (the host) in either the same jurisdiction or another jurisdiction.

1035. ***Greyhound*** — A dog that is registered with the National Greyhound Association.

1036. ***Handle*** — The total amount of all pari-mutuel wagering sales excluding refunds and cancellations.

1037. ***Host Association*** — The Association conducting a licensed pari-mutuel meeting from which authorized contests or entire performances are simulcast.

1038. ***ITW or Inter Track Wire*** — The importation of races from Host Associations (sending location) to the Franchise Holder(receiving location), upon which pari-mutuel wagering takes place.

1039. ***Kennel Compound*** — The facilities provided for the housing of racing kennels under the jurisdiction of the Commission.

1040. ***Kennel Helper*** — A person employed by a kennel owner to assist in the conditioning of greyhounds for racing.

1041. ***Kennel Name*** — (Assumed name) — Any name other than the legal name or names of the operator.

1042. ***Kennel Owner*** — The person entered into a contract or agreement with the Franchise Holder to provide greyhounds to race in a meeting conducted by the Franchise Holder.

1043. ***Lead-Out*** — An individual employed and provided by the Franchise Holder to lead greyhounds from the paddock to the starting box.

1044. ***Licensee*** — Any person or entity holding a license from the Commission to engage in racing or a regulated activity.

1045. ***Lock-Out Kennel*** — The secure and restricted facility within the paddock used to temporarily house entered greyhounds prior to their participation in the current performance.

1046. ***Lure*** — A mechanical attraction designed to entice a greyhound around the course.

1047. ***Maiden*** — A greyhound which at the time of starting has never won an official race in any country.

1048. ***Maiden Race*** — A contest restricted to non-winners.

1049. ***Match Race*** — A race between two or more greyhounds under conditions agreed to by their owners, the Commission, and the Franchise Holder.

1050. ***Matinee Performance*** — A schedule of races beginning in the afternoon.

1051. ***Meeting*** — A group of 24-hour days, Sundays excepted, on which racing is conducted for any stakes, purse or reward, at one racetrack for which a license to race has been granted by the Commission. It begins at 10:00 a.m. on the first day and ends at 12:00 midnight of the last day.

1052. ***Minus Pool*** — Occurs when the payout is in excess of net pool.

1053. ***Net Income*** — Means the taxable income reflected on the Franchise Holder's federal income tax return for the immediately preceding year, less federal income tax liability reflected thereon.

1054. ***Net Pool*** — Is the amount of gross ticket sales less refundable wagers and statutory commissions.

1055. ***Night Performance*** — A schedule of races conducted in the evening.

1056. ***Nomination*** — The initial naming of a greyhound for entry in a stakes race.

1057. ***Nominator*** — The person in whose name a greyhound is nominated.

1058. ***No Race*** — A race cancelled for any reason by the Judges.

1059. ***Objection*** — A written complaint made by a kennel owner and/or trainer to the Judges claiming a grievance pertaining to a race.

1060. ***Off Time*** — The moment at which the greyhounds break and start to run.

1061. ***Official Order of Finish*** — The order of finish of the greyhounds in a contest as declared official by the Judges.

1062. ***Official Race*** — A race in the presence of duly appointed Racing Officials for which purse monies are paid and/or pari-mutuel wagering is conducted.

1063. ***Official Schooling Race*** — Trial races supervised by-the Commission which are conducted for qualification purposes, but on which pari-mutuel wagering is prohibited.

1064. ***Official Starter*** — The individual charged with the responsibility of the actual dispatching of the entries in each race.

1065. ***Official Winning Time*** — The period of time in a race beginning when the starting box opens, and ending when the first greyhound crosses the finish line.

1066. ***Outstanding Ticket*** — A winning or refundable pari-mutuel ticket which was not cashed during the performance for which it was issued; also known as *Outs*.

1067. ***Owner*** — The person in whose name the greyhound is registered with the National Greyhound Association. No greyhound shall be permitted to race except in the owner's name.

1068. ***Paddock*** — The area restricted to Commission, Franchise Holder management, and racing department employees. The paddock area includes the lock out kennel, reviewing stand, and offices.

1069. ***Parent*** — Means any person, partnership, Association, firm, or corporation exercising control of an applicant or Franchise Holder or its Board of Directors whether or not the parent owns fifty percent (50%) or more of its stock.

1070. ***Pari-Mutuel System*** — The manual, electro-mechanical, or computerized system and all software (including totalisator, account betting system, and off-site betting equipment) that is used to record bets and transmit wagering data.

1071 .***Pari-Mutuel Wagering*** — A form of wagering on the outcome of an event in which all wagers (bets) are pooled and held by a Franchise Holder for distribution of the total amount, less the deductions authorized by law, to the holders of winning tickets. A person making a wager in a pari-mutuel system is betting against other people making similar wagers.

1072. ***Patron*** — An individual present on Franchise Holder premises during a race meeting to wager and/or observe the racing.

1073. ***Payout*** — The amount of money payable to winning wagers.

1074. ***Performance*** — The schedule of races run consecutively as one program. Any race program that begins as a night performance shall be allowed to be completed as the original program even if it runs into the next day (new twenty-four hour period). Sundays are excluded, except when approved by state law.

1075. ***Person*** — Any individual, partnership, corporation, or other Association or entity.

1076. ***Personal History Resume*** — Shall mean a complete resume of facts bearing on the ability of a person to engage in the business of racing, including date and place of birth, education, business experience, membership in church, clubs and organizations, military and public service, whether appointed or elected, and a detailed description of all arrests and disposition of charges.

1077. ***Place*** — Is to finish second in a race.

1078. ***Post Position*** — Is the position assigned to the greyhound for the start of the race.

1079. ***Post Time*** — The time set for the start of the race.

1080. ***Premises*** — All land and improvements used in the conduct of a race meeting including additional off-site wagering facilities.

1081. ***Principal Stockholder*** — Means each person, firm, or corporation owning of record or beneficially more than ten percent (10%) of any class of capital stock of a corporation.

1082. ***Profit*** — The net pool after deduction of the amount bet on the winners.

1083. ***Program*** — The published listing of all races and entrants for a specific performance.

1084. ***Prohibited Substances*** — Substances of any type that are not approved by the Commission and/or federal and state agencies.

1085. ***Purse*** — The total cash amount for which a race is contested.

1086. ***Race*** — Means a contest for purse, stakes, or entry fees on any course, and in the presence of duly appointed Racing Officials.

1087. ***Racetrack*** — See ***Premises***.

1088. ***Restricted Area*** — An enclosed portion of the Franchise Holder grounds to which access is limited to licensees whose occupation or participation requires access.

1089. ***Result*** — That part of the official order of finish used to determine the pari-mutuel payout of pools for each individual contest.

1090. ***Rule Off*** — Means the act of being barred from the- grounds of a Franchise Holder or denying racing privileges.

1091. ***Rules*** — Means the Rules and Regulations of Greyhound Racing herein prescribed and any amendments or additions thereto.

1092. ***Scratch*** — Means the act of withdrawing an entry on the day of the race.

1093. ***Section*** — Means a section of the Arkansas Statutes Annotated.

1094. ***Show*** — To finish third in a race.

1095. ***Simulcast*** — The live audio and visual transmission of a contest to another location for pari-mutuel wagering purposes.

1096. ***Stakes Race*** — A race specifically designated as an added money race.

1097. ***Starter*** — A greyhound that is in the starting box when the doors of the starting box open in front of it at the time the Official Starter dispatches the greyhounds.

1098. ***Subscription*** — Means the act of nominating or entering a stakes race.

1099. ***Suspension*** — Means that any privileges granted by the officials of a racing meeting or by the Commission to a person licensed by the Commission has been withdrawn.

1100. ***Takeout*** — The total amount of money withheld from each pari-mutuel pool, as authorized by statute or rule.

1101. ***Tote Board*** — Means the totalisator display board where the mutuels are displayed for live, on-track racing.

1102. ***Trainer*** — Means a person employed by a kennel owner to condition greyhounds for racing.

1103. ***Weight*** — As applied to greyhounds shall be as follows:

(a) ***Pre-Post Weight*** shall be known as the weight taken at the designated time before the first race of the day.

(b) ***Established Racing Weight*** shall be known as the racing weight, established by the trainer or kennel representative, as the greyhound's best racing weight.

(c) ***Track Weight*** shall be known as the weight of the greyhound at post time or time of race.

1104. ***Weight Loser*** — A greyhound that consistently loses weight while in the lock-out kennel.

1105. ***Whelp*** — The birth of a greyhound.

1106. ***Win*** — To finish first in a race.

1107. ***Winner*** — The greyhound whose muzzle or nose reaches the finish line first or is placed first through disqualification by the Judges.

FRANCHISES AND LICENSES FOR RACING DATES

1200. Every franchise and every license to hold a meeting is granted upon the condition that the Franchise Holder shall accept, observe and enforce the Rules and Regulations of the Commission, and it shall be the duty of each and every officer, director and employee of said Franchise Holder to observe and enforce the rules.

1201. All applications and notices shall be verified under oath and shall be manually signed in ink.

APPLICATIONS FOR FRANCHISES

1202. Every application to become a Franchise Holder shall contain the following:

I. OWNERSHIP AND MANAGEMENT

A. State the name of the applicant and indicate whether it is an individual, firm, Association, partnership or corporation.

B. State the following information as to the applicant: (If the applicant has a parent corporation, the same information must be submitted both for the parent and applicant corporation.)

1. State the year in which the applicant was organized, its form of organization and the name of the state under the laws of which it was organized. Attach a copy of the Articles and By-laws to the application.
2. State the classes of capital stock authorized, the amount authorized, and the amount outstanding as of the date not less than fifteen (5) days prior to the date of filing of the application. State the amount of dividends paid to stockholders during the five (5) years immediately preceding the application.
3. State the name and address of each person who owns, of record or beneficially, one or more shares of any class of capital stock.

This can be indicated in columnar forms as follows:

a. Name and address
b. Class of stock owned
c. Type of ownership whether of record or beneficial.
d. Amount owned
e. Percent of the class of stock

4. Describe briefly the terms of any voting trust in which any of the capital stock is held and the name, address, class of stock and number of shares of stock for all stock held in said voting trust.

5. Describe briefly the terms of any proxy by which any of the capital stock is held, the holder of the proxy and the name, address, class of stock and number of shares of stock for all stock held by said proxy.

6. State whether 5% or more of the applicant's assets, or 5% or more of any principal stockholders' stock, is encumbered by any long-term debt. Explain fully, by stating names and addresses of parties holding security interests or promissory notes from the applicant and the stockholders, where the stock is pledged as security, and outline the terms of the agreements creating the security interests.

7. Outline briefly the dividend rights, voting rights, liquidation rights, preemptive rights, conversion rights, and redemption provisions. If the rights of holders of such stock may be modified otherwise than by a vote of majority or more of the shares outstanding, voting as a class, so state and explain briefly.

8. If the applicant was organized as a corporation within the past five (5) years, furnish the following information: the names of the promoters; the nature and amount of anything of value received or to be received by each promoter directly or indirectly from the applicant; and the nature and amount of any assets, services or other consideration therefor received by the applicant.

9. List the names of all directors and officers of the applicant and all persons chosen to become directors or officers and attach a personal history resume for each person named. Indicate all positions and offices with the applicant held by each person named, and the principal occupation during the past five years of each person named.

10. List all parents of the applicant showing the basis of control and as to each parent, the percentage of voting securities owned, or other basis of control by any of its parents.

C. 1. Attach to the application, balance sheets and profit and loss statements for each of the three fiscal years immediately preceding the application, or for the period of organization if less than three years. If the applicant has not completed a full fiscal year since its organization or if it acquires or is to acquire the majority of its assets from a predecessor within the current fiscal year, the financial information shall be given for the current fiscal year. Balance sheets, profit and loss statements, and all other financial statements required herein shall be prepared, audited and certified by independent, certified public accountants in accordance with generally accepted accounting procedures and practices applied on a consistent basis. Any report containing exceptions of a material nature, will not be considered to be certified.

2. State all loans by applicant in excess of 1% of net income and describe fully the name of the borrower, amount of the loan, collateral, and terms.

D. Briefly describe any pending legal proceedings to which the applicant or any of its subsidiaries or parent corporation is a party or of which any of their property is the subject. Include the name of the court or agency in which the proceedings are pending, the date instituted, and the principal parties thereto.

E. State if the applicant, or its directors, officers, policy-making manager or principal stockholders have owned an interest in any firm, partnership, Association, or corporation previously licensed by the Arkansas Racing Commission, or are now engaged in the business of racing outside of the State of Arkansas. Explain.

F. Describe briefly and where practical state the approximate amount of any material interest, direct or indirect, of any officer, director or principal stockholder of the applicant, or any associate of any of the foregoing persons in any material transactions during the last three years, or in any material proposed transactions, to which the applicant was, or is to be a party.

G. State generally the principal purposes for which the net income received by the applicant is intended to be used, (whether it be for payment of dividend, retained earnings for enumerated purposes, or other purposes) and show the approximate percentage of the amount intended for each such purpose.

H. State all contracts by the applicant entered into within the year preceding the date of application, and all executory contracts not otherwise described pursuant to these rules in which the consideration exceeds 1% of net income and describe fully, including the names of the parties to the contract, amount of consideration, and terms.

I. List all direct remuneration paid by the applicant and its subsidiaries, if any, during the applicant's last fiscal year to:

1. each director and officer of the applicant whose aggregate direct remuneration exceeded $25,000, naming each such person;
2. all directors of the applicant as a group without naming them;
3. all officers of the applicant as a group without naming them;
4. all other persons whose aggregate remuneration exceeds $25,000, naming each such person. As used in this paragraph, direct remuneration shall include salary, retirement benefits, automobiles furnished, expenses reimbursed, and all other sums paid for the benefit of the officer, director, or other recipient.

J. State whether the applicant, its officers, directors and principal stockholders have complied with and are in compliance with Rule 1210. If not in compliance, explain in full.

II. LOCATION AND PHYSICAL PLANT

A. State county and municipality of track.

B. Give actual legal description of a site, names and addresses of the title holders to the real property and names and addresses of all persons holding mortgages or other security interests in the property.

C. State the number of miles from the nearest population center, and describe briefly the transportation facilities serving that population's center.

D. Indicate the exact dimensions of any track proposed.

E. Describe the grandstand size and type construction. Submit at least one copy of architect's plans or renderings showing detail of any proposed construction.

F. Describe briefly the efforts made to insure the security, safety and comfort of patrons and license holders.

G. State the availability of fire protection and adequacy of law enforcement and police protection.

H. Indicate the parking lot capacity and describe the construction and type of parking facilities.

I. Indicate the number and type of construction of kennels and other areas, indicating capacities and fire prevention facilities for all areas.

J. Indicate the provisions for facilities for kennel owners, trainers, and other racing personnel.

K. Describe the arrangements for food and drink concessions, indicating the names and addresses of concessionaires and the terms of the concession contracts. Attach copy of contract.

L. Describe any concessions, clubs, or other special facilities for patrons.

III. RACING OPERATION

A. Indicate by actual dates the live racing days requested by the applicant.

B. Indicate the kind of live racing to be conducted.

1. Indicate the kind of Simulcast and ITW racing to be conducted.

C. Describe the pari-mutuel operation in general and indicate the terms of the pari-mutuel ticket sales.

IV. ECONOMIC AND OTHER ASPECTS OF TRACK LOCATION

A. Describe briefly climatic conditions prevalent during the proposed racing season.

B. Indicate the population of the local area, and the growth trend. Indicate the potential market, including tourists, transients, and patrons from neighboring areas.

C. Indicate the principal sources of local income, showing the percentage from farming and ranching, industrial, professional and services, and military and other governmental sources.

D. Indicate the effect of competition with other racetracks in and out of the State and with other sports or recreational facilities in the area. State in detail what effect the competition from other racetracks will have on the availability of racing stock and track personnel.

E. Indicate what affect opposition from area residents will have on the economic outlook for the proposed track.

APPLICATION FOR LIVE RACING DATES

1205. Application for racing dates must be flied by a Franchise Holder at least one hundred twenty (120) days prior to the date upon which it is desired to begin the racing meet. Whenever mutually agreeable to the Commission and the Franchise Holder, the Commission may allot racing dates other than those requested in the application. Immediately following the allotting of any racing dates, and the issuance of a license to hold a racing meet, the Commission shall notify the Franchise Holder of the dates allotted which notice shall be in writing and sent by registered or certified United States Mail to the Franchise Holder, and each such notice and license shall be mailed by the Commission at least ninety (90) days before the date fixed for the beginning of the racing meet.

1206. Every application for a license for dates to conduct greyhound racing shall contain the following:

A. State the name of the applicant.

B. The applicant shall supply the following information: (If the application has a parent corporation, the same information must be submitted both for the parent and the applicant corporation.)

1. On the initial application of a Franchise Holder for racing dates, a certified copy of the articles of incorporation of the applicant must be attached to the application and any applications for racing dates filed subsequent to the initial application and any subsequent amendments to the aforementioned articles of incorporation shall be attached thereto, so that there will be on file at the Commission at all times a current copy of the applicant's articles of incorporation and amendments thereto.

2. State the classes of capital stock authorized, the amount authorized and the amount outstanding as of the date not less than fifteen (1 5) days prior to the date of filing of the application. State the amount of dividends paid to stockholders during the five (5) years immediately preceding the application.

3. State the name and address of each person who owns, of record or beneficially, more than ten percent (10%) of the shares of any class of capital stock. This can be indicated in columnar form as follows:

(a) Class of stock
(b) Type of ownership, whether of record or beneficial.
(c) Amount owned

4. Describe briefly the terms of any voting trust in which any of the capital stock is held and the name, address, and class of stock for all stock held in said voting trust.

5. Describe briefly the terms of any proxy by which any of the capital stock is held, the holder of the proxy and the name, address, and class of stock held in said voting trust.

6. State whether 5 percent (5%) or more of the applicant's assets is encumbered by any long-term debt. If so, state the names and addresses of parties holding security interests or promissory notes from the applicant where the stock is pledged as security. Copies of such agreements shall be made available for inspection by the Commission on request.

7. Outline briefly the dividend rights, voting rights, liquidation rights, preemptive rights, conversion rights, and redemption provisions. If the rights of holders of such stock may be modified otherwise than by a vote of a majority or more of the shares outstanding, voting as a class, so state and explain briefly.

8. List the names of all directors and officers of the applicant and all persons chosen to become directors or officers and attach a personal history resume for each person named. Indicate all positions and offices with the applicant held by each person named, and the principal occupation during the past five years of each person named.

9. List all parents of the applicant showing the basis of control and as to each parent, the percentage of voting securities owned, or other basis of control by any of its parents.

C. 1. The applicant shall furnish to the Commission a copy of its balance sheet and profit and loss statement for the immediate past fiscal year within ninety (90) days after the close of the applicant's fiscal year. Balance sheets, profit and loss statements, and all other financial statements required herein shall be prepared, audited and certified by an independent, certified public accountant in accordance with generally accepted accounting procedures and practices applied on a consistent basis. Any report containing exceptions of a material nature will not be considered to be certified.

2. State all loans by applicant in excess of one per cent (1%) of net income and describe fully the name of the borrower, amount of the loan collateral, and terms.

D. Each year when submitting the annual request for race days, briefly describe any pending legal proceedings to which the applicant or any of its subsidiaries or parent corporations is a party or of which any of their property is the subject. Include the name of the court or agency in which the proceedings are pending, the date instituted and the principal parties thereto.

E. State if the applicant, or its directors, officers, policy-making manager or principal stockholders have owned an interest in any firm, partnership, Association or corporation previously licensed by the Arkansas Racing Commission, or are now engaged in the business of racing outside of the State of Arkansas. Explain.

F. Describe briefly and where practical state the approximate amount of any material interest, direct or indirect, of any officer, director or principal stockholder of the applicant, or any associate of any of the foregoing persons in any material transactions during the last three years, or in any material proposed transactions, to which the applicant was, or is to be a party.

G. State generally the principal purposes for which the net income received by the applicant is intended to be used, (whether it be for payment of dividend, retained earnings for enumerated purposes, or other purposes) and show the approximate percentage of the amount intended for each such purpose.

H. State all contracts by the applicant entered into within the year preceding the date of application, and all executory contracts not otherwise described pursuant to these rules, in which the consideration exceeds $50,000.00 and indicate the general type of contract involved and the names and addresses of the parties to the contract. A copy of any such contract shall be made available for inspection by the Commission on request.

I. List all direct remuneration paid by the applicant and its subsidiaries, if any, during the applicant's last fiscal year to:

1. each director and officer of the applicant whose aggregate direct remuneration exceeded $25,000.00 naming each such person;

2. all directors of the applicant as a group without naming them;

3. all officers of the applicant as a group without naming them;

4. all other persons whose aggregate remuneration exceeds $25,000.00, naming each such person. As used in this paragraph, direct remuneration shall include salary, retirement benefits, automobiles furnished, expenses reimbursed, and all other sums paid for the benefit of the officer or other recipient.

J. State whether the applicant, its officers, directors and principal stockholders have complied with and are in compliance with Rule 1210. If not in compliance, explain in full.

II. RACING OPERATION

A. Indicate by actual dates the live racing dates requested by the applicant.

B. Indicate the kind of live racing to be conducted.

1. Indicate the kind of Simulcast and ITW races to be conducted.

C. Describe the pari-mutuel operation in general and indicate in particular the terms of the pari-mutuel ticket sales.

D. Describe the arrangements for food and drink concessions, indicating the names and addresses of concessionaires and the terms of the concession contracts. A copy of any such Contract shall be made available for inspection by the Commission on request.

E. Describe any clubs or other special facilities for patrons.

III. INFORMATION SUBMITTED AFTER APRIL 1, 1970

Any information submitted after April 1, 1970, may reference information previously submitted under an application.

1207. In the event that the interest in a racing operation held by an individual proprietorship, partnership or Association, or in the event the control (whether majority or less of the capital stock) of any corporation holding a franchise for racing from the Commission, is to be conveyed, no sale shall take effect until approval is obtained from the Arkansas Racing Commission. The application of the purchaser for the permission and consent of the Commission shall contain, where applicable, the same information as is required to be furnished under Rule 1202.

1208. The reports required by § 23-111-407 of the Arkansas Code shall be submitted under oath.

DUTIES AND OBLIGATIONS

1210.A. No applicant, officer, director, or principal stockholder of the applicant, nor any officer or director of any corporation which is a principal stockholder of the applicant, nor any spouse or lineal heir of any such person, nor any corporation in which the applicant or an officer, director or principal stockholder of the applicant holds stock, shall, directly or indirectly, in the name of or in behalf of the applicant, promise or offer to give or cause or procure to be promised, offered, or given, any money, goods, present or reward, or any promise, contract, undertaking, obligation or security for the payment or delivery of any goods, money, present or reward or any other thing of value whatsoever, to:

1. Any member of the Commission
2. Employees of the Commission
3. Any spouse, lineal heir, or employee of any member of the Commission or any corporation in which any member of the Commission is a principal stockholder, with the intent to influence the action or decision of any such person on any question, matter, cause or proceeding concerning the applicant, which may be pending or which may hereafter in the future be brought before any such person in his official capacity.

B. No officer, director, or principal stockholder of the applicant, nor any officer or director of any corporation which is a principal stockholder of the applicant, nor any spouse or lineal heir of any such person, nor any corporation in which an officer, director or principal stockholder is a principal stockholder shall:

1. Contract the applicant (except for bona fide contracts for salaries for directors and officers actually serving as such or for professional services actually rendered).
2. Provide goods or services, which are ultimately sold to applicant's patrons.

C. Upon application to the Commission, prior to entering into any such contract or doing any such business, or making any such payment or contribution, the provisions of Paragraphs A or B of this Rule may be waived by the Commission, in its discretion, if the proposed contract, or the proposed business, or any proposed payment or contribution, is, under the circumstances, advantageous to the applicant in the conduct of its business of greyhound racing.

D. No license shall be granted to any applicant if the applicant or an officer, director, or principal stockholder of the applicant has been convicted of a felony by any court of record of any state or country.

1211. No applicant shall enter into any contract in which the term exceeds three (3) years or the consideration exceeds five percent (5%) of the net income of the applicant for the year immediately preceding the date of the contract without first submitting advance written notice thereof to the Commission.

1212. Minutes of the meetings of stockholders and directors of the applicant shall be made available to the Commissioners, but copies thereof need not be filed as a matter of record in the office of the Commission.

1213. The original and six (6) copies of all applications, notices, and other matters required by these rules, shall be filed with the Commission, 101 East Capitol Avenue, Suite 114, Little Rock, Arkansas. One (1) additional copy shall be submitted to each Commissioner at his address of record on file in the office of the Commission. All applications, notices, and other matters shall be verified, under oath, and all copies shall be manually signed in ink.

1214. It shall be unlawful for any person, corporation, firm, partnership, or any other entity, to sell or offer for sale, for any consideration, any tax-free pass issued for admission to the racing facility. Any person, corporation, firm, partnership, or other entity, who sells or offers for sale tax-free passes shall, upon conviction, be guilty of a Class B misdemeanor.

1215. Members of the Arkansas State Racing Commission, and its designated representative(s), shall have the right of full and complete entry to all parts of the grounds and mutuels plant of the Franchise Holder licensed to conduct racing in Arkansas.

1216. Each Franchise Holder shall provide and install within its grounds:

A. Offices for the use of the Commission and all its officials;

B. Stands for Racing Officials shall be maintained in positions commanding an uninterrupted view of the entire racing strip, which shall be subject to approval of the Commission;

C. A suitable telephone system between the Racing Officials;

D. A first aid room in the grandstand.

1217. No person shall in any matter, or at any time, disturb the peace or make himself/herself obnoxious on the grounds of a Franchise Holder.

1218. No Franchise Holder shall permit the making of handbooks on its grounds under penalty of having its license revoked. Any owner, trainer, or other person who bets with or through any such handbook shall be ejected from the grounds and refused

admission to the grounds of all other licensed Franchise Holders in Arkansas, and in the case of an owner or trainer, the entries of said owner and/or trainer shall be refused for all Arkansas Tracks.

1219. No Franchise Holder having a race meeting under a license issued by the Commission shall permit any illegal gambling device of any kind on said grounds.

1220. A. Any licensee who unlawfully solicits bets from the public by correspondence or other methods shall be suspended.

B. Resale of any ticket from one individual to another is prohibited and shall be grounds for ejection.

SUSPENSIONS AND RULINGS

1223. No person or greyhound ruled off, or under suspension by any licensing body in any country, or any greyhound Franchise Holder, shall be admitted to the grounds of any Franchise Holder, once the Franchise Holder has been notified.

1225. When a person is ruled off any track or suspended by any licensing body of any country, or any greyhound Franchise Holder, every greyhound owned in whole or in part by him/her shall be ineligible to be entered or to start in any race until said greyhound has been reinstated either by the rescission of the owner's suspension or by its transfer through bona fide sale to an ownership acceptable to the Board of Judges.

1227. When a person is ruled off any track or suspended by any licensing body of any country, or any greyhound Franchise Holder, any greyhound which is under his/her care, management, training, or superintendence shall not be qualified to be entered or to start in any race until said greyhound has been reinstated by the rescission of said person's suspension or by the placement of the greyhound in the hands of a licensed trainer and the approval of the transfer is granted by the Board of Judges.

1229. When a person is ruled off any track or suspended by any licensing body of any country, or any greyhound Franchise Holder, he/she shall not be qualified, whether acting as agent or otherwise, to subscribe for or to enter or run any greyhound in any race either in his/her own name or in that of any other person until the rescission of that person's suspension.

1231. A greyhound or kennel ruled off or under suspension by any licensing body of any country, or any greyhound Franchise Holder, shall not be permitted to race on any Arkansas track where these rules are in force, during the continuance of such ruling.

1232. During the term of disqualification of any participant in racing, it shall be the duty of the Franchise Holder to see to it that the privilege of the admission badge is revoked, and that person is kept out of the grounds unless otherwise permitted to enter

under certain conditions and at certain times as may be provided for elsewhere in these Rules.

1233. Violators of any Rule will be subject to ejection from the grounds and/or to fine, suspension, or ruling off.

1234. Any time a greyhound is disqualified from a race for any reason, the purse money won by that greyhound shall be redistributed, unless the Commission finds that there are special circumstances justifying the owner or trainer sharing in the purse.

1235. The Board of Judges may fine, suspend, or rule off any person who, in their opinion, has acted to the detriment of racing or violated the Rules.

1237. Each Franchise Holder shall police its grounds at all times in such a manner as to preclude the admission of any person in and around the kennels, excepting those having bona fide business or duly licensed by the Commission.

1238. Each Franchise Holder shall furnish to the Commission the names and addresses of all persons ejected by the Franchise Holder from its grounds, together with the offense or offenses alleged against them and any other material information relating thereto.

1239. The officials of all Race Meetings, in making decisions, shall be guided by the practices and procedures that are recognized and established in the conduct of all properly authorized Race Meetings.

1240. Racing Franchise Holders shall exclude from the paddock, in the interest of public safety, all those persons who have no immediate business with the entries, except the members of the Commission, its staff and special representatives, and those having special permission from the Franchise Holder.

MUTUEL SUMMARY SHEETS

1245. The Director of Mutuels shall furnish the auditor who represents the Commission with a copy of all "summary sheets" of the mutuels as soon as practicable after each race. The mutuels work sheets will be kept on file if needed.

1247. All daily programs sold at a racetrack must have one page containing the following:

NOTICE

This racetrack is licensed for the year ____ by the Arkansas State Racing Commission and operates under its regulations.

ARKANSAS STATE RACING COMMISSION

_____, Chairman

_____

_____

_____

_____, Manager

Officers and Directors of the Association:

_____

_____

Racing Officials for the Meeting:

_____

_____

1248. All daily programs sold at the racetrack, whether for live races or ITW races, must contain a prominent notice that there is an information window and/or complaint window or windows where complaints may be made or filed in writing — and the exact locations of these windows must be set forth in said notice.

1249. A. Franchise Holder shall maintain in good service a satisfactory Totalisator.

1251. The Commission may require a Franchise Holder to install and maintain in good service a photographic device, and where installed it shall be required that all finishes be recorded by the said photographic device; provided, however, in the event of any mechanical difficulty or insufficient light for a picture to be taken, the Presiding Judge and the Commission Judge shall decide the order of finish, whose decision is final.

1252. When finishes are so recorded each entry in each race shall wear a blanket number of adequate size on the side which at the finish will be toward the camera, corresponding to entrant's number on the official program.

PURSE DISTRIBUTION

1254. All portions of purse money shall be paid to the winners thereof within seven (7) days following their winning, except where required otherwise elsewhere in these Rules.

1256. No percentage of purse winnings shall be deducted by a Franchise Holder for itself or for another person, club, or body, unless at the request of the person to whom

such winnings are payable, and except that a Franchise Holder may withhold from winnings any money due it.

1257. Winning tickets must be redeemed within one hundred eighty (180) days following the last race day of each race meet. The Franchise Holder shall publish in the

racing program a notice to patrons advising them of this rule and listing the specific dates when each of the two race meets end.

1258. No person shall be allowed to wager in violation of any Law of the State of Arkansas.

CORRUPT, FRAUDULENT AND PROHIBITED PRACTICES

1300. No employee of the Arkansas State Racing Commission, including but not limited to Stewards, Judges, Supervisors, Auditors, Investigators, Inspectors, Racing Commission Office Manager, Veterinarians, Secretaries, Bookkeepers, Gatemen, and their assistants shall, nor shall any person acting in the capacity of a Racing Official or an assistant Racing Official, wager money or anything of value on races at the track at which they are employed or acting during the time they are employed.

A. As a condition for employment by the Arkansas State Racing Commission or employment by the Franchise Holder, each employee must sign an acknowledgment that he/she has been given a copy of the prohibited practices.

1301. No one interested in the results of a race, either because of ownership of any entry or of his sire or dam, or because of bets or otherwise, shall act as a Racing Official in respect to that race.

1303. No director, officer, or employee of the Franchise Holder and no person acting in the capacity of a Racing Official or an assistant Racing Official or the spouse of any such person shall at or in connection with the meeting conducted by the Franchise Holder:

A. Enter or cause to be entered or to be run at the meeting, either directly or indirectly, any greyhound in which they have any financial interest.

B. Accept, directly or indirectly, any gratuity, reward, or favor in connection with any race.

C. Sell or buy any greyhound for themselves or another, either directly or indirectly.

D. Write or solicit greyhound insurance at the meeting.

E. Solicit for or bet from a bookmaker on the grounds of the Franchise Holder.

1305. Any person subject to these rules shall report to the Board of Judges all observed violations of the Rules.

1306. No person shall enter, or cause to be entered, or start an entry which they know or believe to be ineligible or disqualified.

1307. If a person wrongfully gives or offers money, shares in a bet, or other benefit to any person having official duties in relation to a race, or if a person having official duties in relation to a race wrongfully accepts or offers to accept money, shares in a bet, or other benefit; or if any person fraudulently offers or receives any amount of money for declaring any entry out of a purse or stake, then any such person shall be ruled off all Arkansas tracks by the Commission.

1313. No transfer of any greyhound shall be made for the purpose of avoiding ineligibility or disqualification.

1316. No person shall tamper or attempt to tamper with any greyhound in such a way as to affect its speed in a race, nor shall they counsel or in any way aid or abet any such tampering.

1319. No electrical or mechanical device or other expedient designed to increase or decrease the speed of a greyhound, or that would tend to do so, shall be possessed by anyone or applied by anyone to a greyhound at any time on the grounds of a Franchise Holder during a meeting whether in a race or otherwise.

1320. No person shall administer, or permit to be administered in any manner whatsoever, internally or externally, to any entry entered or which may be entered in a race, any stimulant, depressant, hypnotic or narcotic drug, of any kind or description <u>not specifically permitted by these rules</u>.

1324. Except for lawful administration of medication, no person shall have in his possession within the confines of a racetrack or within its buildings, sheds or grounds, either inside or outside the track area of a Franchise Holder, any drugs or stimulants, hypodermic syringes or hypodermic needles or similar instruments which may be used for injections.

1326. Except for lawful administration of medication, no person shall administer, or permit to be administered, any drug in any manner whatsoever, internally or externally, to any greyhound entered or to be entered in a race, prior to the race.

1328. Any trainer who injects, gives, uses, or administers any drug or medicines of any kind whatsoever, or who authorizes, allows or permits any other person to give, inject, or administer any drug of any kind whatsoever to a greyhound prior to the running of a greyhound in a race, must give notice to the Board of Judges and the Commission Veterinarian of the use, injection, or administering of said drugs or medicines prior to the running of said race. Any trainer failing to give such notice may be subject to a fine, suspension, and/or license revocation.

1330. Any person who shall be adjudged guilty of any participation in or knowledge of the administration of any drug to any entry in a race or otherwise unlawfully tampering with greyhounds for the purpose of increasing or retarding the speed of such greyhound shall for the first and second offenses be punished to such extent as the Board of Judges rule, and for the third offense may be thereafter ruled off and denied a license for life. The maximum fine the Board of Judges can give is one thousand dollars ($1,000) with a suspension for the duration of the meet plus thirty (30) days.

Substances checked by the laboratory from samples collected from the greyhounds fall into five different classifications as reported by the Association of Racing Commissioner's International. Subsequent positives within the time of the Commission Veterinarian's first report, if for the same substance, may be viewed the same as the first violation; depending on the results of the investigation. Subsequent positives, not within the time of the Commission Veterinarian's first report, will result in an increase of the fine and/or suspension. The five drug classifications and the minimum fine the Board of Judges shall impose for a first violation are:

A. ***Class 1***. Stimulant and depressant drugs which have the highest potential to affect performance and which have no generally accepted medical use in the racing industry. Many of these agents are DEA schedule II substances.

MINIMUM Fine: $1,000
MAXIMUM Suspension: Duration of meet, plus 30 days
Redistribution of Purse

B. ***Class 2***. Drugs which have a high potential to affect performance, but less of a potential than ***Class 1***. These drugs are (1) not generally accepted as therapeutic agents in the racing industry, or (2) they are therapeutic agents that have a high potential for abuse.

MINIMUM Fine: $500
MINIMUM Suspension of 30 days
Redistribution of Purse

C. ***Class 3***. Drugs which may or may not have generally accepted medical use in the racing industry, but the pharmacology of which suggest less potential to affect performance than drugs in ***Class 2***.

MINIMUM Fine: $200
Suspension of 0 — 10 days
Redistribution of Purse

D. **Class 4**. Includes therapeutic medications which should have less potential to alter performance than those in ***Class 3***.

MINIMUM FINE: $50
Possible Redistribution of Purse

E. ***Class 5***. Includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents and other medications as determined by the regulatory body.

MINIMUM Fine: $25
Possible Redistribution of Purse

A copy of the Association of Racing Commissioner's International Drug Classification is contained in Volume II of the rules and is available in the State Veterinarian's Office and the Commission Office.

If said purse is paid before the disqualification, then the proper owner entitled to said purse may recover from those who had received such purse. In the event that a 1greyhound establishes a track record in a race and it later develops that the chemical analysis of any sample taken from such greyhound indicates the presence of a narcotic, stimulant, depressant, or local anesthetic, then such track record shall be null and void.

1331 .Any trainer, attendant, owner, or other person having on their past record three or more convictions of unlawfully administering any drug for the purpose of increasing or retarding the speed of such greyhound in Arkansas, or any state, may be denied a license in Arkansas.

1333. TRAINERS SHALL BE RESPONSIBLE FOR AND BE THE ABSOLUTE INSURER OF THE CONDITION OF AN ENTRY THEY ENTER REGARDLESS OF THE ACTS OF THIRD PARTIES. SHOULD THE CHEMICAL OR OTHER ANALYSIS OF SALIVA, URINE, OR BLOOD SPECIMENS PROVE POSITIVE, SHOWING THE PRESENCE OF ANY NARCOTIC, STIMULANT, DEPRESSANT, CHEMICAL OR DRUG OF ANY KIND OR DESCRIPTION, THE TRAINER MAY, IN THE DISCRETION OF THE BOARD OF JUDGES, SUBJECT TO APPEAL TO THE COMMISSION, BE FINED, SUSPENDED AND/OR RULED OFF THE TRACK. IN ADDITION, ANY OTHER PERSON SHOWN TO HAVE HAD THE CARE OR ATTENDANCE OF THE ENTRY SHALL NOT PARTICIPATE IN THE PURSE DISTRIBUTION.

1334. Any person who has been convicted by any court, having criminal jurisdiction, of the possession or use of narcotics may be denied a license, or be ruled off.

1335. At the beginning of each racing season, the Commission may employ a Chemist for the purpose of making a chemical analysis of saliva or other excretions or body fluids to be taken by the Commission Veterinarian from any entry running on any track operating under a franchise from the Commission.

1337. If, before or after a race, a sample of saliva; or other excretions or body fluids, is to be taken by the Commission Veterinarian from a. greyhound which will compete or has competed in a race, nothing shall be administered or given in any manner whatsoever to the said greyhound until the Commission Veterinarian obtains the sample.

1338. Every owner, or his/her authorized agent, or trainer of any entry shall immediately upon request by the Commission submit any entry of which he/she is the owner, or authorized agent, or trainer to any Veterinarian designated by the Commission for such examination or tests as may be deemed advisable. The Commission Veterinarian may detain an entry as long as is deemed necessary in order to obtain a specimen.

1339. During the taking of the samples by the Commission Veterinarian, the trainer or kennel representative in each instance may be present and witness the procedure. If, for any reason, the trainer or kennel representative chooses not to be present, they must first advise the Commission Veterinarian of the names of those persons who may act as their witness when the sample is taken. A kennel may name a maximum of 5 persons as witnesses.

1340. The sample so taken shall be immediately sealed in a container, and evidence of such sealing must be noted thereon by the signature of the Commission Veterinarian or Commission Veterinary Assistant.

1341. Upon such sealing of the container containing the specimen, the container shall be encased and deposited in a large size carrying case all of which shall be stored and kept while at the racetrack, provided further that any such carrying case shall be properly locked with an individual lock, the keys to which shall only be in possession of the Commission Veterinarian or a designated assistant.

A. The *Standard Operating Procedures of Sample Collection, Identification, and Labeling* is available at the Commission Veterinarian's Office and the Commission Office.

1342. The Franchise Holder shall make provision for suitable space with a door equipped with proper locks and keys where such specimens can be stored by the Commission Veterinarian. The Commission Veterinarian shall be in control and custody of all specimens until such time as they are picked up at the Track for delivery to the Chemist.

1343. The Commission Office and Commission Chemist shall each keep, in addition to the record placed on the containers containing the specimen, separate individual records showing date, race, entry, name of owner, trainer and witness.

1344. Every Franchise Holder and all officials and employees thereof shall give every possible aid and assistance to any department, bureau, division, officer, agent or inspector, or any other person connected with the United States Government or with the State of Arkansas, or any local authority, who may be investigating or prosecuting any such person they may suspect of being guilty of possessing any drug, hypodermic needles, batteries, or other similar appliances.

1348. If any licensee uses profane or indecent language to officials and/or Commission employees, or otherwise disturbs the peace on any track enclosure, they shall be liable for a fine, suspension, and/or shall be ruled off.

1350. The Board of Judges may fine, suspend, and/or rule off any person who in their opinion has acted to the detriment of racing or violated any of the Rules of the Commission.

1351. Should any licensee of the Commission incur expenses while racing at any licensed track and wrongfully refuses to pay the same when due and payable, or within a reasonable time after demand, such licensee shall be deemed guilty of conduct detrimental to the best interest of racing, and for such reason may be suspended until proper restitution is made.

1352. Employees or patrons who are careless of the safety of themselves and others, negligent, insubordinate, dishonest, immoral, quarrelsome or otherwise vicious, or who do not conduct themselves in such manner and handle their personal matters while on the premises of any licensed track in such a way that the Franchise Holder or Commission will not be subject to criticism or loss of good will, will be prohibited or removed from the premises of any track and denied wagering privileges.

1358. Complaints against an official or officials shall be made to the Board of Judges in writing, signed by the complainant(s). Complaints charging any infraction of any law of the State of Arkansas or rule of the Commission may be made by any person. All such complaints shall be reported to the Commission, together with the action taken on them by the Board of Judges.

1359. The Board of Judges shall take notice of corrupt and fraudulent practices and any other infraction of the Rules and Regulations of the Commission. The Board of Judges shall exclude from all places under their control persons who are ruled off. The Board of Judges may also exclude any person declared guilty of any corrupt or fraudulent practices by greyhound racing authorities of any other state or country. The Board of Judges may suspend for no greater period than the duration of the meeting plus 30 days, anyone whom they have authority to supervise, and/or they may impose a fine not

exceeding $1,000. The Board of Judges may also suspend any person declared guilty of any corrupt or fraudulent practice by greyhound racing authorities of any other state or country. All such suspensions and fines must be paid to the Commission. If the punishment so imposed is not in the opinion of the Board of Judges sufficient, they shall so report to the Commission. The Board of Judges shall, each day, make a report in writing to the Commission of all infractions of the Rules and of all Rulings coming before them during the meeting.

1360. When any licensee or greyhound is suspended by the Board of Judges, such suspension shall immediately become effective on all other tracks under the jurisdiction of the Commission until such time as the case in question is decided upon by the Commission. The license of anyone ruled off of any Arkansas track for fraud or fraudulent practices, or for violation of any of the rules of racing of the Commission, shall thereby be revoked. When a person is ruled off for any fraudulent practice in relation to a particular greyhound wholly or partly belonging to him/her, he/she shall return all money or prizes which such greyhound has fraudulently won. Fines must be paid within forty-eight hours. Delinquents may be suspended. All moneys imposed as fines, except such fines as may be imposed on Franchise Holders, shall be collected by the Racing Secretary and shall be promptly paid over directly by him/her to the Commission, together with a report covering same. An unpaid fine may not be rescinded by the Board of Judges except with the approval of the Commission.

1361. No Racing Official other than the Board of Judges shall have the right to impose a fine or suspension.

APPEALS TO COMMISSION

1362. Any person, firm, Association, or corporation penalized or disciplined under the Law, or under these Rules, or who is otherwise aggrieved by any action, proceeding, or decision of a Racing Official or Franchise Holder licensed by the Commission may appeal to the Commission for a review of such action, proceeding, or decision by requesting a hearing before the Commission, which may take whatever action it deems appropriate.

1363. Appeals to the Commission must be filed in writing at the office of the Commission within three days after the date of said action, proceeding, or imposition of said discipline or penalty.

1364. Appeals to the Commission shall be signed by the person making it and must set forth his/her reasons for believing he/she is entitled to a hearing.

1365. All papers filed with the Commission shall be the property of the Commission.

1366. An appeal from any action, proceeding or decision of a Racing Official or Franchise Holder shall not serve to stay or otherwise affect such action, proceeding until

the appeal has been acted upon by the Commission unless otherwise ordered by the Commission or by a Court of competent jurisdiction.

A. An appeal from any action, proceeding or decision of a Racing Official or Franchise Holder, hearings on misconduct of owners or trainers, applications for franchises, licenses or date to conduct racing meets, and similar matters shall be heard by the Commission at an informal hearing on not less than twenty-four (24) hours notice, minutes of said hearings shall be kept by the Commission, which minutes need not be verbatim.

B. All other hearings conducted by the Commission shall be formal hearings and governed by the following practices and procedures.

1. ***Pleadings***. Pleadings before the Commission shall be by application or complaint, answer, motion and reply. All pleadings shall be typewritten and, unless otherwise required by law, filed in duplicate with one additional copy mailed to each member of the Commission at his address of record.

2. ***Transcript***. The proceedings shall be reported and transcribed by a qualified court reporter. However, a transcript of the proceedings shall be made at the expense of the Commission only if directed by the Chairman or any two Commission members. Minutes of the proceedings shall be maintained by the Commission, which minutes need not be verbatim.

3. ***Notice***. Except as otherwise provided by law, not less than twenty-four (24) hours notice of the proceedings shall be served upon the applicant, all other parties, and such persons that have requested notice of the proceedings in writing, which request shall be directed to the Chairman of the Commission.

C. Formal and informal hearings shall be governed by the following practices and procedures:

1. ***Attorneys***. Any person or party affected by the proceedings shall be entitled to represent themselves or be represented by an attorney at law to be retained at their expense; provided, however, the Chairman may prohibit an attorney from practicing before the Commission on a showing that such attorney has personally engaged in conduct in violation of the laws of the State of Arkansas pertaining to greyhound racing or rules of the Commission.

2. ***Service of Complaint and Notice***. Service of all notices and complaints shall be accomplished by sending such person, or his/her agent for service, the complaint or notice by certified or registered United States mail addressed to the recipient with return receipt requested, or by service by an officer authorized to serve process. A proof of service shall be filed with the Commission.

3. ***Depositions***. Upon application to the Chairman, the Chairman may cause depositions of witnesses to be taken in such manner as they may direct.

4. ***Public Hearings***. All hearings before the Commission shall be open to the public.

5. ***Subpoena***. Any party to a hearing before this Commission, including an applicant, may on written or oral motion to the Chairman and the Commission, its attorney or any Commissioner, request the issuance of a subpoena, both *ad testificandum* and *duces tecum*, for any witness to appear before the Commission. Upon receiving the request, the Chairman shall issue the requested subpoena directed to the Sheriff of the County of the witness's residence or any other officer authorized by law to serve process, requiring him to summon the person named therein to attend at a particular time and place to testify as a witness. It may, when the Chairman so directs, require the witness to bring with him any book, writing paper, document, tape, record, or other thing under their control. The subpoena shall be served and the return made, both as in the manner now or hereinafter provided bylaw for the Circuit Court of this state. Any witness subpoenaed shall attend and give evidence until the matter before the Commission is decided or such witness is discharged by the Chairman. The failure to appear and be sworn shall be punished as provided by law.

In any case not provided for by this rule with regard to the issuance of subpoenas, the law of Arkansas with regard to subpoenas issued by the Circuit Courts of this state shall apply.

6. ***Conduct of Hearing***. The Chairman shall have the power to preserve and enforce order during any proceeding before the Commission, to administer oaths, to rule upon all questions arising during the course of the hearing, to hold conferences before and during the hearing for the settlement or simplification of issues, to make or recommend decisions, to compel the attendance and testimony of witnesses, to require the production of books, papers, documents and other evidence and generally to regulate and guide the course of the pending proceeding. In the absence of the Chairman, a majority of the remaining members of the Commission may select one of their number to act as Chairman and the acting Chairman shall thereupon be authorized to discharge the duties of Chairman. Except as otherwise provided by law for the conduct of hearings by the Arkansas Racing Commission, the hearings shall be conducted as prescribed for adjudication and rule-making under the Arkansas Administrative Procedures Act.

7. ***Appearance***. Unless incapacitated, a person placing a claim or defending a privilege before the Commission shall appear in person and may not be excused from answering questions directed by the Commission or its attorney and supplying information thereto.

8. ***Consolidation of Issues***. Hearing involving several applicants or complaints having a common issue may be joined and heard together at the discretion of the Commission.

9. ***Action by Commission***. All orders, findings of fact, rulings, and other formal action taken by the Commission during the course of a hearing, or at the conclusion thereof, shall be in writing and a copy furnished to all parties and persons that have requested notice pursuant to (b) (3) above. Any member of the Commission may submit a minority or supplemental report or dissent. Orders of the Commission and all pleadings and applications shall be maintained on permanent file by the Commission for public inspection.

10. ***Costs***. The Commission may tax appropriate costs to any person or party.

D. Any person or party shall, upon written application to the Commission be entitled to a formal hearing upon posting a bond for costs thereof. The provisions of this Rule are severable.

COMMISSION VETERINARIAN POWERS AND DUTIES

1375. The Commission shall appoint and employ a Commission Veterinarian to serve at each track during the race meeting. The Commission Veterinarian shall be a veterinarian licensed to practice under the laws of Arkansas and be in good standing. The Veterinarian, and/or the Veterinarian's assistant, shall be on the grounds at pre post weighing-in time and during all racing hours.

1376. The Commission Veterinarian, or the assistants, shall obtain saliva, other excretions, or body fluids from such greyhounds as are designated by the Presiding Judge, or the Commission Judge; and make such examinations and tests as from time to time may be required by the said Racing Officials and Racing Commission.

1377. It shall be the duty of the Commission Veterinarian to make the final examination and inspection of all greyhounds during the time they are in the show paddock before they leave for the track. If any entrant is not in condition to compete in that race, the Presiding Judge shall be immediately notified by the Veterinarian if possible.

1378. Bitches in season are not permitted to be entered for racing.

1379. The Commission Veterinarian shall have the authority to conduct kennel inspections at any time, of any and all kennel buildings in the kennel compound.

1380.A. The Arkansas Racing Commission Veterinarian and veterinary assistants are prohibited, except in emergency situations, from practicing veterinary medicine on

on any greyhound owned, leased, or otherwise controlled by a licensed owner or trainer on the grounds of the Franchise Holder. This prohibition applies to all greyhounds, whether they are housed on the track or elsewhere.

1381. Any greyhound coming upon the grounds of Southland Greyhound Park shall be inoculated once a year for Distemper, Adenoviras (Hepatitis), Leptospirosis, Para-Influenza, Parvo, Bordetella bronchiseptica and Rabies. Upon request by the Commission, the Board of Judges, or any official of Southland Greyhound Park, trainer or owner of any greyhound shall produce proof that his or her greyhounds have been inoculated in compliance with this rule.

A. The Commission Veterinarian may at his or her sole discretion, declare a quarantine in effect for any or all greyhounds on the grounds of the franchise holder. The Veterinarian shall have the sole power to set the terms of the quarantine, and no greyhound shall be released from the quarantine without the express permission of the Veterinarian. Any person violating the terms of a quarantine may be fined, suspended or ruled off the grounds of the franchise holder.

B. The Arkansas Racing Commission Veterinarian may, in an emergency situation, perform humane euthanasia on a greyhound, IF the registered owner of said greyhound has a signed authorization form on file with the Commission.

RULES FOR GREYHOUND RACING PERSONNEL

3000. The officials of a greyhound race meeting are the Director of Racing, Racing Secretary, Presiding Judge, Paddock Judge, Patrol Judge, Clerk of Scales, Official Starter, and Lure Operator. All officials herein designated shall be appointed by the Franchise Holder holding the meeting, all the appointments, including the Judges, being subject to the approval of the Commission which reserves the right to demand a change of personnel for what it deems good and sufficient reason, the successor to officials so replaced to be subject to the approval of the Commission.

A. Franchise Holders are hereby directed to submit to the Commission the names of such officials not less than thirty (30) days prior to the first day of the meeting.

B. A Commission Judge for each race meeting shall be named by the Commission. The Commission Judge shall supervise the conduct of the Racing Officials and the acts to which their authority extends. Any infraction or violation of the rules of greyhound racing adopted by the Commission or of the racing law shall immediately be reported by the Commission Judge to the Commission. The Judge shall be present for all races and attend official schooling races.

C. No more than two (2) Judges shall be appointed by the Racing Commission to serve as State Judge during the entire meet and he/she must be present for all races and attend schooling races.

VACANCIES AMONG OFFICIALS

3001. When a vacancy occurs among the officials and the Franchise Holder has not notified the Director of Racing prior to the time fixed for the first race that it has been filled, the Director of Racing shall immediately fill such vacancy and their appointment or appointments shall be subject only to the confirmation of the Commission. Should the vacancy occur after the racing for the day has started, the Director of Racing shall at once fill the vacancy, the appointment standing for the day only, unless the Franchise Holder should fail to fill the vacancy on the following day, and notify the Director of Racing of their action one hour before the time fixed for the first race.

BOARD OF JUDGES

3005. The Board of Judges shall have general supervision over owners, trainers, grooms, and other persons attendant on greyhounds, and also over all the other Racing Officials of the Meeting.

3006. During each race day the Presiding Judge and the Commission Judge of the meeting shall be at the office building on the grounds of the Franchise Holder where the race meeting is being held not later than one-half hour before weighing-in time to exercise the authority and perform the duties imposed on them by the rules of racing.

3007. The Presiding Judge, with the approval of the Commission Judge, shall decide which greyhound wins and assign the respective order of finish in the race.

3008. When the Presiding Judge or Commission Judge considers it advisable to request a picture from the finish camera to assist in determining the position of the greyhounds finishing in the race, he/she shall not, until he/she has consulted the picture, post on the tote board any number or numbers of winning, place, or show greyhounds. Provided that if he/she has declared a greyhound the winner and requests a photograph to determine the greyhound finishing second in the race, the number of the winning greyhound may be shown on the board. In like manner, if the Presiding Judge or Commission Judge has declared the first and second greyhounds in a race and requests a photograph to determine the third greyhound in the race, he/she may show the numbers of the first and second greyhounds on the board. In no case shall the race be declared official until the Presiding Judge and Commission Judge have determined the greyhounds finishing first, second, and third.

3009. The Presiding Judge and Commission Judge shall take notice of corrupt racing and other questionable transactions on the track. Complaint thereof may be made by any person, but in the failure of the complainant to substantiate the charge, if complainant is a licensee, he/she may be liable for a fine, suspension, or both.

3010. The Board of Judges shall interpret the rules and decide all questions not specifically covered by the rules.

3011. Should any incident occur which may not be covered by the rules of racing, it shall be determined by the Board of Judges in conformity with justice and in the best interest of racing.

3012. All decisions and/or rulings within the Board of Judges' authority shall be determined by a majority of the Judges, subject to the review of the Commission.

3013. The Commission Judge and/or Presiding Judge present at weigh-in may scratch a greyhound, and in addition, at the discretion of the Board of Judges, the kennel owner and/or trainer may be fined or suspended if:

A. A greyhound is in violation of the rules applicable to greyhound weight or weighing;

B. A greyhound is not present at the weigh-in room promptly at the appointed time; or

C. A greyhound is in violation of any rule of racing.

3014. A greyhound may be excused by the Presiding Judge in case of an accident or casualty before being placed in the starting box, but only in cases where such greyhound is deemed to be unfit to run. In such cases, a refund of all wagers on such greyhounds shall be made.

3015. Nothing in these rules shall prevent the Board of Judges from correcting an error before the display of the sign "Official" or from recalling the sign "Official" displayed through error.

3016. The Laws of Arkansas and the Rules of Racing supersede the conditions of a race, or the regulations of a race meeting, and in matters pertaining to racing. The orders of the Board of Judges supersede the orders of all other Racing Officials and the officials of the Franchise Holder conducting the meeting.

DIRECTOR OF RACING

3020. All questions pertaining directly to racing, arising during the period of the meeting, shall be determined by the Director of Racing, but should he/she fail to reach a decision in twenty-four (24) hours, the case shall be reported to the Board of Judges. If the Judges fail to reach a decision, the case shall be presented to the Racing Commission for such action as it deems proper to take.

3021. Persons entering greyhounds to run on licensed Arkansas tracks agree in so doing to accept the decision of the Director of Racing on any questions relating to a race or to racing.

3022. The Director of Racing shall have control over and free access to all stands, weighing rooms, enclosures, and other places in use for the purpose of racing.

3024. The Director of Racing has power at any time to order an examination by such person or persons as he/she thinks fit, or any greyhound entered for a race, or which has run in a race.

RACING SECRETARY

3030. The Racing Secretary shall discharge all duties whether expressed or required by the Racing Rules and report to the Director of Racing, as the case may demand, all violations of these rules, or of the regulations of the track, coming under his/her notice; he/she shall keep a complete record of all races; and, he/she shall receive all stakes, entrance money, arrears and forfeitures, and pay over all monies so collected to such officers or persons as may be entitled to receive the same.

3031. The Racing Secretary shall inspect owner's and trainer's licenses and all papers and documents dealing with trainers, owners, partnership agreements, appointment of authorized agents, adoption of assumed names (kennel names); and may demand production of same in order to satisfy himself/herself as to their validity and authenticity and that the rules of the Commission in regard thereto are in compliance. Such papers shall be available to the Commission Judge at all times.

3032. Conditions of races shall not conflict with these racing rules.

3033. The Racing Secretary shall each day, as soon as the entries have closed and been compiled and the declarations have been made, post in a conspicuous place a list thereof. Any newspaper desiring the same shall also be furnished a copy.

3034. All entries and declarations are under the supervision of the Racing Secretary and he/she may, without notice, refuse the entries of any person or the transfer of any entries.

3035. The Racing Secretary has power to call for proof that a greyhound is neither itself disqualified in any respect, nor nominated by, nor the property, wholly or in part, of a disqualified person, and in default of such proof being given to his/her satisfaction, he/she may declare the greyhound disqualified.

3036. Racing Franchise Holders shall make prompt written acknowledgment of stakes nominations and subscriptions to the Racing Secretary.

PADDOCK JUDGE

3040. The Paddock Judge shall unlock the kennels immediately before weighing-in time to see that the kennels are in perfect repair and that nothing has been deposited in any of the kennels for the greyhounds' consumption; to see that the kennels are sprayed, disinfected, and kept in a proper sanitary condition; to receive the greyhounds, one at a

time, and see that the greyhounds are placed in their proper kennels; and, continue to ensure the security of the lock-out area from weigh-in time until the time when greyhounds are removed for the last race of a performance, all under the supervision of the Commission Veterinarian and the Racing Secretary.

3041. No greyhound shall be permitted to start in a schooling or purse race that has not been fully identified and checked against the Bertillion card index system of identification maintained by each Franchise Holder. This card shall include ear tattoo numbers. The identification cards shall be filled in and completed by the Paddock Judge before greyhounds are entered for schooling. No medicine, antiseptic, fluid or any mailer containing any color causing the marring of identification marks shall be used on any part of a greyhound.

CLERK OF SCALES

3046. The Clerk of Scales shall weigh greyhounds in and out and shall exhibit the accurate weights of each greyhound on the weight board and such scale shall be regulated by some duly authorized state official. The established racing weight, pre post weight, and the track weight must be promptly posted or announced for the information of the public.

A. The Clerk of Scales shall record and publish as soon as the weights are exhibited any overweight or underweight from the established racing weight appearing on the weight sheet.

B. The Clerk of Scales shall promptly report to the Presiding Judge any infraction of the rules as to weight or weighing.

OFFICIAL STARTER

3050. The Official Starter shall give such orders and take all measures, not in conflict with the Rules of Racing, as are necessary to secure a fair start.

3051. The greyhounds shall be started from the type of starting device approved by the Commission.

3052. Causes of delays, if any should occur, shall be reported by the Official Starter to the Presiding Judge.

3053. After a greyhound has been placed in the starting box no refund shall be made and all wagers stand. In the event of a mechanical failure to the starting box which prevents one or more, but not all, greyhounds from starting, a full and complete refund shall be made on the greyhound(s) prevented from starting. In the event of a mechanical

failure to the starting box which prevents all greyhounds from starting, a full and complete refund shall be made on said greyhounds unless said greyhounds start in the next race run that day. The decision as to whether a greyhound or greyhounds were prevented from starting by a mechanical failure shall be made by the Presiding Judge after consultation with the Official Starter.

PATROL JUDGE

3060. The Patrol Judge must be fully trained in the duties and procedures of the position. The Patrol Judge shall:

A. Supervise the lead-outs from paddock to post.

B. Inspect the muzzles and blankets of the greyhounds in view of the Judges.

C. Assist the Official Starter in his/her duties upon the arrival of the lead-outs and greyhounds at the starting box.

LURE OPERATOR

3065. The Lure Operator shall:

A. Operate the lure in a smooth, uniform and consistent manner so as not to impede or otherwise disrupt the running of the race.

B. Ensure the distance between the lure and lead greyhound is consistent with the distance prescribed by the Judges.

C. Be held accountable by the Judges for the lure's operation.

3066. The location on the course and the prevailing weather conditions shall be taken into consideration by the Lure Operator when calculating the appropriate distance of the lure from the lead greyhound.

3067. The lure operator shall determine that the lure is in good operating condition and shall immediately report any circumstance that may prevent the normal, consistent operation of the lure to the Judges.

KENNEL MASTER

3068. The Kennel Master or his/her designee must receive the greyhounds from the trainer, one at a time, and ensure that each greyhound is placed in its lock-out crate and continue to ensure the security of the lock-out area from weigh-in time until the time when greyhounds are removed for the last race of a performance.

LEAD-OUTS

3070. Kennel owners, trainers, or their representatives will not be allowed to lead their greyhounds from the paddock to the post. The greyhounds shall be led from the paddock to the starting post by the Lead-Outs provided by each Franchise Holder for that purpose. Lead-Outs will be required to present a neat appearance and conduct themselves in an orderly manner and must be attired in a clean uniform provided by the Franchise Holder. The Lead-Out must put the greyhound in its proper box before each race and then retire to the assigned place. No Lead-Out will be permitted to have any ownership interest in any greyhound racing for said Franchise Holder. Lead- Outs shall be assigned to post position by the Paddock Judge by lot.

3071. Lead-Outs are prohibited from holding any conversation with the public or with one another, either in the paddock, enroute to the starting box, or while returning to the paddock.

OWNERS, TRAINERS, AND AUTHORIZED AGENTS

3080. Owners, authorized agents, and trainers will be expected to retire greyhounds off form, or in poor condition. Failure to do so will bring the suspension of said greyhounds for a period to be decided by the Presiding Judge.

3081. Owners, authorized agents, and trainers who make frivolous complaints may be liable for a fine, suspension, and/or ruled off.

3082. Trainers shall be required to notify the Board of Judges when they will be absent from the grounds during an entire performance, and shall designate, in writing, another licensee employed by his kennel who shall be responsible for any infraction of the Rules and Regulations.

LICENSES, REGISTRATIONS, AND FEES FOR PARTICIPANTS IN RACING

3090. All owners and/or trainers, agents, handlers, attendants, employees of a kennel or Franchise Holder, and all other persons, firms, Associations, or corporations

patronizing or participating in a racing meeting are subject to the Laws of the State of Arkansas and the Rules and Regulations promulgated by the Commission, and all such persons, firms, Associations, or corporations shall abide by said Laws and the Rules of the Commission. Further, said persons, firms, Associations, or corporations shall accept and abide by the decisions of the Racing Officials on any and all questions to which their authority extends.

3091. All applications for licenses and registrations to participate in racing shall be made to the Commission on forms supplied by it.

3092. The appropriate fee shall accompany each application for a license or registration, and such license shall expire on December 31 of the license period.

3093. No application for a license shall be approved, and no license will be issued, by the Commission unless satisfactory evidence is first presented that the applicant will participate in the Meeting for which the license is sought.

3094. All applications for owner's and trainer's licenses must be approved by the Director of Racing before any action on the application will be taken by the Commission. Before approving any application for a license, it shall be the duty of the Director of Racing to ascertain whether the applicant is qualified for the license.

3095. In considering each application for a license, the Director of Racing or the authorized representatives of the Commission may require the applicant, as well as his endorsers, to appear before them and show that said applicant is qualified to receive their recommendation for the granting of the license.

3096. Any person to whom a license has been issued by the Commission may have his/her license revoked due to corrupt, fraudulent, or improper practice or conduct on the part of the licensee.

All licenses granted shall be subject to the conditions set forth in the application and the Commission shall have full discretion to suspend or revoke the same for any infraction of the conditions of the application of license and the rules and regulations of the Commission.

3098. The following persons shall be required to secure a license from the Commission, and the annual fee shall be as follows:

Authorized Agent (for each owner represented)	$ 5.00
Kennel Name	$20.00
Owner	$20.00
Partnership or Corporation	$20.00
Trainer	$15.00
Employee	$ 3.00

Registration for each Greyhound $ 2.00

Any person employed on the grounds of a Franchise Holder by the Franchise Holder, or its lessees, or on any authorized off-track facility, either owned by the Franchise Holder, or by any individual, where racing greyhounds are housed, or by any concession licensed or permitted to operate on the grounds of the Franchise Holder must secure an Employee License.

Any person to whom a license has been issued by the Commission and whose duties require that such person have access to the paddock and/or the kennel compound shall have a photographic identification badge clearly visible on his person at all times while he s in these areas. Persons failing to have a photographic identification badge clearly visible when entering the paddock and/or kennel areas are subject to ejection from the grounds, a fine, suspension, or ruling off. The photographic identification badge shall be issued by the Commission in the form approved by the Commission. Any licensee who loses his photographic identification badge will be charged $5.00 to replace the badge. Any visitor to the paddock and/or kennel compound shall wear a visitor's pass in plain view. The visitor's pass shall be in the form approved by the Commission. Track security shall maintain a log of all persons to whom a visitor's pass has been issued.

3100. Any Franchise Holder, owner, trainer, or other licensee employing persons who fails to hire qualified persons for the job duties assigned to their employees or fails to adequately supervise, direct, or train the persons in their employment, may be fined, suspended, or ruled off.

A. No license shall be issued by the Arkansas Racing Commission to any person who:

1. Owns, operates, or has an interest in any bookmaking, pool selling, or other illegal enterprise, or who is connected with or associated with any person engaged in bookmaking, pool selling, or other illegal enterprise;
2. Has been convicted or found guilty of a crime, excluding minor traffic offenses, except that the said Commission may, in its discretion, grant a license where the applicant has been found guilty of a misdemeanor;
3. Is unqualified, by experience or otherwise, to perform the duties required of such applicant;
4. Is habitually intoxicated, or addicted to drugs;
5. Fails to disclose the true ownership or interest in any and all greyhounds as required by said application;
6. Makes misrepresentations or false statements in his application for a license;
7. Is engaged in any activity or practice which is undesirable or detrimental to the best interests of the public and the sport of racing; and/or,
8. Is under sixteen (16) years of age.

3102. It shall be the duty of each Franchise Holder to see that all owners, trainers, and authorized agents are licensed before any greyhound in which they hold an interest or

which they train is allowed to race, and it shall also be the duty of each Franchise Holder to see that the application for license is filed and the prescribed fee is paid to the Commission.

3103. The Commission and the Board of Judges may order that any person be tested for illegal drug usage at the time they apply for a license. The Commission and the Board of Judges may order that any licensee be tested for illegal drugs on a random or for cause basis. Licensees testing positive for illegal drugs are subject to fine, suspension, probation, or revocation of their license. Persons applying for a license who test positive for illegal drugs may, at the discretion of the Commission, be granted a probationary license, if they enroll in a drug treatment or counseling program approved by the Commission. Otherwise, such persons shall not be eligible for licensure.

KENNEL NAMES

3104. A person wishing to race greyhounds under a kennel name may do so by registering with the Commission and by paying a fee of $20.00 per year.

3105. A person can not register more than one kennel name at the same time, nor can they race under their real name if he/she has registered a kennel name.

3106. A kennel name may be changed at any time by registering a new name and paying the fee charged for the original registration. A person can not register as his/her kennel name a name similar to:

A. One already registered by another person.
B. One which is the name of another owner.
C. One which is the name of any prominent person, other than the registrant.

3107. All registrations of kennel names by the National Greyhound Association, and all recognized by State Racing Commissions shall be respected in Arkansas.

3108. In applying to race under a kennel name, the applicant must disclose the identity or identities of the owners associated with the kennel name. Any partnership or corporation associated with a kennel name shall comply with the Rules governing partnerships or corporations and the usual fees of such partnerships or corporations shall be paid in addition to the fees for the registration of the name.

3109. Changes in identities of owners associated with the kennel name shall be reported immediately to and approval obtained from the Commission.

3110. Any person may abandon a registered kennel name at any time after he has given written notice to the Commission and the Franchise Holder.

3111. No trainer shall have any ownership interest in a greyhound of which he/she is not the trainer located at the same track.

3112. A corporate name shall be considered a kennel name for the purposes of these Rules, but the Commission may refuse any corporation the privilege of registering a kennel name.

KENNEL OWNERS

3115. Each kennel owner shall submit a registration paper for each of their greyhounds with the Racing Secretary giving the name, color, sex, age, breeding, and characteristic markings, scars, and other identification features not above named. Each Franchise Holder shall keep and maintain during its meeting the registration papers for each greyhound containing the above information.

3116. If any kennel owner changes trainers, he/she must notify the Racing Secretary and cause the trainer's name to be changed on the kennel owner's roster. No greyhound will be eligible for entry until transfer has been made.

PARTNERSHIPS

3120. Each and every partnership must be registered with the Commission.

3121. Partnership papers shall, among other things, set forth the following:

A. The name and address of each and every person having any interest in the greyhound involved, including husband and wife when listed on registration papers as "Mr. and/or Mrs." And racing on the program as such.

B. The relative proportions of such interest.

C. To whom the winnings are payable.

D. The terms of any contingency, lease, or any other arrangement concerning the greyhound.

3122. All partnership papers must be signed by all of the parties or by their authorized agents.

3123. In cases of emergency, authority to sign declarations of partnership may be given to the Racing Secretary by a telegram promptly confirmed in writing.

3124. If the Racing Secretary is unable to communicate with all proper parties in an attempt to obtain a declaration of partnership, he/she may authorize a greyhound involved in a partnership to enter and start in a stake race without a declaration of partnership.

3125. Any alteration in a recorded partnership registration, to be effective, must be reported in writing to the Commission and signed by all the partners.

3126. All the parties to a partnership, and each of them, shall be jointly and severally liable for all stakes, forfeits, and other obligations.

CORPORATE OWNERS

3130 .All corporations having any interest in a greyhound shall file a kennel name application with the Racing Secretary. At the time of filing application for a kennel name, a statement in duplicate setting forth the names and addresses of all officers,

directors, and stockholders of said corporation, together with the amount of the respective holdings of each stockholder and a statement as to whether or not said stock is paid in full, and including the designation of an authorized agent or agents of said corporation. The said statements shall be signed by the president of the corporation attested to by its secretary and the corporate seal attached. A copy of said statement shall be transmitted promptly to the office of the Arkansas State Racing Commission by the Racing Secretary.

AUTHORIZED AGENTS

3140. Each authorized agent must file an application for a license for each owner represented setting forth the agent's authority to act for the owner including any authority the agent may have to collect money from the Franchise Holder. A copy of the agent's agreement with the owner, if in writing, shall be attached to the application.

3141. A copy of the application and written agreement, if any, shall be filed permanently with the Racing Secretary.

3142. An Authorized Agent may appoint a subagent only when authorized in writing by the owner and written notice of such appointment is given to the Commission.

3143. Any changes in the agent's authority must be in writing and filed as above provided.

3144. If an agent represents more than one owner, a separate application shall be filed for each owner.

3145. The term of the license shall be the calendar year unless the agent's appointment is revoked by the owner, or the license is revoked by the Racing Commission.

3146. The revocation of an agent's authority shall be filed in writing with the Commission and with the Racing Secretary.

ARKANSAS BREEDERS PROGRAM

3160. ***Arkansas Breeders Program.*** "Registered Arkansas-bred" greyhound registration and eligibility to participate in the Arkansas Racing Commission Purse and Awards Fund shall be determined and made in accordance with registration rules adopted by the Arkansas Greyhound Breeding Development Program and approved by the Commission.

3161. ***Distribution of Arkansas Breeders Purse and Award Funds.***

(a) The Arkansas Racing Commission shall pay 20% of all Funds deposited in the Arkansas Breeders Purse and Award Fund for the respective month to the Arkansas Greyhound Owners and Breeders Association to promote the development of greyhound breeding in the State of Arkansas and pay for the cost of administering the program.

(b) By the 5th of the month of the appropriate calendar quarter (March, June, September, December), the designated representative for the Arkansas Greyhound Owners and Breeders Association shall submit/forward to the Arkansas Racing Commission a voucher request, on forms approved by the Arkansas Racing Commission, requesting that monies be distributed to the appropriate parties pursuant to the above mentioned formula.

(c) If the Arkansas Racing Commission determines that all the documentation is proper and correct, the Arkansas Racing Commission shall distribute monies from the Arkansas Breeders Purse and Award Fund to the appropriate parties by the 20th of the month of the appropriate calendar quarter.

GREYHOUND REGISTRATION

3175. No greyhound shall be entered or permitted to race or to be schooled at any racetrack operated or licensed under the jurisdiction of the Commission unless properly registered with the National Greyhound Association, which is the recognized official breeding registry of all greyhounds. Any greyhound whose lack of registration with the approved registry is attributable to arbitrary, discriminatory or other unreasonable action or inaction on the part of such agencies may be certified by the Commission. A greyhound may not be entered for racing or schooling at any official track unless it has been tattooed and registered in the NGA Stud Book and the last six performance lines, if applicable, and the racing history of the greyhound are made available to the Racing Secretary.

3177. Any transfers of any title to or interest in greyhounds schooled, entered, or racing at any racetrack under the jurisdiction of the Commission shall be registered with the National Greyhound Association. No title in any greyhound will be recognized by the Commission until such title shall be evidenced by written instrument duly filed with the National Greyhound Association.

GREYHOUND SCHOOLING

3180. Greyhounds must be properly officially schooled in the presence of the Presiding Judge and the Commission Judge at least one time at the track where they are to race, provided that the greyhound has run an official race at another recognized track

and a recognized meeting within 30 calendar days preceding its entry for official schooling. The track shall carry the past performance lines from the previous four races in the Official Racing Program. A greyhound racing at approximately 5/16 mile distance shall be schooled at that distance. Each schooling race should consist of at least 6 greyhounds. However, if conditions create a hardship, less than 6 greyhounds may be schooled with the permission of the Commission Judge. Any greyhound that has raced at a track franchised by the Arkansas Racing Commission that has not been entered for a period of 6 racing days, and/or has not raced for a period of 10 racing days or more, shall be schooled at least once at its racing weight before being eligible for entry. All greyhounds in schooling races must be raced at their established racing weight and started from the box wearing blankets. No hand schooling will be considered official.

3181. Any greyhound can be ordered on the schooling list by the Presiding Judge at any time. Any greyhound ordered placed on the schooling list by the Presiding Judge must be schooled officially and satisfactorily before being allowed to enter into an official race.

ENTRIES

3185. Every person who enters a greyhound, or in any way participates in any race or racing under these rules, thereby obligates himself/herself to accept these rules upon all questions relating thereto.

3187. Every entry in an official race must be in the name of the person having ownership in the greyhound, and must be made in writing, or by telegram to be immediately confirmed in writing, by the kennel owner and/or trainer, or by an authorized agent, and the full name of every person having an ownership in a greyhound, or accepting the trainer's percentage, or having any-Interest in its winnings, must be licensed by the Commission before it starts at any meeting, as must be every change in such ownership or interest, thereafter made during that meeting. Any failure to comply with this rule shall be punishable by a fine or suspension, or both, and if an objection because of such default is duly made and sustained against a greyhound that has run in a race, its winnings in that race shall be forfeited to the greyhound that finished next behind it.

3190. ***DOUBLE ENTRIES:***

1. Double entries will be permitted in all races. There shall be at least six (6) greyhounds of different ownership in all overnight races.
2. Only one (1) double entry will be allowed in any sprint race.
3. No more than two (2) double entries will be allowed in any DISTANCE RACE, but NO doubles will be used in a Grade "T" race.

4. Double entries will not be used until all other active greyhounds that had raced on a given date in that particular grade have already been drawn into a race.

5. Double entries will be used only when that kennel is assured of having at least one (1) greyhound in all races of that particular grade on that performance.

6 The above provisions shall not apply to stakes races, feature races, and special events.

SCRATCHES

3195. A greyhound that is scratched from an official race will be required to be officially schooled before being allowed to be entered for official racing.

A. Any scratches that occur that are the result of violation of a racing rule must carry a penalty or suspension for said greyhound for a period of six (6) racing days. Greyhounds will be allowed to be officially schooled during this suspension period.

B. A greyhound that is scratched for sickness or injury must be examined by the Commission Veterinarian. The Commission Veterinarian shall determine whether or not the greyhound will be placed on the Commission Veterinarian's List. The Commission Veterinarian shall examine said greyhound and will notify the Racing Secretary and Presiding Judge that the greyhound is again fit to compete and be entered for official racing if said greyhound qualifies under the time period set forth in Rule 3180. If the greyhound meets the time requirements of Rule 31 80, the greyhound will NOT be required to school officially.

C. A greyhound that is scratched by the Commission Veterinarian due to an injury or sickness in the paddock area after the official weigh-in will be placed on the Commission Veterinarian's List and may NOT be required to school officially if said greyhound meets the time requirements of Rule 3180.

D. A greyhound that is scratched as a result of an error by the Racing Office personnel will NOT be required to school officially before being re-entered for official racing.

E. The Board of Judges may waive the official schooling requirements for just cause.

3196. If three (3) or more greyhounds are scratched in any one race, the Presiding Judge may cancel said race.

3197. All declarations in the overnight entries must be made with the Racing Secretary of the Franchise Holder before the draw has been closed. Any greyhound that

does not compete once the draw has been closed and made official will be considered as a scratch.

POST POSITION

3200. The drawing for entries and post positions shall be held not less than twenty-four (24) hours before the start of each performance, and supervised by the Commissioner Supervisor or Commission Judge and Racing Secretary. Post positions must be drawn prior to all official races.

A. All post positions for official purse races shall be drawn by random lot. With permission from the Judges or the Racing Commission, stakes or features races may be exempt from this rule.

B. In the event there is an inadequate number of greyhounds in the "left-over" pool to complete a draw for a given performance at the scheduled time, the following steps will be taken:

1. The performance will be constructed (prepared for drawing) using the available greyhounds until the "left-over" pool is exhausted.
2. The draw will be entered, using the available greyhounds, with blank posts left at random to be filled later when eligible greyhounds become available.
3. Once a pool of greyhounds becomes available (after official schooling or official races from the next scheduled performance have been run), the blank posts are filled by selecting greyhounds at random from the pool and assigning the next available post, beginning with the post closest to one (#1) and going down through eight (#8), to the greyhound(s) selected.
4. This is repeated until all blank posts have been filled. The draw is then re-proofed and the draw is considered complete.

PROGRAM

3205. A Program printed for each racing day shall be compiled by the Franchise Holder which shall contain the names of the greyhounds that are to run in each of the races for that day. These names are to appear in the order of their post positions, the said post position to be designated by numerals placed at the left and in line with the names of the greyhounds in each race, and shall also be prominently displayed on each greyhound. The printed program shall contain all the information required by these rules.

3206. The Program must carry at least two (2) performances of said greyhounds at the track they are to be raced, with the exception of greyhounds qualifying under Rule #3180.

3207. All past performances as shown in the Program shall be in the order of the races or official schooling races held, the last performance appearing on the first line, etc.

3208. The Program must also contain name, color, sex, date and location of most recent races, age, established racing weight, distance, time, track record, track weight, post position and finish, name of owner and trainer, number of starts in official races and number of times finishing first, second and third, and such other information as will enable the public to properly judge the greyhound's ability.

3209. Each Franchise Holder shall print in heavy type, in conspicuous place in its printed program that the Daily Double Rules, 5010 and 5012; the Quiniela Pool Rule, 5020; the Trifecta Rule, 5030; and the Big Q Rule 5025 are posted in the mutuel areas and available at the information window, Racing Commission office, and General office.
3210. In case the name of a greyhound is changed, the new name, together with the former name, shall be published in the official entries and Program until after the greyhound has started five (5) times. The violation of any part of this Rule may be sufficient cause for a fine, suspension, or both, at the discretion of the Board of Judges.

WEIGHTS AND WEIGHING

3220. A. All greyhounds shall be weighed in at the designated time preceding the first race of the day. All greyhounds must weigh-in with wire or plastic muzzle, collar, lead strap, and approved identification tag.

B. All greyhounds must be weighed not less than one (1) hour and not more than two and one-half (2½) hours before the time of the first race of the performance unless a later time has been designated or approved by the Commission.

C. The weigh-in time shall be limited to a 30-minute period unless an extension has been granted by the Commission Judge or approved by the Commission.

3221. At the first official schooling of each greyhound, the trainer and/or owner must establish the racing weight ("set weight") for each greyhound.

A. At first weigh-in time (pre-post weight), should there be a variation of more than one and one-half (1½) pounds either way from its established racing weight ("set weight"), the Presiding Judge or Commission Judge must order said greyhound scratched if it cannot meet the weight requirements by the close of time for the weigh-in.

B. At the "post weight" weighing, any greyhound that loses two (2) or more pounds from its "pre-post weight" while in the lock-out kennels may be allowed to race if, in the opinion of the Commission Veterinarian, such loss of weight does not impair its racing condition.

C. The Presiding Judge shall keep a greyhound "weight loser" list updated and available at all times, and shall consult with the Commission Veterinarian on a regular basis when updating said list.

3222. The established racing weight may be changed from time to time on written request and by written consent of the Paddock Judge or Scale Clerk, provided said change is made four (4) days before the greyhound is allowed to race at new weight. All greyhounds must be schooled at least once at new racing weight before being eligible for entry; if, however, the change of weight does not exceed one (1) pound, the changed weight may be listed without the greyhound being schooled.

3223. The Presiding Judge shall have the privilege of ordering the weighing of a greyhound entered in a race at any period from the time it is entered in a race until post time.

3224. Immediately after being weighed in (pre-post weight), the greyhounds shall be placed in lock-out kennels under the supervision of the Paddock Judge, and no owner or other person excepting the Paddock Judge, Commission Veterinarian, Kennel Master, Scale Clerk, Lead-Outs under the supervision of the Paddock Judge, Presiding Judge, or Commission's representative, shall be allowed in or near the lock-out kennel.

3225. Every trainer who does not have his/her greyhound(s) at the weighing-in room promptly at the time appointed shall have his/her greyhound(s) scratched and, in addition, at the discretion of the Board of Judges, the trainer may be liable for a fine.

THE RACE

3230. All starters must wear the regulation muzzle and blanket. Muzzles and blankets must be carefully examined in the paddock by the Paddock Judge before the greyhounds leave for the starting box, and again be examined before the Presiding Judge, the Commission Judge, and the Patrol Judge.

3231. All greyhounds must be exhibited in the show paddock before post time of the race in which they are entered.

3232. After the greyhounds leave the paddock on their way to the starting box, and until the race has been completed, all persons, except the Racing Officials and necessary attendants, shall be excluded from the course.

3233. No race shall be called official unless the lure is in advance of the greyhounds at all times during the race. If at any time during the race any greyhound or greyhounds touch or overtake the lure, the Presiding Judge and Commission Judge shall declare the race a "No Race" and all wagers shall be refunded. However, when a greyhound bolts the course or runs in the opposite direction during the running of a race

and in doing so, in the opinion of the Presiding Judge and Commission Judge, does not interfere with the race in any manner, the Presiding Judge and Commission Judge shall declare the race "Official." The decision of the Presiding Judge and Commission Judge shall be final.

3234. If a race is marred by jams, spills, or racing circumstances other than accidents to the machinery while a race is being run and three (3) or more greyhounds finish, the Presiding Judge and Commission Judge shall declare the race finished. If less than three (3) greyhounds finish, the Presiding Judge and Commission Judge shall declare the race a "No Race" and all wagers shall be refunded.

3235. If a greyhound bolts the course, it shall forfeit all rights in the race. The Presiding Judge and Commission Judge shall declare the race the same as if it were not a contender.

3236. All greyhounds ruled off of one track in Arkansas for fighting or quitting shall be ruled off all tracks under the jurisdiction of the Arkansas State Racing Commission and will not race again in Arkansas except with written consent of the Commission.

DEAD HEATS

3240. When two (2) or more greyhounds run a dead heat for first place, all prizes which first and second greyhounds would have been entitled, shall be divided equally between them; and this applies in dividing prizes whatever the number of greyhounds running in a dead heat. All greyhounds shall be deemed to be winners. Likewise, when two (2) or more greyhounds run a dead heat for second place they shall divide the second and third moneys.

3241 .When a dead heat is run for second place and the winner of the race is subsequently disqualified, the greyhounds which ran for the dead heat shall be deemed to have a dead heat for first place.

POSTPONEMENT AND CANCELLATION OF RACES

3250. If the whole or a part of a racing program is canceled, any race involved may be rescheduled at the discretion of the Commission.

3251. If the whole or a part of the racing program is canceled, any race involved may be postponed or declared off.

3252. Public notice shall be given at the earliest practicable time if a published race is declared off.

3253. No race which has closed with sufficient entries shall be declared off except by the Board of Judges.

3254. In case of fire or accident, or for other reasons, after due public notice, all races or stakes may be postponed or declared off, and when so declared off, all subscriptions and declaration money paid must be refunded.

RULES AND REGULATIONS
Governing
GREYHOUND RACING
In
ARKANSAS

VOLUME II

Association of Racing Commissioner's International Drug Classification

2008 Edition

ARKANSAS STATE RACING COMMISSION

Association of Racing Commissioners International, Inc.
Drug Testing Standards and Practices Program
Model Rules Guidelines

Uniform Classification Guidelines for Foreign Substances and Recommended Penalties and Model Rule

Revised August 2008

Association of Racing Commissioners International, Inc.
Uniform Classification Guidelines for Foreign Substances

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Preamble to the Uniform Classification Guidelines of Foreign Substances

The Preamble to the Uniform Classification Guidelines was approved by the RCI Drug Testing and Quality Assurance Program Committee (now the Drug Testing Standards and Practices Program Committee) on August 26, 1991. Minor revisions to the Preamble were made by the Drug Classification subcommittee (now the Veterinary Pharmacologists Subcommittee) on September 3, 1991.

"The Uniform Classification Guidelines printed on the following pages are intended to assist stewards, hearing officers and racing commissioners in evaluating the seriousness of alleged violations of medication and prohibited substance rules in racing jurisdictions. Practicing equine veterinarians, state veterinarians, and equine pharmacologists are available and should be consulted to explain the pharmacological effects of the drugs listed in each class prior to any decisions with respect to penalities to be imposed. The ranking of drugs is based on their pharmacology, their ability to influence the outcome of a race, whether or not they have legitimate therapeutic uses in the racing horse, or other evidence that they may be used improperly. These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses. The facts of each case are always different and there may be mitigating circumstances which should always be considered. These drug classifications will be reviewed frequently and new drugs will be added when appropriate."

Notes Regarding Classification Guidelines

- Where the use of a drug is specifically permitted by a jurisdiction, then the jurisdiction's rule supersedes these penalty guidelines.
- Regulators should be aware that a laboratory report may identify a drug only by the name of its metabolite. The metabolite might not be listed here, but the parent compound may be.
- These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses.
- The facts of each case are different and there may be mitigating circumstances that should be considered.
- These drug classifications will be reviewed periodically. New drugs will be added or some drugs may be reclassified when appropriate.

Classification Criteria

The RCI Drug Classification Scheme is based on 1) pharmacology, 2) drug use patterns, and 3) the appropriateness of a drug for use in the racing horse. Categorization is decided using the following general guidelines:

- ***Pharmacology***. Drugs that are known to be potent stimulants or depressants are placed in higher classes, while those that have (or would be expected to have) little effect on the outcome of a race are placed in lower classes.

- ***Drug Use Patterns***. Some consideration is given to placement of drugs based on practical experience with their use and the nature of positive tests. For example, procaine positives have in the past been associated primarily with the administration of procaine penicillin, and this has been taken into consideration in the placement of procaine into Class 3 instead of Class 2 with other injectable local anesthetics.

- ***Appropriateness of Drug Use***. Drugs that clearly are intended for use in equine therapeutics are placed in lower classes. Drugs that clearly are not intended for use in the horse are placed in higher classes, particularly if they might affect the outcome of a race. Drugs that are recognized as legitimately useful in equine therapeutics but could affect the outcome of a race are placed in the middle or higher classes.

The list includes most drugs that have been reported as detected by racing authority laboratories in the United States, Canada, the United Kingdom and other Association of Official Racing Chemists (AORC) laboratories, but does not include those which would seem to have no effect on the performance of the horse or drug detectability. For example, it does not include antibiotics, sulfonamides, vitamins, anthelmintics, or pangamic acid, all of which have been reported.

The list contains many drugs that have never been reported as detected. Usually, these are representatives of chemical classes that have the potential for producing an effect, and in many cases, for which at least one drug in that chemical class has been reported.

Most drugs have numerous effects, and each was judged on an individual basis. There are instances where there is a rather fine distinction between drugs in one category and those in the next. This is a reflection of a nearly continuous spectrum of effects from the most innocuous drug on the list to the drug that is the most offensive.

Classification Definitions

- **Class 1**: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Though not used as therapeutic agents, all DEA Schedule 1 agents are included in Class 1 because they are potent stimulant or depressant substances with psychotropic and often habituative actions.

- **Class 2**: Drugs that have a high potential to affect performance, but less of a potential than drugs in Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse. Drugs in this class include: psychotropic drugs, certain nervous system and cardiovascular system stimulants, depressants, and neuromuscular blocking agents. Injectable local anesthetics are included in this class because of their high potential for abuse as nerve blocking agents.

- **Class 3**: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2. Drugs in this class include bronchodilators, anabolic steroids and other drugs with primary effects on the autonomic nervous system, procaine, antihistamines with sedative properties and the high-ceiling diuretics.

- **Class 4**: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3. Drugs in this class includes less potent diuretics; corticosteroids; antihistamines and skeletal muscle relaxants without prominent central nervous system (CNS) effects; expectorants and mucolytics; hemostatics; cardiac glycosides and anti-arrhythmics; topical anesthetics; antidiarrheals and mild analgesics. This class also includes the non-steroidal anti-inflammatory drugs (NSAIDs), at concentrations greater than established limits.

- **Class 5**: This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as dimethylsulfoxide (DMSO) and other medications as determined by the regulatory bodies. Included specifically are agents that have very localized actions only, such as anti-ulcer drugs, and certain anti-allergic drugs. The anticoagulant drugs are also included.

- **Prohibited Practices:**

A) The possession and/or use of a drug, substance or medication, specified below, on the premises of a facility under the jurisdiction of the regulatory body for which a recognized analytical method has not been developed to detect and confirm the administration of such substance; or the use of which may endanger the health and welfare of the horse or endanger the safety of the rider or driver; or the use of which may adversely affect the integrity of racing:

1) Erythropoietin
2) Darbepoietin
3) Oxyglobin
4) Hemopure

B) The possession and/or use of a drug, substance, or medication on the premises of a facility under the jurisdiction of the regulatory body that has not been approved by the United States Food and Drug Administration (FDA) for use in the United States.

C) The practice, administration, or application of a treatment, procedure, therapy or method identified below, which is performed on the premises of a facility under jurisdiction of a regulatory body and which may endanger the health and welfare of the horse or endanger the safety of the rider or driver, or the use of which may adversely affect the integrity of racing:

Drug Classification Scheme

- **Class 1**: Opiates, opium derivatives, synthetic opioids, psychoactive drugs, amphetamines, and all DEA Schedule I substances (see http://www.usdoj.gov/dea/pubs/scheduling.html), and many DEA Schedule II drugs. Also found in this class are drugs that are potent stimulants of the CNS. Drugs in this class have no generally accepted medical use in the racing horse and their pharmacologic potential for altering the performance of a racing horse is very high.

- **Class 2**: Drugs placed in this category have a high potential for affecting the outcome of a race. Most are not generally accepted as therapeutic agents in the racing horse. Many are products intended to alter consciousness or the psychic state of humans, and have no approved or indicated use in the horse. Some, such as injectable local anesthetics, have legitimate use in equine medicine, but should not be found in a racing horse. The following groups of drugs are placed in this class:

  A. Opiate partial agonists, or agonist-antagonists.
  B. Non-opiate psychotropic drugs. These drugs may have stimulant, depressant, analgesic or neuroleptic effects.
  C. Miscellaneous drugs, which might have a stimulant effect on the CNS.
  D. Drugs with prominent CNS depressant action.
  E. Anti-depressant and antipsychotic drugs, with or without prominent CNS stimulatory or depressant effects.
  F. Muscle blocking drugs - those that have a direct neuromuscular blocking action.
  G. Local anesthetics that have a reasonable potential for use as nerve-blocking agents (except procaine).
  H. Snake venoms and other biologic substances that may be used as nerve-blocking agents.

- **Class 3**: Drugs placed in this class may or may not have an accepted therapeutic use in the horse. Many are drugs that affect the cardiovascular, pulmonary and autonomic nervous systems. They all have the potential of affecting the performance of a racing horse. The following groups of drugs are placed in this class:

  A. Drugs affecting the autonomic nervous system that do not have prominent CNS effects, but which do have prominent cardiovascular or respiratory system effects. Bronchodilators are included in this class.
  B. A local anesthetic that has nerve-blocking potential but also has a high potential for producing urine residue levels from a method of use not related to the anesthetic effect of the drug (procaine).
  C. Miscellaneous drugs with mild sedative action, such as the sleep-inducing antihistamines.
  D. Primary vasodilating/hypotensive agents.
  E. Potent diuretics affecting renal function and body fluid composition.
  F. Anabolic and/or androgenic steroids and other drugs.

- **Class 4**: Drugs in this category comprise primarily therapeutic medications routinely used in racehorses. These may influence performance, but generally have a more limited ability to do so. Groups of drugs assigned to this category include the following:

  A. Non-opiate drugs that have a mild central antipyretic effect.
  B. Drugs affecting the autonomic nervous system that do not have prominent CNS, cardiovascular, or respiratory effects:
     1. Drugs used solely as topical vasoconstrictors or decongestants.
     2. Drugs used as gastrointestinal antispasmodics.
     3. Drugs used to void the urinary bladder.
     4. Drugs with a major effect on CNS vasculature or smooth muscle of visceral organs.
  C. Antihistamines that do not have a significant CNS depressant effect. This does not include the H2 blocking agents, which are in Class 5.
  D. Mineralocorticoid drugs.
  E. Skeletal muscle relaxants.
  F. Anti-inflammatory drugs. These drugs may reduce pain as a consequence of their anti-inflammatory action.
     1. Non-steroidal anti-inflammatory drugs (NSAIDs). (Aspirin-like drugs).
     2. Corticosteroids (glucocorticoids).
     3. Miscellaneous anti-inflammatory agents.
  G. Less potent diuretics.
  H. Cardiac glycosides and antiarrhythmic agents.
     1. Cardiac glycosides.
     2. Antiarrhythmic agents (exclusive of lidocaine, bretylium, and propranolol).
     3. Miscellaneous cardiotonic drugs.
  I. Topical Anesthetics - agents not available in injectable formulations.
  J. Antidiarrheal drugs.
  K. Miscellaneous drugs:
     1. Expectorants with little or no other pharmacologic action.
     2. Stomachics.
     3. Mucolytic agents.

- **Class 5**: Drugs in this category are therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents. Included specifically are agents that have very localized actions only, such as anti-ulcer drugs, and certain antiallergic drugs. The anticoagulant drugs are also included.

Alphabetical List

Drug	Trade Name(s)	Class
Acebutolol	Sectral	3
Acecarbromal		2
Acenocoumarol		5
Acepromazine	Atrovet, Notensil, PromAce®	3
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4
Acetanilid		4
Acetazolamide	Diamox, Vetamox	4
Acetophenazine	Tindal	2
Acetophenetidin (Phenacetin)		4
Acetylsalicylic acid (Aspirin)		4
Aclomethasone	Aclovate	4
Adinazolam		2
Adrenochrome monosemicarbazone salicylate		4
Albuterol (Salbutamol)	Proventil, Ventolin	3
Alclofenac		2
Alcuronium	Alloferin	2
Aldosterone	Aldocortin, Electrocortin	4
Alfentanil	Alfenta	1
Almotriptan	Axert	3
Alphaprodine	Nisentil	2
Alpidem	Anaxyl	2
Alprazolam	Xanax	2
Alprenolol		3
Althesin	Saffan	2
Ambenonium	Mytelase, Myeuran	3
Ambroxol	Ambril, etc.	4
Amcinonide	Cyclocort	4
Amiloride	Moduretic; Midamor	4
Aminocaproic acid	Amicar, Caprocid	4
Amiodarone		4
2-Aminoheptane	Tuamine	4
Aminophylline	Aminophyllin, etc.	3
Aminopyrine		4
Amisometradine	Rolictron	4
Amisulpride	Solian	2
Amitraz	Mitaban	3
Amitriptyline	Elavil, Amitril, Endep	2
Amlodipine	Ammivin, Norvasc	4
Amobarbital	Amytal	2
Amoxapine	Asendin	2
Amperozide		2
Amphetamine		1
Amrinone		4
Amyl nitrite		3
Anileridine	Leritine	1
Anilopam	Anisine	2
Anisindione		5
Anisotropine	Valpin	4
Antipyrine		4
Apazone (Azapropazone)	Rheumox	4
Apomorphine		1
Aprindine		4
Aprobarbital	Alurate	2
Arecoline		3
Arformoterol		3
Articaine	Septocaine; Ultracaine, etc.	2
Atenolol	Tenormin	3
Atomoxetine	Strattera	2
Atracurium	Tracrium	2
Atropine		3

Alphabetical List

Drug	Trade Name(s)	Class
Azacylonol	Frenque	2
Azaperone	Stresnil, Suicalm, Fentaz (with Fentanyl)	2
Baclofen	Lioresal	4
Barbital	Veronal	2
Barbiturates		2
Beclomethasone	Propaderm	4
Bemegride	Megimide, Mikedimide	2
Benazeprilat, Benazepril and MC-tab	Lotrel, Lotensin	3
Bendroflumethiazide	Naturetin	4
Benoxaprofen		2
Benoxinate	Dorsacaine	4
Benperidol	Anquil	2
Bentazepam	Tiadipona	2
Benzactizine	Deprol, Bronchodiletten	2
Benzocaine		4
Benzoctamine		2
Benzodiazepines		2
Benzphetamine	Didrex	2
Benzthiazide		4
Benztropine	Cogentin	2
Benzylpiperazine (BZP)		1
Bepridil	Bepadin	4
Betamethasone	Betasone, etc.	4
Betaxolol	Kerlone	3
Bethanechol	Urecholine, Duvoid	4
Bethanidine	Esbatal	3
Biperiden	Akineton	3
Biriperone		2
Bisoprolol	Zebeta, Bisobloc, etc.	3
Bitolterol	Effectin	3

Drug	Trade Name(s)	Class
Bolasterone		3
Boldenone	Equipoise	3
Boldione		3
Bretylium	Bretylol	3
Brimonidine	Alphagan	2
Bromazepam	Lexotan, Lectopam	2
Bromfenac	Duract	3
Bromhexine	Oletor, etc.	4
Bromisovalum	Diffucord, etc.	2
Bromocriptine	Parlodel	2
Bromodiphenhydramine		3
Bromperidol	Bromidol	2
Brompheniramine	Dimetane, Disomer	4
Brotizolam	Brotocol	2
Budesonide	Pulmacort, Rhinocort	4
Bufexamac		3
Bumetanide	Bumex	3
Bupivacaine	Marcaine	2
Buprenorphine	Temgesic	2
Bupropion	Wellbutrin	2
Buspirone	Buspar	2
Butabarbital (Secbutobarbitone)	Butacaps, Butasol, etc.	2
Butacaine	Butyn	4
Butalbital (Talbutal)	Fiorinal	2
Butamben (butyl aminobenzoate)	Butesin	4
Butanilicaine	Hostacain	2
Butaperazine	Repoise	2
Butoctamide	Listomin	2
Butorphanol	Stadol, Torbugesic	3
Butoxycaine	Stadacain	4
N-Butylscopolamine		3

Alphabetical List

Drug	Trade Name(s)	Class
Caffeine		2
Calusterone	Methosorb	3
Camazepam	Paxor	2
Camphor		4
Candesartan	Atcand	3
Captodiame	Covatine	2
Captopril	Capolen	3
Carazolol	Carbacel, Conducton	3
Carbachol	Lentin, Doryl	3
Carbamezapine	Tegretol	3
Carbidopa + levodopa	Sinemet	2
Carbinoxamine	Clistin	3
Carbromol	Mifudorm	2
Carfentanil		1
Carisoprodol	Rela, Soma	2
Carphenazine	Proketazine	2
Carpipramine	Prazinil	2
Carprofen	Rimadyl	4
Carteolol	Cartrol	3
Carticaine (see articaine)	Septocaine; Ultracaine, etc.	2
Carvedilol	Coreg	3
Cathinone (khat, kat, qat, quat, chat, catha, Abyssinian tea, African tea)		1
Celecoxib	Celebrex	3
Cetirizine	Zyrtec	4
Chloral betaine	Beta-Chlor	2
Chloral hydrate	Nactec, Oridrate, etc.	2
Chloraldehyde (chloral)		2
Chloralose (Alpha-Chloralose)		2
Chlordiazepoxide	Librium	2
Chlorhexidol		2
Chlormerodrin	Neohydrin	4
Chlormezanone	Trancopal	2
Chloroform		2
Chlorophenesin	Maolate	4
Chloroprocaine	Nesacaine	2
Chloroquine	Avloclor	4
Chlorothiazide	Diuril	4
Chlorpheniramine	Chlortriemton, etc.	4
Chlorproethazine	Newiplege	2
Chlorpromazine	Thorazine, Largactil	2
Chlorprothixene	Taractan	2
Chlorthalidone	Hydroton	4
Chlorzoxazone	Paraflex	4
Ciclesonide		4
Cilostazol	Pletal	5
Cimeterol		3
Cimetidine	Tagamet	5
Cinchocaine	Nupercaine	4
Citalopram	Celex	2
Clanobutin		4
Clemastine	Tavist	3
Clenbuterol	Ventipulmin	3
Clibucaine	Batrax	4
Clidinium	Quarezan, Clindex, etc.	3
Clobazam	Urbanyl	2
Clobetasol	Temovate	4
Clocapramine		2
Clocortolone	Cloderm	4
Clofenamide		4
Clomethiazole (Chlormethiazole)		2

Alphabetical List

Drug	Trade Name(s)	Class
Clomipramine	Anafranil	2
Clonazepam	Klonopin	2
Clonidine	Catapres	3
Clorazepate	Tranxene	2
Clormecaine	Placacid	4
Clostebol		3
Clothiapine	Entermin	2
Clotiazepam	Trecalmo, Rize	2
Cloxazolam	Enadel, Sepazon, Tolestan	2
Clozapine	Clozaril, Leponex	2
a-Cobratoxin		1
Cocaine		1
Codeine		1
Colchicine		4
Conorphone		2
Corticaine	Ultracain	2
Cortisone	Cortone, etc.	4
Cromolyn	Intel	5
Crotetamide		2
Cyamemazine	Tercian	2
Cyclandelate	Cyclospasmol	3
Cyclizine	Merazine	4
Cyclobarbital	Phanodorm	2
Cyclobenzaprine	Flexeril	4
Cyclomethylcaine	Surfacaine	4
Cyclothiazide	Anhydron, Renazide	4
Cycrimine	Pagitane	3
Cyproheptadine	Periactin	4
Danazol	Danocrine	3
Dantrolene	Dantrium	4
Darbepoetin	Aranesp	2

Drug	Trade Name(s)	Class
Decamethonium	Syncurine	2
Dehydrochloromethyltestsosterone		3
Dembroxol (Dembrexine)	Sputolysin	4
Demoxepam		2
Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4
Dera∆coxib	Deremaxx	3
Desipramine	Norpromine, Pertofrane	2
Desonite	Des Owen	4
Desoximetasone	Topicort	4
Desoxymethyltestosterone		3
Detomidine	Dormosedan	3
Dexamethasone	Azium, etc.	4
Dextromethorphan		4
Dextromoramide	Palfium, Narcolo	1
Dextropropoxyphene	Darvon	3
Dezocine	Dalgan	2
Diamorphine		1
Diazepam	Valium	2
Diazoxide	Proglycem	3
Dibucaine	Nupercainal, Cinchocaine	4
Dichloralphenazone	Febenol, Isocom	2
Dichlorphenamide	Daramide	4
Diclofenac	Voltaren, Voltarol	4
Dicumarol	Dicumarol	5
Diethylpropion	Tepanil, etc.	2
Diethylthiambutene	Themalon	2
Diflorasone	Florone, Maxiflor	4
Diflucortolone	Flu-Cortinest, etc.	4
Diflunisal		3
Digitoxin	Crystodigin	4
Digoxin	Lanoxin	4

Alphabetical List

Drug	Trade Name(s)	Class
Dihydrocodeine	Parcodin	2
Dihydroergotamine		4
Dilorazepam	Briantum	2
Diltiazem	Cardizem	4
Dimefline		3
Dimethisoquin	Quotane	4
Dimethylsulfoxide (DMSO)	Domoso	5
Dimethylsulphone (MSM)		5
Diphenadione		5
Diphenhydramine	Benadryl	3
Diphenoxylate	Difenoxin, Lomotil	4
Diprenorphine	M50/50	2
Dipyridamole	Persantine	3
Dipyrone	Novin, Methampyrone	4
Disopyramide	Norpace	4
Divalproex	Depakote	3
Dixyrazine	Esucos	2
Dobutamine	Dobutrex	3
Dopamine	Intropin	2
Donepezil	Aricept	1
Doxacurium	Nuromax	2
Doxapram	Dopram	2
Doxazosin		3
Doxefazepam	Doxans	2
Doxepin	Adapin, Sinequan	2
Doxylamine	Decapryn	3
Dromostanolone	Drolban	3
Droperidol	Inapsine, Droleptan, Innovar-Vet (with Fentanyl)	2
Duloxetine		2
Dyclonine	Dyclone	4
Dyphylline		3

Drug	Trade Name(s)	Class
Edrophonium	Tensilon	3
Eletripan	Relpax	3
Eltenac		4
Enalapril (metabolite enaloprilat)	Vasotec	3
Enciprazine		2
Endorphins		1
Enkephalins		1
Ephedrine		2
Epibatidine		2
Epinephrine		2
Ergoloid mesylates (dihydroergocornine mesylate, dihydroergocristine mesylate, and dihydroergocryptine mesylate)		2
Ergonovine	Ergotrate	4
Ergotamine	Gynergen, Cafergot, etc.	4
Erthrityl tetranitrate	Cardilate	3
Erythropoietin(EPO)	Epogen, Procrit, etc.	2
Esmolol	Brevibloc	3
Esomeprazole	Nexium	5
Estazolam	Domnamid, Eurodin, Nuctalon	2
Etamiphylline		3
Etanercept	Enbrel	4
Ethacrynic acid	Edecrin	3
Ethamivan		2
Ethanol		2
Ethchlorvynol	Placidyl	2
Ethinamate	Valmid	2
Ethoheptazine	Zactane	4
Ethopropazine	Parsidol	2
Ethosuximide	Zarontin	3

Alphabetical List

Drug	Trade Name(s)	Class
Ethotoin	Peganone	4
Ethoxzolamide	Cardrase, Ethamide	4
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4
Ethylestrenol	Maxibolin, Organon	3
Ethylisobutrazine	Diquel	2
Ethylmorphine	Dionin	1
Ethylnorepinephrine	Bronkephrine	3
Etidocaine	Duranest	2
Etifoxin	Stresam	2
Etizolam	Depas, Pasaden	2
Etodolac	Lodine	3
Etodroxizine	Indunox	2
Etomidate		2
Etorphine HCl	M99	1
Famotidine	Gaster, etc.	5
Felbamate	Felbatol	3
Felodipine	Plendil	4
Fenarbamate	Tymium	2
Fenbufen	Cincopal	3
Fenclozic acid	Myalex	2
Fenfluramine	Pondimin	2
Fenoldopam	Corlopam	3
Fenoprofen	Nalfon	3
Fenoterol	Berotec	3
Fenspiride	Respiride, Respan, etc	3
Fentanyl	Sublimaze	1
Fentiazac		3
Fexofenadine	Allegra	4
Firocoxib		4
Flecainide	Idalon	4
Floctafenine	Idalon, Idarac	4
Fluanisone	Sedalande	2
Flucinolone	Synalar, etc.	4
Fludiazepam	Erispam	2
Fludrocortisone	Alforone, etc.	4
Flufenamic acid		3
Flumethasone	Flucort, etc.	4
Flumethiazide	Ademol	4
Flunarizine	Sibelium	4
Flunisolide	Bronilide, etc.	4
Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2
Flunixin	Banamine	4
Fluocinolone	Synalar	4
Fluocinonide	Licon, Lidex	4
Fluopromazine	Psyquil, Siquil	2
Fluoresone	Caducid	2
Fluorometholone	FML	4
Fluoroprednisolone	Predef-2X	4
Fluoxetine	Prozac	2
Fluoxymesterone	Halotestin	3
Flupenthixol	Depixol, Fluanxol	2
Fluphenazine	Prolixin, Permitil, Anatensol, etc.	2
Flupirtine	Katadolone	3
Fluprednisolone	Alphadrol	4
Flurandrenolide	Cordran	4
Flurazepam	Dalmane	2
Flurbiprofen	Froben	3
Fluspirilene	Imap, Redeptin	2
Fluticasone	Flixonase, Flutide	4
Flutoprazepam	Restas	2
Fluvoxamine	Dumirox, Faverin, etc.	2
Formebolone		3

Alphabetical List

Drug	Trade Name(s)	Class
Formoterol	Altram	3
Fosinopril, metabolite, Fosinoprilat	Monopril	3
Fosphenytoin	Cerebyx	3
Furazabol		3
Furosemide	Lasix	N/A
Gabapentin	Neurontin	4
Galantamine	Reminyl	2
Gallamine	Flaxedil	2
Gepirone		2
Gestrinone		3
Glutethimide	Doriden	2
Glycopyrrolate	Robinul	3
Guaifenesin (glycerol guiacolate)	Gecolate	4
Guanadrel	Hylorel	3
Guanethidine	Ismelin	3
Guanabenz	Wytensin	3
Halazepam	Paxipam	2
Halcinonide	Halog	4
Halobetasol	Ultravate	4
Haloperidol	Haldol	2
Haloxazolam	Somelin	2
Hemoglobin glutamers	Oxyglobin Hemopure	2
Heptaminol	Corofundol	3
Heroin		1
Hexafluorenium	Myalexen	2
Hexobarbital	Evipal	2
Hexocyclium	Tral	4
Hexylcaine	Cyclaine	4
Homatropine	Homapin	3
Homophenazine	Pelvichthol	2
Hydralazine	Apresoline	3
Hydrochlorthiazide	Hydrodiuril	4
Hydrocodone (dihydrocodienone)	Hycodan	1
Hydrocortisone (Cortisol)	Cortef, etc.	4
Hydroflumethiazide	Saluron	4
Hydromorphone	Dilaudid	1
Hydroxyamphetamine	Paradrine	1
4-Hydroxytestosterone		3
Hydroxyzine	Atarax	2
Ibomal	Noctal	2
Ibuprofen	Motrin, Advil, Nurpin, etc.	4
Ibutilide	Corvert	3
Iloprost	Ventavis	3
Imipramine	Imavate, Presamine, Tofranil	2
Indomethacin	Indocin	3
Infliximab	Remicade	4
Ipratropium		3
Irbesarten	Avapro	3
Isapirone		2
Isocarboxazid	Marplan	2
Isoetharine	Bronkosol	3
Isoflupredone	Predef	4
Isomethadone		2
Isometheptene	Octin, Octon	4
Isopropamide	Darbid	4
Isoproterenol	Isoprel	2
Isosorbide dinitrate	Isordil	3
Isoxicam	Maxicam	2
Isoxsuprine	Vasodilan	4
Isradipine	DynaCirc	4
Kebuzone		3
Ketamine	Ketalar, Ketaset, Vetalar	2

Alphabetical List

Drug	Trade Name(s)	Class
Ketazolam	Anxon, Laftram, Solatran, Loftran	2
Ketoprofen	Orudis	4
Ketorolac	Toradol	3
Labetalol	Normodyne	3
Lamotrigine	Lamictal	3
Lansoprazole		5
Lenperone	Elanone-V	2
Letosteine	Viscotiol, Visiotal	4
Levamisole		2
Levobunolol	Betagan	3
Levomethorphan		2
Levorphanol	Levo-Dremoran	1
Lidocaine	Xylocaine	2
Lisinopril	Prinivil, Zestril	3
Lithium	Lithizine, Duralith, etc.	2
Lobeline		2
Lofentanil		1
Loflazepate, Ethyl	Victan	2
Loperamide	Imodium	2
Loprazolam	Dormonort, Havlane	2
Loratidine	Claritin	4
Lorazepam	Ativan	2
Lormetazepam	Noctamid	2
Losartan	Hyzaar	3
Loxapine	Laxitane	2
Mabuterol		3
Maprotiline	Ludiomil	2
Mazindol	Sanorex	1
Mebutamate	Axiten, Dormate, Capla	2
Mecamylamine	Inversine	3
Meclizine	Antivert, Bonine	4
Meclofenamic acid	Arquel	4
Meclofenoxate	Lucidiril, etc.	2
Medazepam	Nobrium, etc.	2
Medetomidine	Domitor	3
Medrysone	Medriusar, etc.	4
Mefenamic acid	Ponstel	3
Meloxicam	Mobic	4
Melperone	Eunerpan	2
Memantine	Namenda	2
Meparfynol	Oblivon	2
Mepazine	Pacatal	2
Mepenzolate	Cantil	3
Meperidine	Demerol	1
Mephenesin	Tolserol	4
Mephenoxalone	Control, etc.	2
Mephentermine	Wyamine	1
Mephenytoin	Mesantoin	2
Mephobarbital (Methylphenobarbital)	Mebaral	2
Mepivacaine	Carbocaine	2
Meprobamate	Equanil, Miltown	2
Meralluride	Mercuhydrin	4
Merbaphen	Novasural	4
Mercaptomerin	Thiomerin	4
Mercumalilin	Cumertilin	4
Mersalyl	Salyrgan	4
Mesalamine	Asacol	5
Mesoridazine	Serentil	2
Mestanolone		3
Mesterolone		3
Metaclazepam	Talis	2

Alphabetical List

Drug	Trade Name(s)	Class
Metaproterenol	Alupent, Metaprel	3
Metaraminol	Aramine	1
Metaxalone	Skelaxin	4
Metazocine		2
Metenolone		3
Methachloline		3
Methadone	Dolophine	1
Methamphetamine	Desoxyn	1
Methandienone		3
Methandriol	Probolic	3
Methandrostenolone	Dianabol	3
Methantheline	Banthine	3
Methapyrilene	Histadyl, etc.	4
Methaqualone	Quaalude	1
Metharbital	Gemonil	2
Methasterone		3
Methazolamide	Naptazane	4
Methcathinone		1
Methdilazine	Tacaryl	4
Methixene	Trest	3
Methocarbamol	Robaxin	4
Methohexital	Brevital	2
Methotrexate	Folex, Nexate, etc.	4
Methotrimeprazine	Levoprome, Neurocil, etc.	2
Methoxamine	Vasoxyl	3
Methoxyphenamine	Orthoxide	3
Methscopolamine	Pamine	4
Methsuximide	Celontin	3
Methylatropine		3
Methylchlorthiazide	Enduron	4
Methyldienolone		3

Drug	Trade Name(s)	Class
Methyldopa	Aldomet	3
Methylergonovine	Methergine	4
Methylnortestosterone		3
Methylphenidate	Ritalin	1
Methylprednisolone	Medrol	4
Methyltestosterone	Metandren	3
Methyl-1-testosterone		3
Methyprylon	Noludar	2
Methysergide	Sansert	4
Metiamide		4
Metoclopramide	Reglan	4
Metocurine	Metubine	2
Metolazone		3
Metomidate	Hypnodil	2
Metopon (methyldihydromorphinone)		1
Metoprolol	Lopressor	3
Mexazolam	Melex	2
Mexilitine	Mexilil	4
Mibefradil	Posicor	3
Mibolerone		3
Midazolam	Versed	2
Midodrine	Pro-Amiline	3
Milrinone		4
Minoxidil	Loniten	3
Mirtazepine	Remeron	2
Misoprostel	Cytotec	5
Mivacurium	Mivacron	2
Modafinil	Provigil	2
Moexipril (metabolite, moexiprilat)	Uniretic	3
Molindone	Moban	2
Mometasone	Elocon	4

Alphabetical List

Drug	Trade Name(s)	Class
Montelukast	Singulair	4
Moperone	Luvatren	2
Morphine		1
Mosaprimine		2
Muscarine		3
Nabumetone	Anthraxan, Relafen, Reliflex	3
Nadol	Corgard	3
Naepaine	Amylsine	4
Nalbuphine	Nubain	2
Nalorphine	Nalline, Lethidrone	2
Naloxone	Narcan	3
Naltrexone	Revia	3
Nandrolone	Nandrolin, Laurabolin, Durabolin	3
Naphazoline	Privine	4
Naproxen	Equiproxen, Naprosyn	4
Naratriptan	Amerge	3
Nebivolol		3
Nedocromil	Tilade	5
Nefazodone	Serzone	2
Nefopam		3
Neostigmine	Prostigmine	3
Nicardipine	Cardine	4
Nifedipine	Procardia	4
Niflumic acid	Nifluril	3
Nikethamide	Coramine	1
Nimesulide		3
Nimetazepam	Erimin	2
Nimodipine	Nemotop	4
Nitrazepam	Mogadon	2
Nitroglycerin		3
Nizatidine	Axid	5
19-Norandrostenediol		3
19-Norandrostenedione		3
Norbolethone		3
Norclosterbol		3
Nordiazepam	Calmday, Nordaz, etc.	2
Norepinephrine		2
Norethandrolone		3
Nortestosterone		4
Nortriptyline	Aventyl, Pamelor	2
Nylidrine	Arlidin	3
Olanzepine	Zyprexa	2
Olmesartan	Benicar	3
Olsalazine	Dipentum	4
Omeprazole	Prilosec, Losec	5
Orphenadrine	Norlfex	4
Oxabolone		3
Oxandrolone	Anavar	3
Oxaprozin	Daypro, Deflam	4
Oxazepam	Serax	2
Oxazolam	Serenal	2
Oxcarbazepine	Trileptal	3
Oxilofrine (hydroxyephedrine)		2
Oxprenolol	Trasicor	3
Oxycodone	Percodan	1
Oxymesterone		3
Oxymetazoline	Afrin	4
Oxymetholone	Adroyd, Anadrol	3
Oxymorphone	Numorphan	1
Oxyperitine	Forit, Integrin	2
Oxyphenbutazone	Tandearil	4
Oxyphencyclimine	Daricon	4
Oxyphenonium	Antrenyl	4
Paliperidone		2
Pancuronium	Pavulon	2

Alphabetical List

Drug	Trade Name(s)	Class
Pantoprazole	Protonix	5
Papaverine	Pavagen, etc.	3
Paraldehyde	Paral	2
Paramethadione	Paradione	3
Paramethasone	Haldrone	4
Pargyline	Eutonyl	3
Paroxetine	Paxil, Seroxat	2
Pemoline	Cylert	1
Penbutolol	Levatol	3
Penfluridol	Cyperon	2
Pentaerythritol tetranitrate	Duotrate	3
Pentazocine	Talwin	3
Pentobarbital	Nembutal	2
Pentoxyfylline	Trental, Vazofirin	4
Pentylenetetrazol	Metrazol, Nioric	1
Perazine	Taxilan	2
Perfluorodecolin		2
Perfluorodecahydronophthalene		2
Perfluorooctylbromide		2
Perfluorotripropylamine		2
Perfluorocarbons		2
Periciazine	Alodept, etc.	2
Perindopril	Biprel	3
Perlapine	Hypnodin	2
Perphenazine	Trilafon	2
Phenacemide	Phenurone	4
Phenaglycodol	Acalo, Alcamid, etc.	2
Phenazocine	Narphen	1
Phencyclidine (PCP)	Sernylan	1
Phendimetrazine	Bontril, etc.	1
Phenelzine	Nardelzine, Nardil	2
Phenindione	Hedulin	5
Phenmetrazine	Preludin	1
Phenobarbital	Luminal	2
Phenoxybenzamine	Dibenzyline	3
Phenprocoumon	Liquamar	5
Phensuximide	Milontin	4
Phentermine	Iomamin	2
Phentolamine	Regitine	3
Phenylbutazone	Butazolidin	4
Phenylephrine	Isophrin, Neo-Synephrine	3
Phenylpropanolamine	Propadrine	3
Phenytoin	Dilantin	4
Physostigmine	Eserine	3
Picrotoxin		1
Piminodine	Alvodine, Cimadon	2
Pimozide	Orap	2
Pinazepam	Domar	2
Pindolol	Viskin	3
Pipamperone	Dipiperon	2
Pipecuronium	Arduan	2
Pipequaline		2
Piperacetazine	Psymod, Quide	2
Piperocaine	Metycaine	2
Pipotiazine	Lonseren, Piportil	2
Pipradrol	Dataril, Gerondyl, etc.	2
Piquindone		2
Pirbuterol	Maxair	3
Pirenzapine	Gastrozepin	5
Piretanide	Arelix, Tauliz	3
Piritramide		1
Piroxicam	Feldene	3
Polyethylene glycol		5
Polythiazide	Renese	4
Pramoxine	Tronothaine	4
Prazepam	Verstran, Centrax	2

Alphabetical List

Drug	Trade Name(s)	Class
Prazosin	Minipress	3
Prednisolone	Delta-Cortef, etc.	4
Prednisone	Meticorten, etc.	4
Prilocaine	Citanest	2
Primidone	Mysoline	3
Probenecid		4
Procainamide	Pronestyl	4
Procaine		3
Procaterol	Pro Air	3
Prochlorperazine	Darbazine, Compazine	2
Procyclidine	Kemadrin	3
Promazine	Sparine	3
Promethazine	Phenergan	3
Propafenone	Rythmol	4
Propanidid		2
Propantheline	Pro-Banthine	3
Proparacaine	Ophthaine	4
Propentophylline	Karsivan	3
Propiomazine	Largon	2
Propionylpromazine	Tranvet	2
Propiram		2
Propofol	Diprivan, Disoprivan	2
Propoxycaine	Ravocaine	2
Propranolol	Inderal	3
Propylhexedrine	Benzedrex	4
Prostanazol		3
Prothipendyl	Dominal	2
Protokylol	Ventaire	3
Protriptyline	Concordin, Triptil	2
Proxibarbital	Axeen, Centralgol	2
Pseudoephedrine	Cenafed, Novafed	3
Pyridostigmine	Mestinon, Regonol	3
Pyrilamine	Neoantergan, Equihist	3
Pyrithyldione	Hybersulfan, Sonodor	2
Quazipam	Doral	2
Quetiapine	Seroquel	2
Quinbolone		3
Quinapril, metabolite Quinaprilat	Accupril	3
Quinidine	Quinidex, Quinicardine	4
Rabeprazole	Aciphex	5
Racemethorphan		2
Racemorphan		2
Raclopride		2
Ractopamine	Raylean	2
Ramipril, metabolite Ramiprilat	Altace	3
Ranitidine	Zantac	5
Remifentanil	Ultiva	1
Remoxipride	Roxiam	2
Reserpine	Serpasil	2
Rilmazafone		2
Risperidone		2
Ritanserin		2
Ritodrine	Yutopar	3
Rivastigmine	Exelon	3
Rizatripan	Maxalt	3
Rocuronium	Zemuron	2
Rofecoxib	Vioxx	2
Romifidine	Sedivet	2
Ropivacaine	Naropin	2
Salicylamide		4
Salicylate		4
Salmeterol		3
Scopolamine (Hyoscine)	Triptone	3
Secobarbital (Quinalbarbitone)	Seconal	2
Selegiline	Eldepryl, Jumex, etc.	2
Sertraline	Lustral, Zoloft	2

Alphabetical List

Drug	Trade Name(s)	Class
Sibutramine	Meridia	3
Sildenafil	Viagra	3
Snake Venoms		2
Somatropin	Nutropin	2
Somatrem	Protropin	2
Sotalol	Betapace, Sotacor	3
Spiclomazine		2
Spiperone		2
Spirapril, metabolite Spiraprilat	Renomax	3
Spironalactone	Aldactone	4
Stanozolol	Winstrol-V	3
Stenbolone		3
Strychnine		1
Succinylcholine	Sucostrin, Quelin, etc.	2
Sufentanil	Sufenta	1
Sulfasalazine	Azulfidine, Azaline	4
Sulfondiethylmethane		2
Sulfonmethane		2
Sulforidazine	Inofal	2
Sulindac	Clinoril	3
Sulpiride	Aiglonyl, Sulpitil	2
Sultopride	Barnetil	2
Sumatriptan	Imitrex	3
Tadalasil	Cialis	3
Talbutal	Lotusate	2
Tandospirone		2
Telmisartin	Micardis	3
Temazepam	Restoril	2
Tenoxicam	Alganex, etc.	3
Tepoxalin		3
Terazosin	Hytrin	3
Terbutaline	Brethine, Bricanyl	3
Terfenadine	Seldane, Triludan	4
Testolactone	Teslac	3
Testosterone		3
Tetrabenazine	Nitoman	2
Tetracaine	Pontocaine	2
Tetrahydrogestrinone		3
Tetrahydrozoline	Tyzine	4
Tetrazepam	Musaril, Myolastin	2
Thebaine		2
Theobromine		4
Theophylline	Aqualphyllin, etc.	3
Thialbarbital	Kemithal	2
Thiamylal	Surital	2
Thiethylperazine	Torecan	2
Thiopental	Pentothal	2
Thiopropazate	Dartal	2
Thioproperazine	Majeptil	2
Thioridazine	Mellaril	2
Thiosalicylate		4
Thiothixene	Navane	2
Thiphenamil	Trocinate	4
Tiapride	Italprid, Luxoben, etc.	2
Tiaprofenic acid	Surgam	3
Tiletamine	Component of Telazol	2
Timiperone	Tolopelon	2
Timolol	Blocardrin	3
Tocainide	Tonocard	4
Tofisopam	Grandaxain, Seriel	2
Tolazoline	Priscoline	3
Tolmetin	Tolectin	3
Topirimate	Topamax	2
Torsemide (Torasemide)	Demadex	3
Tramadol	Ultram	2
Trandolapril (and metabolite,	Tarka	3

Alphabetical List

Drug	Trade Name(s)	Class
trandolaprilat)		
Tranexamic acid		4
Tranylcypromine	Parnate	2
Trazodone	Desyrel	2
Trenbolone	Finoplix	3
Tretoquinol	Inolin	2
Triamcinolone	Vetalog, etc.	4
Triamterene	Dyrenium	4
Triazolam	Halcion	2
Tribromethanol		2
Tricaine methanesulfonate	Finquel	2
Trichlormethiazide	Naqua, Naquasone	4
Trichloroethanol		2
Tricholoethylene	Trilene, Trimar	2
Triclofos	Triclos	2
Tridihexethyl	Pathilon	4
Trifluomeprazine	Nortran	2
Trifluoperazine	Stelazine	2
Trifluperidol	Triperidol	2
Triflupromazine	Vetame, Vesprin	2
Trihexylphenidyl	Artane	3
Trimeprazine	Temaril	4
Trimethadione	Tridione	3
Trimethaphan	Arfonad	3
Trimipramine	Surmontil	2
Tripelennamine	PBZ	3
Triprolidine	Actidil	4
Tubocurarine (Curare)	Metubin	2
Tybamate	Benvil, Nospan, etc.	2
Urethane		2
Valdecoxib		2
Valerenic acid		3
Valnoctamide	Nirvanyl	2
Valsartan	Diovan	3
Vardenafil	Levitra	3
Vedaprofen		4
Venlafaxine	Efflexor	2
Veralipride	Accional, Veralipril	2
Verapamil	Calan, Isoptin	4
Vercuronium	Norcuron	2
Viloxazine	Catatrol, Vivalan, etc.	2
Vinbarbital	Delvinol	2
Vinylbital	Optanox, Speda	2
Warfarin	Coumadin, Coufarin	5
Xylazine	Rompun, Bay Va 1470	3
Xylometazoline	Otrivin	4
Yohimbine		2
Zafirlukast	Accolate	4
Zaleplon	Sonata	2
Zeranol	Ralgro	4
Ziconotide		1
Zileuton	Zyflo	4
Ziprasidone	Geoden	2
Zolazepam		2
Zolmitriptan	Zomig	3
Zolpidem	Ambien, Stilnox	2
Zomepirac	Zomax	2
Zonisamide	Zonegran	3
Zopiclone	Imovan	2
Zotepine	Lodopin	2
Zuclopenthixol	Ciatyl, Cesordinol	2
Δ-1-androstene-3, 17-diol		3
Δ-1-androstene-3, 17-dione		3
Δ-1-dihydrotestosterone		3

Listing By Classification

Class 1: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) Schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids, psychoactive drugs, all DEA Schedule 1* substances (see http://www.usdoj.gov/dea/pubs/scheduling.html), amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol.

Drug	Trade Name(s)	Class
Alfentanil	Alfenta	1
Amphetamine		1
Anileridine	Leritine	1
Apomorphine		1
Benzylpiperazine (BZP)		1
Carfentanil		1
Cathinone		1
a-Cobratoxin		1
Cocaine		1
Codeine		1
DEA Schedule 1 (all)*		
Dextromoramide	Palfium, Narcolo	1
Diamorphine		1
Donepezil	Aricept	1
Endorphins		1
Enkephalins		1
Ethylmorphine	Dionin	1
Etorphine HCl	M99	1
Fentanyl	Sublimaze	1
Heroin		1
Hydrocodone (dihydrocodienone)	Hydocane	1
Hydromorphone	Dilaudid	1
Hydroxyamphetamine	Paradrine	1

Drug	Trade Name(s)	Class
Levorphanol	Levo-Dremoran	1
Lofentanil		1
Mazindol	Sanorex	1
Meperidine	Demerol	1
Mephentermine	Wyamine	1
Metaraminol	Aramine	1
Methadone	Dolophine	1
Methamphetamine	Desoxyn	1
Methaqualone	Quaalude	1
Methcathinone		1
Methylphenidate	Ritalin	1
Metopon (methyldihydromorphinone)		1
Morphine		1
Nikethamide	Coramine	1
Oxycodone	Percodan	1
Oxymorphone	Numorphan	1
Pemoline	Cylert	1
Pentylenetetrazol	Metrazol, Nioric	1
Phenazocine	Narphen	1
Phencyclidine (PCP)	Sernylan	1
Phendimetrazine	Bontril, etc.	1
Phenmetrazine	Preludin	1
Picrotoxin		1

Listing By Classification

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Drug	Trade Name(s)	Class	Drug	Trade Name(s)	Class
Piritramide		1			
Remifentanil	Ultiva	1			
Strychnine		1			
Sufentanil	Sufenta	1			
Ziconotide		1			

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Acecarbromal		2
Acetophenazine	Tindal	2
Adinazolam		2
Alclofenac		2
Alcuronium	Alloferin	2
Alphaprodine	Nisentil	2
Alpidem	Anaxyl	2
Alprazolam	Xanax	2
Althesin	Saffan	2
Amisulpride	Solian	2
Amitriptyline	Elavil, Amitril, Endep	2
Amobarbital	Amytal	2
Amoxapine	Asendin	2
Amperozide		2
Anilopam	Anisine	2
Aprobarbital	Alurate	2
Articaine	Septocaine; Ultracaine, etc.	2
Atomoxetine	Strattera	2
Atracurium	Tracrium	2
Azacylonol	Frenque	2
Azaperone	Stresnil, Suicalm, Fentaz	2
Barbital	Veronal	2
Barbiturates		2
Bemegride	Megimide, Mikedimide	2
Benoxaprofen		2
Benperidol	Anquil	2

Drug	Trade Name(s)	Class
Bentazepam	Tiadipona	2
Benzactizine	Deprol, Bronchodiletten	2
Benzoctamine		2
Benzodiazepines		2
Benzphetamine	Didrex	2
Benztropine	Cogentin	2
Biriperone		2
Brimonidine		2
Bromazepam	Lexotan, Lectopam	2
Bromisovalum	Diffucord, etc.	2
Bromocriptine	Parlodel	2
Bromperidol	Bromidol	2
Brotizolam	Brotocol	2
Bupivacaine	Marcaine	2
Buprenorphine	Temgesic	2
Buspirone	Buspar	2
Buspropion	Wellbutrin	2
Butabarbital	Butacaps, Butasol, etc.	2
Butalbital (Talbutal)	Fiorinal	2
Butanilicaine	Hostacain	2
Butaperazine	Repoise	2
Butoctamide	Listomin	2
Caffeine		2
Camazepam	Paxor	2
Captodiame	Covatine	2
Carbidopa + levodopa	Sinemet	2

Listing by Classification

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Drug	Trade Name(s)	Class
Carbromol	Mifudorm	2
Carisoprodol	Soma, Rela	2
Carphenazine	Proketazine	2
Carpipramine	Prazinil	2
Carticaine (see articaine)	Septocaine; Ultracaine, etc.	2
Chloralose (Alpha-Chloralose)		2
Chloral betaine	Beta-Chlor	2
Chloral hydrate	Nactec, Oridrate, etc.	2
Chloraldehyde (chloral)		2
Chlordiazepoxide	Librium	2
Chlormezanone	Trancopal	2
Chloroform		2
Chlorhexidol		2
Chloroprocaine	Nesacaine	2
Chlorproethazine	Newiplege	2
Chlorpromazine	Thorazine, Largactil	2
Chlorprothixene	Taractan	2
Citalopram	Celex	2
Clobazam	Urbanyl	2
Clocapramine		2
Clomethiazole		2
Clomipramine	Anafranil	2
Clonazepam	Klonopin	2
Clorazepate	Tranxene	2
Clothiapine	Entermin	2
Clotiazepam	Trecalmo, Rize	2
Cloxazolam	Enadel, Sepazon, Tolestan	2
Clozapine	Clozaril, Leponex	2
Conorphone		2
Corticaine	Ultracain	2
Crotetamide		2
Cyamemazine	Tercian	2
Cyclobarbital	Phanodorm	2
Darbepoetin	Aranesp	2
Decamethonium	Syncurine	2
Demoxepam		2
Desipramine	Norpromine, Pertofrane	2
Dezocine	Dalgan®	2
Diazepam	Valium	2
Dichloralphenazone	Febenol, Isocom	2
Diethylpropion	Tepanil, etc.	2
Diethylthiambutene	Themalon	2
Dihydrocodeine	Parcodin	2
Dilorazepam	Briantum	2
Diprenorphine	M50/50	2
Dixyrazine	Esucos	2
Dopamine	Intropin	2
Doxacurium	Nuromax	2
Doxapram	Dopram	2
Doxefazepam	Doxans	2
Doxepin	Adapin, Sinequan	2
Droperidol	Inapsine, Droleptan,	2

Listing by Classification

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Drug	Trade Name(s)	Class
Duloxetine		2
Enciprazine		2
Ephedrine		2
Epibatidine		2
Epinephrine		2
Ergoloid Mesylates (dihydroergocornine Mesylate, dihydroergocristine mesylate and dihydroergocryptine mesylate)		2
Erythropoietin (EPO)	Epogen, Procrit, etc.	2
Estazolam	Domnamid, Eurodin,	2
Ethamivan		2
Ethanol		2
Ethchlorvynol	Placidyl	2
Ethinamate	Valmid	2
Ethopropazine	Parsidol	2
Ethylisobutrazine	Diquel	2
Etidocaine	Duranest	2
Etifoxin	Stresam	2
Etizolam	Depas, Pasaden	2
Etodroxizine	Indunox	2
Etomidate		2
Fenarbamate	Tymium	2
Fenclozic Acid	Cincopal	2
Fenfluramine	Pondimin	2
Fluanisone	Sedalande	2

Drug	Trade Name(s)	Class
Fludiazepam	Erispam	2
Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2
Fluopromazine	Psyquil, Siquil	2
Fluoresone	Caducid	2
Fluoxetine	Prozac	2
Flupenthixol	Depixol, Fluanxol	2
Fluphenazine	Prolixin, Permitil, Anatensol,	2
Flurazepam	Dalmane	2
Fluspirilene	Imap, Redeptin	2
Flutoprazepam	Restas	2
Fluvoxamine	Dumirox, Faverin, etc.	2
Galantamine	Reminyl	2
Gallamine	Flaxedil	2
Gepirone		2
Glutethimide	Doriden	2
Halazepam	Paxipam	2
Haloperidol	Haldol	2
Haloxazolam	Somelin	2
Hemoglobin glutamers	Oxyglobin, Hemopure	2
Hexafluorenium	Myalexen	2
Hexobarbital	Evipal	2
Homophenazine	Pelvichthol	2
Hydroxyzine	Atarax	2
Ibomal	Noctal	2
Imipramine	Imavate, Presamine,	2
Isapirone		2

Listing by Classification

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Drug	Trade Name(s)	Class
Isocarboxazid	Marplan	2
Isomethadone		2
Isoproterenol	Isoprel	2
Isoxicam	Maxicam	2
Ketamine	Ketalar, Ketaset, Vetalar	2
Ketazolam	Anxon, Laftram, Solatran, Loftran	2
Lenperone	Elanone-V	2
Levamisole		2
Levomethorphan		2
Lidocaine	Xylocaine	2
Lithium	Lithizine, Duralith, etc.	2
Lobeline		2
Loflazepate, Ethyl	Victan	2
Loperamide	Imodium	2
Loprazolam	Dormonort, Havlane	2
Lorazepam	Ativan	2
Lormetazepam	Noctamid	2
Loxapine	Laxitane	2
Maprotiline	Ludiomil	2
Mebutamate	Axiten, Dormate, Capla	2
Meclofenoxate	Lucidiril, etc.	2
Medazepam	Nobrium, etc.	2
Melperone	Eunerpan	2
Memantine	Namenda	2
Meparfynol	Oblivon	2
Mepazine	Pacatal	2
Mephenoxalone	Control, etc.	2
Mephenytoin	Mesantoin	2
Mephobarbital	Mebaral	2
Mepivacaine	Carbocaine	2
Meprobamate	Equanil, Miltown	2
Mesoridazine	Serentil	2
Metaclazepam	Talis	2
Metazocine		2
Metharbital	Gemonil	2
Methohexital	Brevital	2
Methotrimeprazine	Levoprome, Neurocil, etc.	2
Methyprylon	Noludar	2
Metocurine	Metubine	2
Metomidate	Hypnodil	2
Mexazolam	Melex	2
Midazolam	Versed	2
Mirtazepine	Remeron	2
Mivacurium	Mivacron	2
Modafinil	Provigil	2
Molindone	Moban	2
Moperone	Luvatren	2
Mosaprimine		2
Nalbuphine	Nubain	2
Nalorphine	Nalline, Lethidrone	2
Nefazodone	Serzone	2
Nimetazepam	Erimin	2

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Nitrazepam	Mogadon	2
Nordiazepam	Calmday, Nordaz, etc.	2
Norepinephrine		2
Nortriptyline	Aventyl, Pamelor	2
Olanzepine	Zyprexa	2
Oxazepam	Serax	2
Oxazolam	Serenal	2
Oxilofrine (hydroxyephedrine)		2
Oxyperitine	Forit, Integrin	2
Paliperidone		2
Pancuronium	Pavulon	2
Paraldehyde	Paral	2
Paroxetine	Paxil, Seroxat	2
Penfluridol	Cyperon	2
Pentobarbital	Nembutal	2
Perazine	Taxilan	2
Perfluorodecolin		2
Perfluorodecahydronophthalene		2
Perfluorooctylbromide		2
Perfluorotripropylamine		2
Perfluorocarbons		2
Periciazine	Alodept, etc.	2
Perlapine	Hypnodin	2
Perphenazine	Trilafon	2
Phenaglycodol	Acalo, Alcamid, etc.	2
Phenelzine	Nardelzine, Nardil	2

Drug	Trade Name(s)	Class
Phenobarbital	Luminal	2
Phentermine	Iomamin	2
Piminodine	Alvodine, Cimadon	2
Pimozide	Orap	2
Pinazepam	Domar	2
Pipamperone	Dipiperon	2
Pipecuronium	Arduan	2
Pipequaline		2
Piperacetazine	Psymod, Quide	2
Piperocaine	Metycaine	2
Pipotiazine	Lonseren, Piportil	2
Pipradrol	Dataril, Gerondyl, etc.	2
Piquindone		2
Prazepam	Verstran, Centrax	2
Prilocaine	Citanest	2
Prochlorperazine	Darbazine, Compazine	2
Propanidid		2
Propiomazine	Largon	2
Propionylpromazine	Tranvet	2
Propiram		2
Propofol	Diprivan, Disoprivan	2
Propoxycaine	Ravocaine	2
Prothipendyl	Dominal	2
Protriptyline	Concordin, Triptil	2
Proxibarbital	Axeen, Centralgol	2
Pyrithyldione	Hybersulfan, Sonodor	2

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Quazipam	Doral	2
Quetiapine	Seroquel	2
Racemethorphan		2
Racemorphan		2
Raclopride		2
Ractopamine	Raylean	2
Remoxipride	Roxiam	2
Reserpine	Serpasil	2
Rilmazafone		2
Risperidone		2
Ritanserin		2
Rivastigmine	Exelon	2
Rocuronium	Zemuron	2
Rofecoxib	Vioxx	2
Romifidine	Sedivet	2
Ropivacaine	Naropin	2
Secobarbital	Seconal	2
Selegiline	Eldepryl, Jumex	2
Sertraline	Lustral, Zoloft	2
Snake Venoms		2
Somatrem	Protropin	2
Somatropin	Nutropin	2
Spiclomazine		2
Spiperone		2
Succinylcholine	Sucostrin, Quelin, etc.	2
Sulfondiethylmethane		2

Drug	Trade Name(s)	Class
Sulfonmethane		2
Sulforidazine	Inofal	2
Sulpiride	Aiglonyl, Sulpitil	2
Sultopride	Barnetil	2
Talbutal	Lotusate	2
Tandospirone		2
Temazepam	Restoril	2
Tetrabenazine	Nitoman	2
Tetracaine	Pontocaine	2
Tetrazepam	Musaril, Myolastin	2
Thebaine		2
Thialbarbital	Kemithal	2
Thiamylal	Surital	2
Thiethylperazine	Torecan	2
Thiopental	Pentothal	2
Thiopropazate	Dartal	2
Thioproperazine	Majeptil	2
Thioridazine	Mellaril	2
Thiothixene	Navane	2
Tiapride	Italprid, Luxoben, etc.	2
Tiletamine	Component of Telazol	2
Timiperone	Tolopelon	2
Tofisopam	Grandaxain, Seriel	2
Topirimate	Topamax	2
Tramadol	Ultram	2
Tranylcypromine	Parnate	2

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class
Trazodone	Desyrel	2
Tretoquinol	Inolin	2
Triazolam	Halcion	2
Tribromethanol		2
Tricaine methanesulfonate	Finquel	2
Trichloroethanol		2
Tricholoethylene	Trilene, Trimar	2
Triclofos	Triclos	2
Trifluomeprazine	Nortran	2
Trifluoperazine	Stelazine	2
Trifluperidol	Triperidol	2
Triflupromazine	Vetame, Vesprin	2
Trimipramine	Surmontil	2
Tubocurarine (Curare)	Metubin	2
Tybamate	Benvil, Nospan, etc.	2
Urethane		2
Valdecoxib		2
Valnoctamide	Nirvanyl	2
Venlafaxine	Efflexor	2
Veralipride	Accional, Veralipril	2
Vercuronium	Norcuron	2
Viloxazine	Catatrol, Vivalan, etc.	2
Vinbarbital	Delvinol	2
Vinylbital	Optanox, Speda	2
Yohimbine		2
Zaleplon	Sonata	2
Ziprasidone	Geodon	2
Zolazepam		2
Zolpidem	Ambien, Stilnox	2
Zomepirac	Zomax	2
Zopiclone	Imovan	2
Zotepine	Lodopin	2
Zuclopenthixol	Ciatyl, Cesordinol	2

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Acebutolol	Sectral	3
Acepromazine	Atrovet, Notensil, PromAce®	3
Albuterol (Salbutamol)	Proventil, Ventolin	3
Almotriptan	Axert	3
Alprenolol		3
Ambenonium	Mytelase, Myeuran	3
Aminophylline	Aminophyllin, etc.	3
Amitraz	Mitaban	3
Amlodipine	Norvasc	3
Amyl nitrite		3
Arecoline		3
Arformoterol		3
Atenolol	Tenormin	3
Atropine		3
Benazeprilat, Benazepril and MC-Tab	Lotensin	3
Betaxolol	Kerlone	3
Bethanidine	Esbatal	3
Biperiden	Akineton	3
Bisoprolol	Zebeta, Bisobloc, etc.	3
Bitolterol	Effectin	3
Bolasterone		3
Boldenone	Equipoise	3
Boldione		3
Bretylium	Bretylol	3

Drug	Trade Name(s)	Class
Brimonidine	Alphagan	3
Bromfenac	Duract	3
Bromodiphenhydramine		3
Bufexamac		3
Bumetanide	Bumex	3
Butorphanol	Stadol, Torbugesic	3
N-Butylscopolamine		3
Calusterone		3
Candesartan	Atacand	3
Captopril	Capolen	3
Carazolol	Carbacel, Conducton	3
Carbachol	Lentin, Doryl	3
Carbamezapine	Tegretol	3
Carbinoxamine	Clistin	3
Carteolol	Cartrol	3
Carvedilol	Coreg	3
Celecoxib	Celebrex	3
Cimeterol		3
Clemastine	Tavist	3
Clenbuterol	Ventipulmin	3
Clidinium	Quarezan, Clindex, etc.	3
Clonidine	Catapres	3
Clostebol		3
Cyclandelate	Cyclospasmol	3
Cycrimine	Pagitane	3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Danazol	Danocrine	3
Dehydrochloromethyltestosterone		3
Deracoxib	Deremaxx	3
Desoxymethyltestosterone		3
Detomidine	Dormosedan	3
Dextropropoxyphene	Darvon	3
Diazoxide	Proglycem	3
Diflunisal		3
Dimefline		3
Diphenhydramine	Benadryl	3
Dipyridamole	Persantine	3
Divalproex	Depakote	3
Dobutamine	Dobutrex	3
Doxazosin		3
Doxylamine	Decapryn	3
Dromostanolone	Drolban	3
Dyphylline		3
Edrophonium	Tensilon	3
Eletripan	Relpax	3
Enalapril (metabolite enaloprilat)	Vasotec	3
Ergoloid mesylates		3
Erthrityl tetranitrate	Cardilate	3
Esmolol	Brevibloc	3
Etamiphylline		3

Drug	Trade Name(s)	Class
Ethacrynic acid	Edecrin	3
Ethosuximide	Zarontin	3
Ethylestrenol	Maxibolin, Organon	3
Ethylnorepinephrine	Bronkephrine	3
Etodolac	Lodine	3
Felbamate	Felbatol	3
Fenbufen	Cincopal	3
Fenoldopam	Corlopam	3
Fenoprofen	Nalfon	3
Fenoterol	Berotec	3
Fenspiride	Respiride, Respan, etc	3
Fentiazac		3
Flurbiprofen	Froben	3
Flufenamic Acid		3
Fluoxymesterone	Halotestin	3
Flupirtine	Katadolone	3
Formebolone		3
Formoterol	Altram	3
Fosinopril, Fosinoprilat	Monopril	3
Fosphenytoin	Cerebyx	3
Furazabol		3
Gabapentin	Neurontin	3
Gestrinone		3
Glycopyrrolate	Robinul	3
Guanadrel	Hylorel	3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Guanethidine	Ismelin	3
Guanabenz	Wytensin	3
Heptaminol	Corofundol	3
Homatropine	Homapin	3
Hydralazine	Apresoline	3
4-Hydroxytestosterone		3
Ibutilide	Corvert	3
Iloprost	Ventavis	3
Indomethacin	Indocin	3
Ipratropium		3
Irbesarten	Avapro	3
Isoetharine	Bronkosol	3
Isosorbide dinitrate	Isordil	3
Kebuzone		3
Ketorolac	Toradol	3
Labetalol	Normodyne	3
Lamotrigine	Lamictal	3
Levobunolol	Betagan	3
Lisinopril	Prinivil, Zestril	3
Losartan	Hyzaar	3
Mabuterol		3
Mecamylamine	Inversine	3
Medetomidine	Domitor	3
Mefenamic Acid	Ponstel	3

Drug	Trade Name(s)	Class
Mepenzolate	Cantil	3
Mestanolone		3
Mesterolone		3
Metaproterenol	Alupent, Metaprel	3
Metenolone		3
Methachloline		3
Methandienone		3
Methandriol	Probolic	3
Methandrostenolone	Dianabol	3
Methantheline	Banthine	3
Methasterone		3
Methixene	Trest	3
Methoxamine	Vasoxyl	3
Methoxyphenamine	Orthoxide	3
Methsuximide	Celontin	3
Methylatropine		3
Methyldienolone		3
Methyldopa	Aldomet	3
Methylnortestosterone		3
Methyltestosterone	Metandren	3
Methyl-1-testosterone		3
Metolazone		3
Metoprolol	Lopressor	3
Mibefradil	Posicor	3
Mibolerone		3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Midodrine	Pro-Amiline	3
Minoxidil	Loniten	3
Moexipril (metabolite moexiprilat)	Uniretic	3
Muscarine		3
Nabumetone	Anthraxan, Relafen, Reliflex	3
Nadol	Corgard	3
Naloxone	Narcan	3
Naltrexone	Revia	3
Nandrolone	Nandrolin, Laurabolin, Durabolin	3
Naratriptan	Amerge	3
Nebivolol		3
Nefopam		3
Neostigmine	Prostigmine	3
Niflumic Acid	Nifluril	3
Nimesulide		3
Nitroglycerin		3
19-Norandrostenediol		3
19-Norandrostenedione		3
Norbolethone		3
Norclostebol		3
Norethandrolone		3
Nylidrine	Arlidin	3
Olmesartan	Benicar	3
Oxabolone		3
Oxandrolone	Anavar	3

Drug	Trade Name(s)	Class
Oxcarbazepine	Trileptal	3
Oxprenolol	Trasicor	3
Oxymesterone		3
Oxymetholone	Adroyd, Anadrol	3
Papaverine	Pavagen, etc.	3
Paramethadione	Paradione	3
Pargyline	Eutonyl	3
Penbutolol	Levatol	3
Pentaerythritol tetranitrate	Duotrate	3
Pentazocine	Talwin	3
Perindopril	Biprel	3
Phenoxybenzamine	Dibenzyline	3
Phentolamine	Regitine	3
Phenylephrine	Isophrin, Neo-Synephrine	3
Phenylpropanolamine	Propadrine	3
Physostigmine	Eserine	3
Pindolol	Viskin	3
Pirbuterol	Maxair	3
Piretanide	Arelix, Tauliz	3
Piroxicam	Feldene	3
Prazosin	Minipress	3
Primidone	Mysoline	3
Procaine		3
Procaterol	Pro Air	3
Procyclidine	Kemadrin	3

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class
Promazine	Sparine	3
Promethazine	Phenergan	3
Propantheline	Pro-Banthine	3
Propentophylline	Karsivan	3
Propranolol	Inderal	3
Prostanazol		3
Protokylol	Ventaire	3
Pseudoephedrine	Cenafed, Novafed	3
Pyridostigmine	Mestinon, Regonol	3
Pyrilamine	Neoantergan, Equihist	3
Quinapril, Quinaprilat	Accupril	3
Quinbolone		3
Ramipril, metabolite Ramiprilat	Altace	3
Ritodrine	Yutopar	3
Rizatriptan	Maxalt	3
Salmeterol		3
Scopolamine (Hyoscine)	Triptone	3
Sibutramine	Meridia	3
Sildenafil	Viagra	3
Sotalol	Betapace, Sotacor	3
Spirapril, metabolite Spiraprilat	Renomax	3
Stanozolol	Winstrol-V	3
Stenbolone		3
Sulindac	Clinoril	3
Sumatriptan	Imitrex	3

Drug	Trade Name(s)	Class
Tadalasil	Cialis	3
Telmisartin	Micardis	3
Tenoxicam	Alganex, etc.	3
Tepoxalin		3
Terazosin	Hytrin	3
Terbutaline	Brethine, Bricanyl	3
Testolactone	Teslac	3
Testosterone		3
Tetrahydrogestrinone		3
Theophylline	Aqualphyllin, etc.	3
Tiaprofenic Acid	Surgam	3
Timolol	Blocardrin	3
Tolazoline	Priscoline	3
Tolmetin	Tolectin	3
Torsemide (Torasemide)	Demadex	3
Trandolapril (and metabolite, Trandolaprilat)	Tarka	3
Trenbolone	Finoplix	3
Trihexylphenidyl	Artane	3
Trimethadione	Tridione	3
Trimethaphan	Arfonad	3
Tripelennamine	PBZ	3
Valerenic acid		3
Valsartan	Diovan	3
Vardenafil	Levitra	3

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Drug	Trade Name(s)	Class
Xylazine	Rompun, Bay Va 1470	3			
Zolmitriptan	Zomig	3			
Zonisamide	Zonegran	3			
Δ-1-androstene-3, 17-diol		3			
Δ-1-androstene-3, 17-dione		3			
Δ-1-dihydrotestosterone		3			

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4
Acetanilid		4
Acetazolamide	Diamox, Vetamox	4
Acetophenetidin (Phenacetin)		4
Acetylsalicylic acid (Aspirin)		4
Aclomethasone	Aclovate	4
Adrenochrome monosemicarbazone salicylate		4
Aldosterone	Aldocortin, Electrocortin	4
Ambroxol	Ambril, etc.	4
Amcinonide	Cyclocort	4
Amiloride	Moduretic; Midamor	4
Aminocaproic acid	Amicar, Caprocid	4
Aminodarone		4
2-Aminoheptaine	Tuamine	4
Aminopyrine		4
Amisometradine	Rolictron	4
Amlopidine	Norvasc, Ammivin	4
Amrinone		4
Anisotropine	Valpin	4
Antipyrine		4
Apazone (Azapropazone)	Rheumox	4
Aprindine		4
Baclofen	Lioresal	4
Beclomethasone	Propaderm	4
Benazepril	Lotrel	4

Drug	Trade Name(s)	Class
Bendroflumethiazide	Naturetin	4
Benoxinate	Dorsacaine	4
Benzocaine		4
Benzthiazide		4
Bepridil	Bepadin	4
Betamethasone	Betasone, etc.	4
Bethanechol	Urecholine, Duvoid	4
Bromhexine	Oletor, etc.	4
Brompheniramine	Dimetane, Disomer	4
Budesonide	Pulmacort, Rhinocort	4
Butacaine	Butyn	4
Butamben (butyl aminobenzoate)	Butesin	4
Butoxycaine	Stadacain	4
Camphor		4
Carprofen	Rimadyl	4
Cetirizine	Zyrtec	4
Chlormerodrin	Neohydrin	4
Chlorophenesin	Maolate	4
Chloroquine	Avloclor	4
Chlorothiazide	Diuril	4
Chlorpheniramine	Chlortriemton, etc.	4
Chlorthalidone	Hydroton	4
Chlorzoxazone	Paraflex	4
Cinchocaine	Nupercaine	4
Clanobutin		4
Clibucaine	Batrax	4

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Clobetasol	Temovate	4
Clocortolone	Cloderm	4
Clofenamide		4
Clormecaine	Placacid	4
Colchicine		4
Cortisone	Cortone, etc.	4
Cyclizine	Merazine	4
Cyclobenzaprine	Flexeril	4
Cyclomethylcaine	Surfacaine	4
Cyclothiazide	Anhydron, Renazide	4
Cyproheptadine	Periactin	4
Dantrolene	Dantrium	4
Dembroxol (Dembrexine)	Sputolysin	4
Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4
Desonite	Des Owen	4
Desoximetasone	Topicort	4
Dexamethasone	Azium, etc.	4
Dextromethorphan		4
Dibucaine	Nupercainal, Cinchocaine	4
Dichlorphenamide	Daramide	4
Diclofenac	Voltaren, Voltarol	4
Diflorasone	Florone, Maxiflor	4
Diflucortolone	Flu-Cortinest, etc.	4
Digitoxin	Crystodigin	4
Digoxin	Lanoxin	4
Dihydroergotamine		4
Diltiazem	Cardizem	4
Dimethisoquin	Quotane	4
Diphenoxylate	Difenoxin, Lomotil	4
Dipyrone	Novin, Methampyrone	4
Disopyramide	Norpace	4
Dyclonine	Dyclone	4
Eltenac		4
Ergonovine	Ergotrate	4
Ergotamine	Gynergen, Cafergot, etc.	4
Etanercept	Enbrel	4
Ethoheptazine	Zactane	4
Ethotoin	Peganone	4
Ethoxzolamide	Cardrase, Ethamide	4
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4
Felodipine	Plendil	4
Fexofenadine	Allegra	4
Firocoxib		4
Flecainide	Idalon	4
Floctafenine	Idalon, Idarac	4
Flucinolone	Synalar, etc.	4
Fludrocortisone	Alforone, etc.	4
Flumethasone	Flucort, etc.	4
Flumethiazide	Ademol	4
Flunarizine	Sibelium	4
Flunisolide	Bronilide, etc.	4
Flunixin	Banamine	4

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Fluocinolone	Synalar	4
Fluocinonide	Licon, Lidex	4
Fluorometholone	FML	4
Fluoroprednisolone	Predef-2X	4
Fluprednisolone	Alphadrol	4
Flurandrenolide	Cordran	4
Fluticasone	Flixonase, Flutide	4
Guaifenesin (glycerol guiacolate)	Gecolate	4
Halcinonide	Halog	4
Halobetasol	Ultravate	4
Hexocyclium	Tral	4
Hexylcaine	Cyclaine	4
Hydrochlorthiazide	Hydrodiuril	4
Hydrocortisone (Cortisol)	Cortef, etc.	4
Hydroflumethiazide	Saluron	4
Ibuprofen	Motrin, Advil, Nurpin, etc.	4
Infliximab	Remicade	4
Isoflupredone	Predef	4
Isometheptene	Octin, Octon	4
Isopropamide	Darbid	4
Isoxsuprine	Vasodilan	4
Isradipine	DynaCirc	4
Ketoprofen	Orudis	4
Letosteine	Viscotiol, Visiotal	4
Loratidine	Claritin	4
Meclizine	Antivert, Bonine	4

Drug	Trade Name(s)	Class
Meclofenamic acid	Arquel	4
Medrysone	Medriusar, etc.	4
Meloxicam	Mobic	4
Mephenesin	Tolserol	4
Meralluride	Mercuhydrin	4
Merbaphen	Novasural	4
Mercaptomerin	Thiomerin	4
Mercumalilin	Cumertilin	4
Mersalyl	Salyrgan	4
Metaxalone	Skelaxin	4
Methapyrilene	Histadyl, etc.	4
Methazolamide	Naptazane	4
Methdilazine	Tacaryl	4
Methocarbamol	Robaxin	4
Methotrexate	Folex, Nexate, etc.	4
Methscopolamine	Pamine	4
Methylchlorthiazide	Enduron	4
Methylergonovine	Methergine	4
Methylprednisolone	Medrol	4
Methysergide	Sansert	4
Metiamide		4
Metoclopramide	Reglan	4
Mexilitine	Mexilil	4
Milrinone		4
Mometasone	Elocon	4
Montelukast	Singulair	4

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class
Naepaine	Amylsine	4
Naphazoline	Privine	4
Naproxen	Equiproxen, Naprosyn	4
Nicardipine	Cardine	4
Nifedipine	Procardia	4
Nimodipine	Nemotop	4
Nortestosterone		4
Olsalazine	Dipentum	4
Orphenadrine	Norlfex	4
Oxaprozin	Daypro, Deflam	4
Oxymetazoline	Afrin	4
Oxyphenbutazone	Tandearil	4
Oxyphencyclimine	Daricon	4
Oxyphenonium	Antrenyl	4
Paramethasone	Haldrone	4
Pentoxyfylline	Trental, Vazofirin	4
Phenacemide	Phenurone	4
Phensuximide	Milontin	4
Phenylbutazone		4
Phenytoin	Dilantin	4
Polythiazide	Renese	4
Pramoxine	Tronothaine	4
Prednisolone	Delta-Cortef, etc.	4
Prednisone	Meticorten, etc.	4
Probenecid		4
Procainamide	Pronestyl	4

Drug	Trade Name(s)	Class
Propafenone	Rythmol	4
Proparacaine	Ophthaine	4
Propylhexedrine	Benzedrex	4
Quinidine	Quinidex, Quinicardine	4
Salicylamide		4
Salicylate		4
Spironalactone	Aldactone	4
Sulfasalazine	Azulfidine, Azaline	4
Terfenadine	Seldane, Triludan	4
Tetrahydrozoline	Tyzine	4
Theobromine		4
Thiosalicylate		4
Thiphenamil	Trocinate	4
Tocainide	Tonocard	4
Tranexamic acid		4
Triamcinolone	Vetalog, etc.	4
Triamterene	Dyrenium	4
Trichlormethiazide	Naqua, Naquasone	4
Tridihexethyl	Pathilon	4
Trimeprazine	Temaril	4
Triprolidine	Actidil	4
Tuaminoheptane	Tuamine	4
Vedaprofen		4
Verapamil	Calan, Isoptin	4
Xylometazoline	Otrivin	4
Zafirlukast	Accolate	4

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class	Drug	Trade Name(s)	Class
Zeranol	Ralgro	4			
Zileuton	Zyflo	4			

Class 5: This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as DMSO and other medications as determined by the regulatory bodies.

Drug	Trade Name(s)	Class
Anisindione		5
Cilostazol	Pletal	5
Cimetidine	Tagamet	5
Cromolyn	Intel	5
Dicumarol	Dicumarol	5
Dimethylsulfoxide (DMSO)	Domoso	5
Dimethylsulphone (MSM)		5
Diphenadione		5
Esomeprazole	Nexium	5
Famotidine	Gaster, etc.	5
Lansoprazole		5
Mesalamine	Asacol	5
Misoprostel	Cytotec	5
Nedocromil	Tilade	5
Nizatidine	Axid	5
Omeprazole	Prilosec, Losec	5
Pantoprazole	Protonix	5
Phenindione	Hedulin	5
Phenprocoumon	Liquamar	5
Pirenzapine	Gastrozepin	5
Polyethylene glycol		5
Rabeprazole	Aciphex	5
Ranitidine	Zantac	5
Warfarin	Coumadin, Coufarin	5

Non-Classified Substances

Substances that are considered to have no effect on the physiology of a racing animal except to improve nutrition or treat or prevent infections or parasite infestations, are not classified. These Substances normally include antimicrobials, antiparasitic drugs, and nutrients such as vitamins. Examples of such substances include the following:

Sulfonamides and trimethoprim

Antibiotics:	Penicillins Cephalosporins Chloramphenicol Aminoglycosides Tetractckubes Nitrofurans Metronidazole
Anthelmintics:	Avermectins Benzimadaziles Piperazines Pyrantel Tetramisole
Antifungals	
Vitamins:	A, D, E, K, B vitamins Vitamin C

Bufotenine

1. It is not commerically available in any form.
2. It is a metabolite of 3-methyl-N-N dimethyltryptamine, found in reed canary grass (and potentially other food source plants). It may be found in the urine of horses eating this grass (and potentially other plant foods), and has been reported as a positive finding.

Findings of bufotenine in equine urine should not be considered for regulatory action.

Recommended Penalties and Model Rule

1. Penalty Recommendations

(in the absence of mitigating circumstances)

Class 1

1-5 years suspension and $5,000 fine and loss of purse

Class 2

6 months - 1 year suspension and $1,500 - $2,500 fine and loss of purse

Class 3

60 days - 6 months suspension and up to $1,500 fine and loss of purse

Class 4

15-60 days suspension and up to $1,000 fine and loss of purse

Class 5

0-15 days suspension with possible loss of purse and/or fine

2. Model Rule on Drug Classification and Penalties

"Upon a finding of a violation of these medication and prohibited substances rules, the Stewards (Judges) shall consider the classification level of the violation as currently established by the Uniform Classification Guidelines of Foreign Substances as promulgated by the Association of Racing Commissioners International, Inc., and impose penalties and disciplinary measures consistent with the recommendations contained therein. Provided, however, that in the event a majority of the Stewards (Judges) determine that mitigating circumstances require imposition of a lesser penalty they may impose the lesser penalty. In the event a majority of the Stewards (Judges) wish to impose a greater penalty or a penalty in excess of the authority granted them, then, and in such event, they may impose the maximum penalty authorized and refer the matter to the Commission with specific recommendations for further action."

3. Comments

1. The Recommended Penalties are designed to accompany the Drug Classification System.

2. The Model Rule Supports the Recommended Penalties in that it is flexible and allows the decision-makers to consider mitigating circumstances.

3. The Recommended Penalties are guidelines for Racing Commissions and are not meant to remove any of the discretion used by Stewards (Judges) when confronted with mitigating circumstances.
4. These are recommendations. The lower end of the recommendations may be considered for first offenders, and repeated or multiple offenses may promote consideration of the maximum recommendations for each class.

5. The range in the Recommended Penalties recognizes that even within a group, drugs differ, and that there are mitigating circumstances that may lead regulators to recommend lesser penalties.

6. Where the use of a drug is specifically permitted by a jurisdiction's rules, such rules supersede these guidelines.

7. These Uniform Classification Guidelines for Foreign Substances, Recommended Penalties, and Model Rule are designed to be part of a National Medication Policy.

RULES AND REGULATIONS

Governing

GREYHOUND RACING

In

ARKANSAS

VOLUME III

(Wagering Rules)

2008 Edition

ARKANSAS STATE RACING COMMISSION

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WAGERING

3258. Each purchaser of any pari-mutuel ticket agrees to be bound by the terms and provisions of this and all other applicable rules and regulations of the Arkansas State Racing Commission and by the laws of the State of Arkansas pertaining to pari-mutuel wagering. Neither the Franchise Holder, Totalisator company, nor the State of Arkansas shall be liable to any person for any ticket which is not a winning ticket in accordance with the provisions of this rule, nor shall they, or any of them, be liable to any person for any pari-mutuel ticket not delivered for any reason including, but not limited to, mechanical malfunction, electronic failure, machine locking, or other cause.

3259. All pari-mutuel rules will be posted or available at the information windows of the Franchise Holder, and copies will be available at the Racing Commission office.

3260. No tickets may be sold after the Totalisator has been locked or wagering ceased. Any claim by a person that a wrong ticket has been delivered to him/her must be made before leaving the mutuel ticket window. No claim shall be considered for tickets thrown away, lost, changed, destroyed, or mutilated beyond identification. Payment of wagers will be made only on presentation of appropriate pari-mutuel tickets.

3261. Should any emergency arise in connection with the operation of the pari-mutuel department not covered by these Rules and an immediate decision is necessary, the Director of Mutuels shall make the decision.

3262. A. If less than six (6) greyhounds start in a race, the Director of Mutuels shall be permitted to prohibit show wagering on that race;

B. If less than five (5) greyhounds start in a race, the said Director of Mutuels shall be permitted to prohibit both place and show wagering on the race; and,

C. If less than three (3) greyhounds start in a race, the said Director of Mutuels shall be permitted to prohibit wagering on that race.

3263. Wagering shall cease not later than off-time.

3265. If an error is made in posting the pay-off figures on the totalisator board, it shall be corrected promptly and only the correct amounts shall be used in the pay off, irrespective of the error on the tote board. If, because of a mechanical failure, it is

impossible to promptly correct the posted pay-off, a statement shall be made over the public address system stating the facts and corrections.

3266. In the event of an irreparable breakdown of the Totalisator, or the ticket issuing machines, or both, during the wagering on a race, the wagering for that race shall be declared closed. The pay-off for that race shall be computed on the sums wagered in each pool up to the time of the breakdown.

3267. If a greyhound wins and there is no money wagered on it to win, the Win Pool shall be apportioned among the holders of the place tickets on that greyhound, if any; otherwise, among holders of the show tickets.

3268. If no money has been wagered to place on a greyhound which is placed first or second in a race, the Place Pool for that race shall be apportioned among the holders of the place tickets on the other greyhound which was placed first or second.

3269. If no money has been wagered to show on a greyhound which is placed first, second, or third in a race, the Show Pool in that race shall be apportioned among the holders of show tickets on the other greyhounds which are placed first, second, or third in that race.

3270. Any ruling of the Board of Judges with regard to the reward of purse money made after the sign "Official" has been purposely displayed by the Presiding Judge shall have no bearing on the mutuels payoff.

327l. The Director of Mutuels shall furnish a copy of the calculating sheet to the Commission.

3272. Each Franchise Holder shall, in all cases of a mutuel pool, redistribute on winning tickets not less than the face of the winning ticket, plus the minimum payoff provided by law.

3273. Payments due on all wagers shall be made in conformity with the well established practice of the Pari-Mutuel System.

MINUS POOLS

3275. In the event of a Minus Pool, the deficiency shall be absorbed by the breaks accruing for that performance. In the event the breaks accruing for that performance are not sufficient to absorb such minus pool, the remaining deficiency will be borne solely by the Franchise Holder.

PARI-MUTUEL WAGERING RULES

5010.

A. The "Daily Double" is not a parlay and is a ticket to select the winner of the first half and the second half of two consecutive races.

B. The commission authorized by law is deducted from the sum total wagered in the daily double pool. The balance is called the "net pool."

C. The amount wagered on the winner of the winner of the first and the winner of the second half of a Daily Double is then divided into the "net pool." The quotient thus obtained is the pay-off price on the winners of the Daily Double for each dollar wagered on the winner of the first half and winner of the second half. Double said pay-off price to provide for a $2.00 ticket.

5012.

A. No more than two "Daily Double," as determined by the Racing Commission, will be permitted during any single racing program.

B. No "Daily Double" wagering will be permitted except on the first and second races and such other two consecutive races of a racing program, with the bet being made in the first half, as permitted by the Racing Commission.

C. Before post time of the second half of the "Daily Double," there shall be posted on the tote board, or announced, the "pay-off" on each combination coupled with the winner of the first half of the "Daily Double," except in the case of a dead heat in the first half of the "Daily Double."

D. If no ticket is sold combining the two winners of the "Daily Double," the pool shall then be apportioned equally between those having tickets including the winner in the first race of the "Daily Double" and those having tickets including the winner in the last race of the "Daily Double" in the same manner in which a Place Pool is calculated and distributed.

E. If no ticket is sold on the winner of the FIRST race of the "Daily Double," the entire pool is apportioned to the holders of tickets on the winner of the SECOND race of the "Daily Double."

F. Likewise, if no ticket is sold on the winner of the SECOND race of the "Daily Double," the entire pool is apportioned to the holders of tickets on the winner of the FIRST race of the "Daily Double."

G. Should no ticket be sold containing the numbers of either winner, the pool shall be allotted to those having tickets on runners finishing next to the winners.

H. Should no ticket be sold that would require distribution of the Daily Double Pool to a winner under sub-section E, F, G, and H, a full and complete refund of the pool shall be made.

I. If a DEAD HEAT should result in either the first or second race of the "Daily Double," the total pool is figured as a "place pool."

J. A refund at cost value shall be made to all holders of purchased "Daily Double" tickets bearing the number of a runner in either race which has been scratched before the wagering on the "Daily Double" has ceased.

K. In the event any runner, or runners, in the first half of the "Daily Double" should be scratched by the Presiding Judge, the money wagered on any scratched runner, or runners, shall be deducted from the "Daily Double" pool and refunded to the purchaser, or purchasers, of tickets on the runner, or runners, so scratched.

L. Should any runner, or runners, be scratched by the Presiding Judge, in the last half of the "Daily Double," all tickets including such runners shall be deducted from the "Daily Double" pool; and the pool, thus formed, shall be distributed as a straight pool to the holders of tickets combining the winner of the first half with the runner or runners scratched and prevented from completing the "Daily Double."

M. In the event the first half of the "Daily Double" is canceled or declared a "No Race," a full and complete refund shall be made of all money wagered.

N. In the event the second half of the "Daily Double" is canceled or declared a "No Race," the entire net pool shall be distributed as a straight pool to holders of the tickets which include the winner of the first half of the "Daily Double."

O. In the event of a "Daily Double" consolation payoff, said consolation "Daily Double" shall be kept separate from the main "Daily Double" payoff.

SIMULCAST and ITW RULES

General Provisions

4000. **Purpose**. The Commission finds that, although wagering on ITW (Inter Track Wire) races provides additional revenue for the state treasury, the primary advantage of wagering on ITW races and simulcast races is the additional revenue it provides for purse supplements for live races conducted in this state. The Commission further finds it is in the public interest to encourage live racing, which promotes economic development in a variety of racing-related industries. Therefore, it is the Commission's intent to adopt and enforce rules relating to ITW and simulcast wagering in a manner that will encourage live racing and enhance the greyhound breeding and training industries, and enhance the horse breeding, owning, and training industries.

4001. ***Simulcast and/or ITW License***.

A. A license to operate a pari-mutuel racetrack in this state held by the Franchise Holder that has been granted live race dates includes as a part of its privileges the privilege of conducting pari-mutuel wagering on ITW races and to simulcast races conducted by the Franchise Holder. The conducting of pari-mutuel wagering on ITW races and the simulcasting of races conducted by the Franchise Holder is subject to the approval of the Commission.

B. The approval of any particular simulcasting or wagering on particular ITW races or programs is not binding on the Commission for other requests for approval of simulcasting or wagering on ITW races or programs.

C. Written approval is required for the proposed simulcasting schedule from the recognized greyhound owners' group or the recognized horsemen's group, or both as appropriate.

4002. ***Approval of Wagering on ITW Races***. To receive approval of the Commission for wagering on an ITW race or program, the Franchise Holder must submit a request to the Commission. In considering whether or not to approve an ITW wagering request, the Commission shall consider:

(1) the financial stability of the Franchise Holder and the effect ITW wagering will have on the economic viability of the Franchise Holder;
(2) the operating experience of the Franchise Holder;
(3) the regulatory compliance and conduct of the Franchise Holder;

(4) the impact of the Franchise Holder's proposed ITW wagering on purses at the Franchise Holder's racetrack; and

(5) the public interest that will be served by the importation of the ITW signal.

4005. ***ITW and Simulcasting Contracts***. All contracts executed by the Franchise Holder regarding ITW and simulcasting are subject to inspection by the Commission. The Franchise Holder shall maintain each contract regarding ITW and simulcasting for at least one year after the end of the term of the contract. The Franchise Holder shall make all contracts available to the Commission on request.

4008. ***Duties of Receiving Location (ITW)***.

A. A Franchise Holder that conducts pari-mutuel wagering on an ITW race acts as a receiving location on those dates. The receiving location shall:

1. Provide adequate communication facilities, enabling pari-mutuel data transmissions and data communications between totalisator systems of the sending racetrack and the receiving location.

2. If the receiving location participates in common pools, provide a direct telephone line and a facsimile machine, or other approved means, located in the mutuels area to transmit information to the sending racetrack in case of a system failure.

3. Display the audio and video signals of the races being simulcast to the patrons.

B. The Franchise Holder shall provide the reports of its ITW pari-mutuel operations to the Commission daily and all moneys due the State of Arkansas shall be paid to the Commission daily as provided in Arkansas Code § 23-1 11-509.

4010. ***Duties of Sending Racetrack (Simulcast)***.

A. A Franchise Holder that simulcasts races conducted by the Franchise Holder acts as a sending racetrack on the dates the races are conducted and simulcast.

B. A sending racetrack is responsible for the content of the simulcast and shall use all reasonable effort to present a simulcast which offers the viewers an exemplary depiction of the performance, a periodic display of wagering information, and continuity of programming between racing events.

C. The sending racetrack shall provide transmission equipment of acceptable broadcast quality that does not interfere with the closed circuit TV system

of the receiving location. The sending racetrack must have the capability to transmit and receive wagering information via a data circuit. If the sending racetrack plans to form common pools, the racetrack shall provide a direct telephone line and a facsimile machine, or other approved means, located in the mutuels area to receive information from the receiving locations in case of a system failure.

D. Unless otherwise permitted by the Commission, a simulcast must contain in its video content:

(1) The date.
(2) A digital display of the actual time of day at the sending racetrack.
(3) The name of the sending racetrack.
(4) The number of the race being displayed.
(5) Any other relevant information available to patrons at the sending racetrack

E. At least 15 minutes before post time for the first race, the sending racetrack must be transmitting its signal to ensure proper operation of the transmission system.

4012. ***Emergency Procedures***.

A. If a Franchise Holder is unable to establish or to maintain the audio or video signal from the sending racetrack, the Franchise Holder shall immediately notify the sending racetrack of the lost signal and may continue to accept wagers while attempting to establish the signal.

B. If the audio or video signal cannot be established or maintained, the Franchise Holder may continue to accept wagers on the signal provided:

1. An announcement is made to the public informing them that due to technical difficulties the audio or video signal has been lost.

C. If the sending racetrack loses the ability to transmit the audio or video signal, the sending racetrack:

1. Shall notify all receiving locations of the technical difficulties being experienced.
2. May continue to accept wagers from the receiving locations on that day's races.
3. May not accept wagers from the receiving locations for subsequent race days until the technical difficulties have been corrected.

4015. ***Simulcast Officials***. The Director of Mutuels or the Director of Mutuel's designee shall be present on the Franchise Holder's grounds at all times that the Franchise Holder is accepting wagers on ITW races.

Simulcast and ITW at Greyhound Racetracks

4020.A. ***Definitions***. For the purposes of this Rule, the term:

1. ***"Franchise Holder"*** means the holder of a franchise from the State of Arkansas to conduct greyhound racing and who, in addition to conducting pari-mutuel wagering on greyhound races on premises, has applied for permission to conduct such wagering on greyhound races and/or horse races being conducted at any other racetrack and simultaneously televised to the premises of the Franchise Holder, and who also sends the Franchise Holder's live signal simultaneously to other racetracks.

2. ***"Host Racing Association"*** means any entity which, pursuant to a license or other permission granted by the Host State, conducts greyhound or horse races.

3. ***"Host State"*** means the State in which the greyhound or horse race takes place.

4. ***"ITW"*** or "Inter Track Wire" means greyhound races and/or horse races conducted at another Host Racing Association which are simultaneously televised to the premises of the Franchise Holder who conducts pari-mutuel wagering on such races.

5. ***"Simulcast" or "Simulcasting"*** means greyhound races conducted live at the Franchise Holder's racetrack and televised simultaneously to the premises of other racetracks where pari-mutuel wagering is conducted on such races.

B. ***Wagering Rules***. The rules generally applicable to greyhound racing shall apply to wagering on ITW races, except where they conflict with any provisions of these ITW / Simulcasting Rules or are not applicable because of inherent differences between wagering on races run on premises and ITW races.

C. ***Distribution of moneys wagered***:

1. The Franchise Holder shall withhold from the amount wagered those amounts specified in Arkansas Code Annotated § 23-11 1-509. However, if the percentages withheld at the Host Racing Association differ from the above percentages, the Franchise Holder may withhold the percentages of the Host Racing Association or the above percentages as the Franchise Holder elects.

2. The Franchise Holder shall pay to the state of Arkansas from the amount withheld pursuant to the provisions of sub-paragraph (1) above, the following per performance: two percent (2%) on all amounts wagered up to

$350,000.00; three percent (3%) of all amounts wagered between $350,000.00 and $500,000.00; and, six percent (6%) of all amounts over $500,000.00.

3. Breaks shall be computed and disbursed in accordance with the provisions of Arkansas Code Annotated § 23-111-509.

4. After withholding the amounts specified in (1) above, the remainder of the moneys wagered shall be paid over to bettors holding winning pari-mutuel tickets as their respective interests may appear.

5. Unpresented winning tickets and the proceeds thereof shall be handled in accordance with the rules of the Arkansas Racing Commission.

COMMON POOL WAGERING

4030. ***General Provisions***.

A. With the prior approval of the Commission, pari-mutuel pools offered by a Franchise Holder that is participating in a simulcast may be combined with corresponding wagering pools offered by the other racetracks participating in the simulcast to form a common pool. All corresponding pari-mutuel pools offered by two or more Associations on an interstate simulcast shall be combined to form a common pool.

B. A contract governing participation in a common pool must be available to the Commission for approval.

C. In determining whether to approve an interstate common pool that does not include the sending racetrack, the Commission shall consider and may approve use of a type of wager which is not used at the sending racetrack or other factors presented to the Commission.

D. The content and format of the visual display of racing and wagering information at facilities in other racing jurisdictions in the interstate common pool need not be identical to the information required to be displayed under these rules.

4035. ***Formation of Common Pool***.

A. Wagering data shall be transmitted through a method authorized by this subsection, in the following order of preference:

(1) via a data circuit;
(2) via facsimile; or
(3) by voice.

B. Except as otherwise provided by this subsection, the odds and prices for a common pool shall be calculated in accordance with the laws and rules of the jurisdiction in which the sending racetrack is located. In determining the amount distributable to the wagerers, the total takeout required in the jurisdiction in which the sending racetrack is located shall be used. If the Franchise Holder desires, it may use the net pool pricing method for determining the payoff prices.

C. A Franchise Holder shall ensure that the necessary records are maintained regarding the amounts wagered at its racetrack for accounting, auditing, and reporting purposes.

4040. ***Distribution of Common Pool***

A. A wager is made at the place at which the pool originates.

B. The payoff attributable to the Franchise Holder shall be based on the actual winnings indicated by the totalisator wagering data.

C. The total takeout applicable to the wagers received in this state for a common pool shall be distributed in accordance with the Arkansas Code. A gain or loss caused by a difference in takeout totals shall be part of the Franchise Holder's revenue or expense from the interstate broadcast.

D. A surcharge or other withholding other than the takeout authorized by law shall be applied only in the jurisdiction imposing the surcharge of withholding.

4045. ***Breakage***. The ratio of a Franchise Holder's allocation of the breakage to the total breakage in an interstate common pool must be equal to the ratio of the dollars contributed to the common pool from the Franchise Holder to the total amount of the common pool.

4050. ***Report to Commission***. A Franchise Holder participating in a common pool shall submit to the Commission a report on the pool on the date of the performance for which the pool was formed. The report shall contain:

(1) the total amount of the common pool generated by wagers at the site of the Franchise Holder;

(2) the total winnings attributable to wagers received by the Franchise Holder; and

(3) the total commission derived from the Franchise Holder's share of the common pool.

4055. ***Manual Merge***.

(A) If the receiving location's computer system fails to adequately transmit wagering data to the sending racetrack, the sending racetrack shall manually merge the pools if a manual merge will not endanger the pools at the sending racetrack and the sending racetrack permits a manual merge.

(B) To merge the pools manually, the receiving location's Director of Mutuels, or Director of Mutuel's designee, shall notify the sending racetrack via facsimile of the total amount in the pool, the total dollars on winning wagers, and the total dollars on the losing wagers in the pool.

4060. ***Failure to Merge***.

(A) Except as otherwise provided by this section, if for any reason it becomes impossible to successfully merge a receiving location's wagers in the common pool via data circuit or manual merge, the Director of Mutuels, or the Director of Mutuel's designee, shall:

(1) announce to the public that the pools were not merged successfully and the pools will be refunded and refund the pools not successfully merged; or

(2) pay the winning wagerers based on the prices established at the Host racetrack.

(B) The mutuel manager or designee shall report the failure to merge any common pool to the Commission, and shall be filed no later than the day after the date the common pool failed to merge.

(C) A contract for common pools entered into by the Franchise Holder must contain a provision stating that the Franchise Holder is not liable for any measures taken which may result in a receiving location's wagers not being accepted into a common pool formed by the Association if for any reason:

(1) it becomes impossible to successfully merge the wagers placed in another state in the common pool formed by the Franchise Holder; or

(2) the Franchise Holder's Director of Mutuels or the Director of Mutuel's designee determines that attempting to transfer pool data from the receiving location will endanger the Franchise Holder's wagering pool.

EXACTA POOLS

5015. A. The Exacta is a ticket selecting two (2) greyhounds, which must finish first and second in exact order.

B. The commission authorized by law is deducted from the sum total wagered in the exacta pool. The balance is called the "net pool."

C. The amount wagered on the two (2) greyhounds finishing first and second in exact order is then divided into the "net pool." The quotient thus obtained is the pay-off price on the winning greyhounds finishing first and second for each dollar wagered and it includes the dollar wagered on the greyhounds finishing first and second. Triple said pay-off price to provide the pay-off for a $3.00 ticket.

D. If no ticket is sold on the winning combination of an Exacta Pool, the net pool shall be distributed as a Place Pool between holders of tickets selecting the winning greyhound to finish first and/or holders of tickets selecting the second place greyhound to finish second.

E. If no ticket is sold that would require distribution of the net Exact Pool to winner(s) as above defined, the Association shall make a complete and full refund of the Exacta Pool.

F. In case of a dead heat between two greyhounds for first place, the net Exacta Pool shall be calculated and distributed as a place pool to holders of tickets of the winning combination(s). In case of a dead heat between two greyhounds for second place, the net Exacta Pool shall be figured as a place pool and distributed to holders of tickets combining the winning greyhound and the two greyhounds finishing second.

G. In the event of a dead heat for second place, if no ticket is sold on one of the two winning combinations, the entire net pool shall be calculated as a win pool and distributed to those holding tickets on the other winning combination. If no tickets combine the winning greyhound with either of the place greyhounds in the dead heat, the Exacta Pool shall be calculated and distributed as a place pool to holders of tickets representing any interest in the net pool. If the winning combinations are both First/All and All/Second, and there is a dead heat for second, half of the Divided Total is assigned to the First/All combination, and each All/Second combination is assigned an equal share of the remaining half.