

1087.0.0 EMPLOYEE DRUG AND ALCOHOL PREVENTION POLICY

1087.1.0 Policy

All Department of Health and Human Services (DHHS) employees are prohibited from using, possessing or selling controlled substances or alcohol while on duty or working with the presence of alcohol or illegal drugs in the employee's body, or abusing legal drugs. The abuse of legal drugs includes taking any form of prescription medicine without a prescription. Employees who engage in drug or alcohol abuse face the risk of termination and the forfeiture of worker's compensation medical and indemnity benefits. DHHS complies with the Drug Free Workplace Act of 1988.

1087.2.0 Application

1087.2.1 This rule will be strictly applied according to its terms. No terms or conditions not appearing under this policy apply. For example, no Administrative Review is required to implement disciplinary action pursuant to this policy. This is a special promulgated policy, not part of DHHS Policy #1085, Minimum Conduct Standards for DHHS Employees.

1087.2.2 Nothing in this rule abrogates the employment at will doctrine. Any departmental error that is trivial or formal or merely academic and that does not prejudice the substantive rights of an affected party and that in no way affected the final outcome of the case will be deemed harmless error and will not be grounds for overturning the agency decision.

1087.3.0 Drug Use, Sale or Conviction

1087.3.1 State agencies will not differentiate between drug users and drug pushers or sellers. Any employee who gives or in any way transfers a controlled substance to another person or sells or manufactures a controlled substance while on the job or an agency premises will be subject to discipline up to and including termination.

1087.3.2 The term "controlled substance" means any drug listed in 21 U.S.C. Section 812 and other federal regulations. Generally, these are drugs which have a high potential for abuse. Such drugs include, but are not limited to, Heroin, Marijuana, Cocaine, PCP, and "Crack". They also include "legal drugs" which are not prescribed by a licensed physician.

1087.3.3 Each employee is required by law to inform the agency within five days after he or she is convicted for violation of any federal or state criminal drug statute where such violation occurred on the agency's premises. A conviction means a finding of guilt (including a plea of nolo contendere) or the imposition of a sentence by a judge or jury in any federal court, state court or other court of competent jurisdiction.

1087.3.4 The Department of Human Services (DHHS) must notify the U.S. government agency with which the contract was made within ten days after receiving notice from the employee or otherwise receives actual notice of such a conviction.

1087.3.5 If an employee is convicted of violating any criminal drug statute while in the workplace, he or she will be subject to discipline up to and including termination. Alternatively, the

agency may require the employee to successfully finish a drug abuse program sponsored by an approved private or governmental institution.

1087.3.6 As a condition of further employment on any federal government contract, the law requires all employees to abide by this policy.

1087.4.0 All Employees are Subject to the Provisions of this Policy when Engaged in the Following Activities:

- A. When conducting DHHS business, whether on or off DHHS property.
- B. When on DHHS property at any time, including parking lots, whether leased or owned by DHHS.
- C. When driving or riding as a passenger in a DHHS vehicle.
- D. When assigned to on-call status, required to remain available by telephone or pocket pager, in order to be called in to work.

1087.5.0 Information is Confidential

All data, information, and results related to employee drug and alcohol testing are confidential. Disclosure of information will only be to those individuals whose official business duties necessitate disclosure or as required by law.

1087.6.0 Three Levels of Drug Testing

1087.6.1 Pre-Employment: All persons selected for new employment or a change of employment within DHHS must submit to a drug test as a precondition of employment. All hiring decisions are contingent on the selectee passing the drug test. This applies to current DHHS employees applying for different positions as well as external applicants.

1087.6.2 Reasonable Cause: All employees are subject to reasonable cause drug and alcohol screening.

1087.6.3 Random: Employees in safety sensitive positions or engaged in drug prevention activities are also subject to random drug testing as a condition of employment.

1087.7.0 Pre-Employment Drug Testing Process

1087.7.1 Vacancy announcements for positions for which drug or alcohol testing is required will include notice of testing in the announcement. The Career Opportunities Bulletin and other job advertisements will include a statement advising applicants that they will be required to submit to drug and alcohol testing after a conditional offer of employment.

1087.7.2 All applicants selected for employment (includes applicants for temporary, emergency, and extra help positions), will also be notified in writing (DHHS Form 1956, Pre-Employment Drug Testing Process Application Notice) at the time of application or interview that an offer of employment is conditional upon passing a pre-employment drug test. The written

notification will inform applicants of the consequences of: (a) failing to appear for testing or (b) a test indicating positive for drugs or alcohol.

1087.7.3 Upon conditional selection of an individual for employment, the hiring official must notify the selectee (via telephone and letter) that the selectee is conditionally selected for employment contingent on he/she successfully passing a pre-employment drug test, and provide instructions for taking the test.

1087.7.4 DHHS will select the drug testing site and pay for the initial drug test.

1087.8.0 Drug Testing Timeframe

1087.8.1 Within 48 hours of the initial notification the selectee must pick up a Chain of Custody form, take the form to the testing location, and provide a sample for testing.

1087.8.2 Keeping in mind that some testing locations are closed on weekends and holidays, the hiring official will not make the initial notification on the last workday before a weekend, holiday, or scheduled time off.

1087.8.3 If extenuating circumstances beyond the selectee's control prevent the selectee from providing a sample within 48 hours, the selectee must request an extension within 48 hours of the initial notification of selection. Scheduling or transportation problems experienced by the selectee are not extenuating circumstances. The decision of whether to grant the extension will be made by the hiring supervisor. The supervisor must notify the selectee of the decision verbally within one working day, followed by written notification.

1087.9.0 Two Year Employment Ban

The following will result in the selectee being banned from applying for employment with DHHS for two years:

- A. If the drug test is not performed within 48 hours (unless an extension is granted).
- B. If the drug test is positive. A test is considered to be positive if the test results indicate that the sample has been tampered with in any way or that the selectee has attempted to falsify the test results, including the use of masking agents or chemicals.
- C. If the selectee fails to appear for drug testing without notifying the hiring official that he/she is declining the position.

1087.10.0 Drug Test Results

1087.10.1 Drug test results are forwarded directly to the Policy and Administrative Program Management Unit (PAPM). PAPM then notifies the hiring supervisor whether the applicant is eligible or not eligible for hiring.

1087.10.2 If the results of the drug test are negative for controlled substances, the hiring supervisor will notify the selectee of his or her final selection and ask the selectee to complete the necessary documents for employment.

1087.10.3 If the results of the drug test are positive for controlled substances, the selectee will be notified in writing of the results and will not be considered further for the position. Internal applicants will be disciplined in accordance with this policy. External applicants will not be eligible for employment with DHHS until all of the following conditions have been met:

- A. The selectee has successfully completed a supervised drug rehabilitation program that has been approved by DHHS.
- B. A two-year waiting period has expired.
- C. The selectee passes a new employee drug screening.
- D. The selectee signs an agreement to allow random drug testing during his/her first year of employment. DHHS will pay for the drug tests. If the selectee is hired for a safety sensitive or drug prevention position, the selectee must agree to random drug screening throughout his/her employment with DHHS.

1087.11.0 Disagreement with Findings of Drug Test (by Selectee)

1087.11.1 If the selectee disagrees with the findings of the drug testing, the selectee may arrange to have a portion of the original sample retested at a laboratory certified by the National Institute of Drug Abuse. The selectee must arrange and pay for the second test. The second test must be performed within five working days after learning the results of the first test. If the second test is negative for the presence of drugs or alcohol, the hiring supervisor may either accept the second result or have a third test performed at the department's expense. The results of the third test will be considered conclusive.

1087.11.2 No applicant will be placed on the payroll before successful completion of the pre-employment drug screening.

1087.12.0 Reasonable Cause Drug Testing Process

1087.12.1 DHHS may require a blood test, urinalysis or other drug/alcohol screening for employees any time there is reasonable cause to suspect the employee has violated the Employee Drug and Alcohol Prevention Policy. Reasonable cause to suspect a violation of the policy may be established by any of the following:

- A. Observed impairment of job performance.
- B. Uncharacteristic or erratic behavior.
- C. The employee's attendance changes, e.g., habitual absenteeism.
- D. Direct observation (by a manager, supervisor, or other DHHS employee) of drug or alcohol use or possession during working hours or while on DHHS premises.

- E. A workplace accident or an accident involving a DHHS vehicle when the accident results in personal injury.
- F. Physical symptoms indicative of drug or alcohol use. For example, slurred speech, tremors, drowsiness, pupils dilated or constricted, irritability, hyperactivity, general motor impairment, disorientation, or the smell of alcohol on the breath.
- G. Arrest or conviction for a drug or alcohol related offense or the identification of an employee as the subject of a criminal investigation into illegal drug possession, use, or trafficking.
- H. Evidence the employee has tampered with a previous drug or alcohol test.
- I. Evidence drugs have been tampered with or are missing from a unit or designated area to which the employee has access.
- J. Any other facts or circumstances which would cause a reasonable person to believe that the employee is in possession of, or under the influence of drugs or alcohol.

1087.12.2 Upon establishing reasonable cause, the employee's supervisor will promptly detail in writing the circumstances that formed the basis of the determination that reasonable suspicion existed to warrant the testing. A written record will be made of the observation leading to a controlled substances reasonable suspicion test within twenty-four hours of the observed behavior. Original documentation will be kept for at least one year. A copy of the documentation will be given to the employee upon request. The employee must be ordered to report for drug and alcohol testing.

1087.13.0 Random Drug Testing Process

1087.13.1 Division and facility directors may identify those positions within their divisions or institutions that are "safety sensitive" or require integrity to a drug prevention job mission. Safety sensitive positions are those in which a drug or alcohol impairment constitutes an immediate and direct threat to public health or safety. The positions will be identified by position number.

1087.13.2 As a condition of employment in safety sensitive or drug prevention positions, employees agree to random drug testing. Employees in these positions will be randomly selected at intervals determined by DHHS. The contractor will generate a list of names for the random drug testing. Once an employee is selected for testing, the employee must report for drug testing procedures.

1087.13.3 If changes in the job duties associated with a position make the position safety sensitive or require integrity to a drug prevention job mission, any employee holding the position must agree to random drug testing as a condition of continued employment.

1087.14.0 Random/Reasonable Cause Testing Compliance

- 1087.14.1 An employee selected under random or reasonable cause for drug or alcohol testing **must report to the designated testing site within four (4) hours of notification**. The employee is subject to random or reasonable cause testing only on scheduled workdays.
- 1087.14.2 An employee failing to submit to testing within four hours of notification will be terminated. If the employee tampers with the sample or in any way falsifies test results, including the use of masking agents or chemicals, the employee will be terminated and will not be eligible for rehire.
- 1087.14.3 If the employee is to be tested at a location other than the employee's work site, supervisors will be responsible for arranging transportation to the test site in cases of reasonable cause testing.

1087.15.0 Failure to Provide Sample

Normal drug screening uses a urinalysis test. If the employee or applicant is unable to provide a sample when he or she reports to the sampling site, the person to be tested may opt to provide a blood sample or a hair sample. If blood or hair is provided, the cost of testing will be at the employee or applicant's own expense. Failure to provide a urine, blood, or hair sample will have the same consequences as testing positive for drugs or alcohol.

1087.16.0 Disagreement with Findings of Drug or Alcohol Test (by Employee)

If an employee disagrees with the findings of the drug or alcohol test, the employee may arrange to have a portion of the original sample retested at a laboratory certified by the National Institute of Drug Abuse. The employee will arrange and pay for the second test. The second test must be performed within five working days of learning the results of the first test. If the second test is negative for the presence of drugs or alcohol, the supervisor may either accept the second test result or have a third test performed at the department's expense. The result of the third test will be considered conclusive.

1087.17.0 Disciplinary Actions for Violations

The supervisor of an employee who tests positive for drugs or alcohol will be notified and the employee must abide by the following terms:

1087.17.1 First Offense

- A. Ten days suspension without pay.
- B. Sign and adhere to a "Return to Work Agreement" to refrain from all illegal drug use. Failure to sign or fulfill the terms of this agreement is a second offense.
- C. Enroll in the State of Arkansas Employee Assistance Program (EAP), request substance abuse services, and fully participate in all offered services. Contact the

EAP before returning to work from the ten-day suspension. Failure to complete any of the above is a second offense.

- D. Participating in mandatory EAP random interval drug screening for at least one year. Failure to take these drug tests at the times determined by EAP is a second offense. The employee will pay the cost of the drug tests.
- E. Obtaining EAP Certification that the employee has successfully completed a substance abuse program designated by EAP. EAP notification of program non-compliance is a second offense.

1087.17.2 Second Offense

- A. Second offense includes the failure to meet any condition imposed as a result of a first offense.
- B. Any employee committing a second offense under this policy will be immediately terminated. A terminated employee forfeits eligibility for workman's compensation, and medical and indemnity benefits.
- C. Terminated employees will be eligible for re-employment consideration only after all of the following conditions are met:
 - 1. The applicant has successfully completed a supervised drug rehabilitation program approved by DHHS.
 - 2. A two-year waiting period has expired.
 - 3. The applicant passes a new employee drug screening.
 - 4. The applicant signs an agreement to allow random drug testing during the first year of employment at the department's expense.

1087.18.0 Employee Assistance Program

- 1087.18.1 EAP is an established program capable of providing problem assessment, short-term counseling, referrals to other providers, follow-up services, and education and training.
- 1087.18.2 If an employee in the course of employment voluntarily enters EAP or an approved treatment program requesting services related to substance abuse, DHHS has the option of not requiring follow-up testing. If follow-up testing is required, it must be conducted at least once a year for a two-year period after completion of the program. Advance notice of a follow-up test will not be given to the employee to be tested.
- 1087.18.3 DHHS will not discharge, discipline or discriminate against an employee solely upon the employee's voluntarily seeking treatment for a drug-related or alcohol-related problem, entering an employee assistance program for drug-related or alcohol-related problems or entering a drug or alcohol rehabilitation program, if the employee has not previously tested positive for drug or alcohol use.

1087.19.0 Department Contact

Office of Administrative Services
Human Resources/Support Services Section
Policy and Administrative Program Management
P.O. Box 1437/Slot W403
Little Rock, AR 72203-1437
Telephone: 501/682-6476

Replacement Notation: This policy replaces DHHS Policy 1051 dated April 9, 1989