

STATEMENT OF FINANCIAL INTEREST

State/District officials file with:
Mark Martin, Secretary of State
State Capitol, Room 026
Little Rock, AR 72201
Phone (501) 682-5070
Fax (501) 682-3548

Calendar year covered 2014
(Note: Filing covers the previous calendar year)

For assistance in completing
this form contact:
Arkansas Ethics Commission
Post Office Box 1917
Little Rock, AR 72203
Phone (501) 324-9600
Toll Free (800) 422-7773

Is this an amendment? Yes No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document.

SECTION 1- NAME AND ADDRESS

Name RUTHERFORD James L III
(Last) (First) (Middle)
Address 1200 President Clinton Avenue Little Rock Arkansas 72201
(Street or P.O. Box Number) (City) (State) (Zip Code)
Phone 501-683-5200
Spouse's name RUTHERFORD Billie H.
(Last) (First) (Middle)

All names under which you and/or your spouse do business: James Rutherford, Skip Rutherford,
Billie Rutherford

SECTION 2- REASON FOR FILING

FILED

- Public Official NA _____ (office held) JAN 12 2015
- Candidate NA _____ (office sought) Arkansas
- District Judge NA _____ (name of municipality) Secretary of State
- City Attorney NA _____ (name of city)
- State Government: Agency Head/Department Director/Division Director NA NA _____ (name of agency/department/division)
- Chief of Staff or Chief Deputy NA _____ (name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission NA _____ (name of board/commission)
- School Board member NA _____ (name of school district)
- Candidate for school board NA _____ (name of school district)
- Public or Charter School Superintendent NA _____ (name of school district/school)
- Executive Director of Education Service Cooperative NA _____ (name of cooperative)
- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
 - Planning board or commission NA _____
 - Airport board or commission NA _____
 - Water or Sewer board or commission NA _____
 - Utility board or commission NA _____
 - Civil Service commission NA _____

The law provides for a maximum penalty of \$2,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A. § 21-8-401 through § 21-8-804. This report constitutes a public record. This form has been approved by the Arkansas Ethics Commission.

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box: More than \$1,000 More than \$12,500
University of Arkansas Chata School of Public Service
1200 President Clinton Avenue Little Rock, AR 72201
James L. Rutherford
(name of employer or source of income) (address) (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Employee

b) Check appropriate box: More than \$1,000 More than \$12,500
CATERING TO YOU
8121 Cantrell Road Little Rock Arkansas 72207
Billie H. Rutherford
(name of employer or source of income) (address) (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Employee

c) Check appropriate box: More than \$1,000 More than \$12,500
James L. Rutherford FARMS
5604 Hawthorne Road
Little Rock Arkansas 72207
James L. Rutherford III
(name of employer or source of income) (address) (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Family Farm Income

d) Check appropriate box: More than \$1,000 More than \$12,500
RUTHERFORD - BROWN FARM
5604 Hawthorne Road Little Rock, AR 72207
James L. Rutherford III
(name of employer or source of income) (address) (name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Family Farm Income

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SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500

IBERIA BANK (name of corporation, firm or enterprise)

5800 N. STREET, LITTLE ROCK AR 72207 (address)

J.L AND BILLIE NUTHERFORD (name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500

FIRST COMMUNITY BANK (name of corporation, firm or enterprise)

1325 HARRISON STREET, BATESVILLE ARKANSAS 72501 (address)

J.L. NUTHERFORD (name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500

TINA-CREF (name of corporation, firm or enterprise)

730 THIRD AVENUE, NEW YORK N.Y. 10017-3206 (address)

JAMES L. NUTHERFORD III (name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500

CENTROPUNT ENERGY (name of corporation, firm or enterprise)

P.O. Box 4505 Houston Texas 77210 (address)

JAMES L. NUTHERFORD III (name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500

McNeill Lynch - TIAA (name of corporation, firm or enterprise)

P.O. Box 1501 (address)

Prairieville, LA 70754 (address)

JAMES L. NUTHERFORD III (name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500

CLARA FARM Johnson Robinson Woods 530P (name of corporation, firm or enterprise)

303 W. Capital Little Rock Arkansas 72201 (address)

JAMES L. NUTHERFORD III (name under which investment held)

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List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
LPL Financial to *Andrew Wolmsky*
 (name of corporation, firm or enterprise)
2005 3rd Street Batesville, Missouri 62501
 (address)
James L. Nuttall III
 (name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
 (name of corporation, firm or enterprise)
 (address)
 (name under which investment held)

c) Check appropriate box: ^{Less} More than \$1,000 More than \$12,500
AmeriSource Banc Corporation
 (name of corporation, firm or enterprise)
 (address)
 (name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
Bank of America
 (name of corporation, firm or enterprise)
 (address)
 (name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
Centerpart Energy
 (name of corporation, firm or enterprise)
 (address)
 (name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
Walmart De Mexico
 (name of corporation, firm or enterprise)
 (address)
 (name under which investment held)

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SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
Investing Professionals Inc, 1530 BYERS STREET (name of corporation, firm or enterprise) *(investment below)*
Batesville, Missouri 7250 (address)

(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
Bank of AMERICA (name of corporation, firm or enterprise)

James L. Arthur III (address)

(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Bank of AMERICA - IRA (name of corporation, firm or enterprise)
P.O. Box 100529 Columbia South Carolina 29202 (address)
James L. Arthur III (name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
Wal-Mart Stores (name of corporation, firm or enterprise)
P.O. Box 43078 Providence R.I. 02940 (address)
J. L. Arthur III (name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
Met Life (name of corporation, firm or enterprise)
P.O. Box 1620 Manchester Ct. 06045 (address)
James L. Arthur III (name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
Millennium Capital Advisors (name of corporation, firm or enterprise) 425 W. Capitol Avenue Suite 3010 Little Rock AR 72201
J. L. Arthur III (address)

(name under which investment held)

*See next page
IRA Investments listed*

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SECTION 4- BUSINESS OR HOLDINGS

M. Henman IRA Investments

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
Enterprise Corporation

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
AT & T

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Abbott Laboratories

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
Apple Inc

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
Boh of America

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
Bar State Financial

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

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SECTION 4 - BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500

Coca Cola

 (name of corporation, firm or enterprise)

 (address)

 (name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500

Murphy Oil

 (name of corporation, firm or enterprise)

 (address)

 (name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500

Murphy USA

 (name of corporation, firm or enterprise)

 (address)

 (name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500

Schwab East-Mary Fund

 (name of corporation, firm or enterprise)

 (address)

 (name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500

NA

 (name of corporation, firm or enterprise)

NA

 (address)

NA

 (name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500

NA

 (name of corporation, firm or enterprise)

NA

 (address)

NA

 (name under which investment held)

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SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) Weldon's Children's Hospital
(name of business, corporation, firm, or enterprise)
800 Marshall Street Little Rock AR 72201
(address)
Director - Secretary of Board
(office or directorship held)
James L. Rutledge III
(name of office holder)

b) NA
(name of business, corporation, firm, or enterprise)
NA
(address)
NA
(office or directorship held)
NA
(name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) Bank of America NA
(name of creditor)
P.O. Box 650070 Dallas, Texas 75262
(address of creditor)

b) IBERIA Bank
(name of creditor)
5800 R Street Little Rock AR 72207
(address of creditor)

c) Arkansas Honda Finance Corp.
(name of creditor)
P.O. Box 650200 Hunt Valley MO 64085
(address of creditor)

SECTION 7- GUARANTOR OR CO-MAKER

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

a) NA
(name)

NA
(address)

b) NA
(name)

NA
(address)

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SECTION 8- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

\$5 a) Starbucks Gift Card for Chili Cookoff Judging 2/28/14
 Catholic High School, Little Rock Arkansas \$ 5.00
 Catholic High Booster Club
 (description of gift) (date) (fair market value)
 (source of gift)

b) NA
 NA
 NA
 (description of gift) (date) (fair market value)
 (source of gift)

c) NA
 NA
 NA
 (description of gift) (date) (fair market value)
 (source of gift)

d) NA
 NA
 NA
 (description of gift) (date) (fair market value)
 (source of gift)

e) NA
 NA
 NA
 (description of gift) (date) (fair market value)
 (source of gift)

f) NA
 NA
 NA
 (description of gift) (date) (fair market value)
 (source of gift)

g) NA
 NA
 NA
 (description of gift) (date) (fair market value)
 (source of gift)

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SECTION 9- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) NA _____ (description of award)
NA _____ (date) _____ (fair market value)
NA _____ (source of award)

b) NA _____ (description of award)
NA _____ (date) _____ (fair market value)
NA _____ (source of award)

c) NA _____ (description of award)
NA _____ (date) _____ (fair market value)
NA _____ (source of award)

d) NA _____ (description of award)
NA _____ (date) _____ (fair market value)
NA _____ (source of award)

SECTION 10- NONGOVERNMENTAL SOURCES OF PAYMENT

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) University of Mississippi (name of person or organization paying expense)
123 Lyceum University, MS. 38677 (business address)
9/29 - 9/30 - 2014 (date of expense) \$ 338⁰⁰ (amount of expense)
Hotel for speaking/meetings (nature of expenditure)

b) NA _____ (name of person or organization paying expense)
NA _____ (address)
NA _____ (date of expense) \$ _____ (amount of expense)
NA _____ (nature of expenditure)

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SECTION 11- DIRECT REGULATION OF BUSINESS

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

- a) NA _____
(name of business)
NO _____
(governmental body which regulates or controls)
- b) NA _____
(name of business)
NA _____
(governmental body which regulates or controls)
- c) NA _____
(name of business)
NA _____
(governmental body which regulates or controls)
- d) NA _____
(name of business)
NA _____
(governmental body which regulates or controls)

SECTION 12- SALES TO GOVERNMENTAL BODY

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

- a) NA _____
(goods or services)
NA _____
(governmental body to whom sold)
NA _____
(compensation paid)
- b) NA _____
(goods or services)
NA _____
(governmental body to whom sold)
NA _____
(compensation paid)
- c) NA _____
(goods or services)
NA _____
(governmental body to whom sold)
NA _____
(compensation paid)
- d) NA _____
(goods or services)
NA _____
(governmental body to whom sold)
NA _____
(compensation paid)

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SECTION 13- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

[Handwritten Signature]

Signature

STATE OF ARKANSAS

COUNTY OF Pulaski }^{SS}

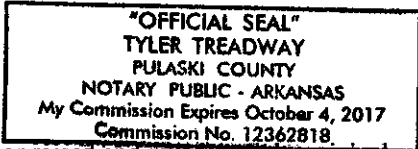
Subscribed and sworn before me this 10 day of January, 2015.

(Legible Notary Seal)

[Handwritten Signature: Tyler Treadway]

Notary Public

My commission expires: October 4, 2017



Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

State or district candidates/public servants file with the Secretary of State.
County, township, and school district candidates/public servants file with the county clerk.
Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
Municipal judges and city attorneys file with the city clerk of the municipality in which they serve.
Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

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